

MAX Security Supplement

Ascend Communications, Inc.

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- Email from Asia Pacific—apac.support@ascend.com
- Fax—(510) 814-2312
- Customer Support BBS (by modem)—(510) 814-2302
- Write to Ascend at the following address:

Attn: Customer Service
Ascend Communications, Inc.
One Ascend Plaza
1701 Harbor Bay Parkway
Alameda, CA 94502-3002

Finding information and software on the Internet

Visit Ascend's Web site at <http://www.ascend.com> for technical information, product information, and descriptions of available services.

Visit Ascend's FTP site at `ftp.ascend.com` for software upgrades, release notes, and addenda to this manual.

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About This Guide

How to use this guide

This supplement is intended for the person setting up security on the MAX. It explains how to set up different kinds of security by options using the MAX configuration interface, and contains the following chapters:

- Chapter 1, “Getting Started: Basic Security Measures,” details recommended changes to default security settings to protect the MAX from unauthorized access.
- Chapter 2, “Setting Up Security Profiles,” describes security levels for the MAX and explains the privileges you can set in Security profiles.
- Chapter 3, “Setting Up User Authentication,” explains how to identify and permit access to users dialing in over both analog and digital lines.
- Chapter 4, “Defining Static Filters,” details how to set up data filters and call filters.
- Chapter 5, “Setting Up Security-Card Authentication,” describes how the MAX supports dynamic password challenges sent from an external authentication server at a secure site.
- Chapter 6, “Setting Up User Authorization,” describes how to limit user access to network devices, resources, and services.

This supplement also contains an index.

What this guide does not contain

This supplement does not describe how to set up security in RADIUS, how to use the Access Control product, or how to set up the MAX to work with firewalls and the Secure Access product. Further, it does not discuss general network security issues or provide guidelines about the extent to which you should protect your network and local hosts. For pointers to information about these products and topics, consult the following publications:

Topic	Publication
RADIUS	<i>MAX RADIUS Configuration Guide</i>
Access Control	<i>Access Control User's Guide</i>
Firewalls and Secure Access	<i>Secure Access Manager User's Guide</i>
Detailed discussion of security issues	<i>Firewalls and Internet Security</i> by William R. Cheswick and Steven M. Bellovin

What you should know



You should read this supplement if you are configuring security in the MAX. This supplement does not discuss general network security issues, or provide guidelines for protecting your network and local hosts. To use this book effectively, however, you should be familiar with network security. If you need background information, you might find the book by William R. Cheswick and Steven M. Bellovin helpful. (For a list of publications, see “What this guide does not contain.”)

You might also want to consider RADIUS and other external servers that offer additional methods for handling security.

Ascend’s Access Control is a software program that provides authentication, authorization, and accounting services for users who request network connections.

Documentation conventions

Following are all the special characters and typographical conventions used in this manual:

Convention	Meaning
Monospace text	Represents text that appears on your computer's screen, or that could appear on your computer's screen.
Boldface mono-space text	Represents characters that you enter exactly as shown (unless the characters are also in <i>italics</i> —see <i>Italics</i> , below). If you could enter the characters but are not specifically instructed to, they do not appear in boldface.
<i>Italics</i>	Represent variable information. Do not enter the words themselves in the command. Enter the information they represent. In ordinary text, italics are used for titles of publications, for some terms that would otherwise be in quotation marks, and to show emphasis.
[]	Square brackets indicate an optional argument you might add to a command. To include such an argument, type only the information inside the brackets. Do not type the brackets unless they appear in bold type.
	Separates command choices that are mutually exclusive.
>	Points to the next level in the path to a parameter or menu item. The item that follows the angle bracket is one of the options that appears when you select the item that precedes the angle bracket.
Key1-Key2	Represents a combination keystroke. To enter a combination keystroke, press the first key and hold it down while you press one or more other keys. Release all the keys at the same time. (For example, Ctrl-H means hold down the Control key and press the H key.)
Press Enter	Means press the Enter, or Return, key or its equivalent on your computer.
Note:	Introduces important additional information.
 Caution:	Warns that a failure to follow the recommended procedure could result in loss of data or damage to equipment.
 Warning:	Warns that a failure to take appropriate safety precautions could result in physical injury.

Note: In a menu-item path, include a space before and after each “>” character.

Manual set

The MAX Documentation Set consists of the following manuals:

- *Administration Guide* for your MAX
- *Hardware Installation Guide* for your MAX
- *Network Configuration Guide* for your MAX
- *MAX Reference Guide*
- *MAX Security Supplement (this supplement)*
- *MAX RADIUS Configuration Guide*

Getting Started: Basic Security Measures

1

This chapter describes how to set up basic security on the MAX. The chapter covers the following topics:

Introducing Security profiles	1-1
Understanding basic security measures	1-2
Activating the Full Access profile	1-3
Changing the Full Access password	1-3
Setting the Default profile for read-only access.	1-4
Changing the SNMP read-write community string	1-5
Assigning a Telnet password	1-6
Requiring profiles for incoming connections.	1-6
Turning off ICMP redirects	1-6
Specifying the number of retry attempts	1-7
Retrieving configuration updates from RADIUS	1-7

Introducing Security profiles

Security profiles consist of parameters you configure to control access to the MAX. All Security profiles are located below the Security menu of the System profile in the MAX configuration interface.

```
00-300 Security
>00-301 Default
    00-302
    00-303
    00-304
    00-305
    00-306
    00-307
    00-308
    00-309 Full Access
```

All MAX units provide two special profiles:

Profile	Description
Full Access	<p>Provides full access to the MAX. This is the superuser profile that enables you to configure your system, dial remote locations, reset the unit, and upgrade system software.</p> <p>Any user who knows the password for the Full Access profile can perform any operation on the MAX. The default Full Access password is <code>Ascend</code>. To maintain security, you should change the Full Access password from its default value. For details, see “Changing the Full Access password” on page 1-3.</p>
Default	<p>The MAX assigns the Default profile to every user who logs in via Telnet, the Control port, and remote management. The MAX activates the Default profile when the MAX powers on or resets. The privileges set in the Default profile are available to all users. You cannot change the name of the Default profile or assign a password to it. However, you can change its settings to make the profile more restrictive. For details, see “Setting the Default profile for read-only access” on page 1-4.</p>

Note: Follow the instructions in “Changing the Full Access password” on page 1-3 and “Setting the Default profile for read-only access” on page 1-4. These instructions result in two security levels, one that is totally open (Full Access) and one that is very restrictive (Default).

If you are the only user who must configure the MAX or perform administrative tasks, you do not need to create any Security profiles in addition to the Default and Full Access profiles. However, you can define additional security levels allowing specific users to perform a subset of administrative functions. You can create up to seven additional Security profiles. For more information about these tasks, see Chapter 2, “Setting Up Security Profiles.”

Understanding basic security measures

When you first receive the MAX, all levels are set with full privileges. Initially, you can activate only the Default and Full Access profiles. Before you can activate one of the other Security Profiles, you must assign it a name. The default security settings of the Full Access profile enable you to configure and set up the MAX without any restrictions. Before you make the MAX generally accessible, you should protect the configured unit from unauthorized access. Proceed as follows:

- 1 Activate the Full Access profile.
- 2 Change the Full Access password.
- 3 Set the Default profile for read-only access.
- 4 Change the SNMP read-write community string.
- 5 Assign a Telnet password.
- 6 Require profiles for incoming connections.
- 7 Turn off ICMP redirects.
- 8 Specify the number of times the MAX retries a connection.

- 9 Retrieving configuration updates from RADIUS.

Activating the Full Access profile

You must activate the Full Access profile for your own use in performing the rest of the basic security measures. To activate the Full Access profile, proceed as follows:

- 1 From any VT100 menu, press <Ctrl> D.
The DO menu appears. For example:

```
DO . . .  
>0=Esc  
P=Password  
C=Close TELNET
```
- 2 Press P or select P=Password.
A menu appears listing all security profiles:
Security profile...?

```
>00-301 Default  
00-302 test  
00-303  
00-304  
00-305  
00-306  
00-307  
00-308  
00-309 Full Access
```
- 3 Select Full Access.
The MAX displays a password prompt.
- 4 Enter the password assigned to the Full Access security profile.
If you enter the correct password, the MAX displays the message Password accepted. Using new security level. If you enter the incorrect password, the MAX prompts you again for the password.

Changing the Full Access password

The Full Access Security profile is the *super-user* profile that enables you to configure your system, dial remote locations, reset the unit, and upgrade system software. Because this profile allows complete access, all privileges are set to Yes. The default password assigned to the profile is *Ascend*. A user who knows the password for the Full Access profile can perform any operation on the MAX.

Change the default password as soon as possible.

To assign a password protecting the Full Access profile, proceed as follows:

- 1 From any VT100 menu, press Ctrl-D.
The DO menu appears. For example:

```
DO . . .  
>0=Esc
```

- ```
P=Password
C=Close TELNET
```
- 2 Press P or select P=Password.  
A menu appears listing all security profiles:  
Security profile...?  
>00-301 Default  
00-302 test  
00-303  
00-304  
00-305  
00-306  
00-307  
00-308  
00-309 Full Access
  - 3 Select Full Access.  
The MAX displays a password prompt.
  - 4 Enter the password assigned to the Full Access security profile.  
If you enter the correct password, the MAX displays the message Password accepted. Using new security level. If you enter the incorrect password, the MAX prompts you again for the password.
  - 5 Open the System > Security > Full Access profile.
  - 6 Select the Passwd parameter and press Enter to open a text field.
  - 7 Type a new password, and press Enter.
  - 8 Exit the Full Access profile, and select the Exit and Accept option to save your changes.

## ***Setting the Default profile for read-only access***

The first profile in the Security menu is called `Default`. It has no password, and you cannot modify the profile's name or create a password. The MAX activates this profile whenever you power on or reset the unit, and whenever a user begins a new login session.

Although the Default profile is set initially with full privileges, it is intended to be very restrictive. Every user who logs in via Telnet, the Control port, or remote management is granted the privileges specified there.

To make the Default profile appropriately restrictive, proceed as follows:

- 1 Open the System > Security menu.
- 2 Open the Default profile.  
The first two parameters in the Default profile cannot be changed. The name is always Default and the password is always null.
- 3 Set Operations=No.  

```
00-301 Default
Name=Default
Passwd=
>Operations=No
Edit Security=N/A
Edit System=N/A
```

```
Edit Line=N/A
Edit All Ports=N/A
Edit Own Port=N/A
Edit All Calls=N/A
Edit Com Call=N/A
Edit Own Call=N/A
Edit Cur Call=N/A
Sys Diag=N/A
All Port Diag=N/A
Own Port Diag=N/A
Download=N/A
Upload=N/A
Field Service=N/A
```

All other parameters are set to N/A when Operations=No.

Users who access the MAX terminal server cannot make any changes to its configuration or to perform restricted operations. For all users with the Default security level, passwords (including the null password) are hidden by the string \*SECURE\* in the MAX unit's user interface.

- 4 Exit the Full Access profile, and select the Exit and Accept option to save your changes.

## ***Changing the SNMP read-write community string***

An SNMP community string is an identifier that an SNMP manager application must specify before it can access the MIB (Management Information Base). The MAX has two community strings:

| <b>String</b> | <b>Function</b>                                                                                                                                                                                                                                                                                  |
|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Read Comm     | The read community string has the value <i>public</i> by default. It enables an SNMP manager to perform read commands (get and get next) in order to request specific information.                                                                                                               |
| R/W Comm      | The read-write community string has the value <i>write</i> by default. It enables an SNMP manager to perform both read and write commands (get, get next, and set). Using the commands, the application can access management information, set alarm thresholds, and change settings on the MAX. |

You cannot turn off SNMP write, so you must change the default read-write string to secure the MAX against unauthorized SNMP access. To change the read-write community string, proceed as follows:

- 1 Open the Ethernet > Mod Config > SNMP Options menu.
- 2 For the R/W Comm parameter, specify a text string containing up to 16 characters. For example, you can specify this setting:  

```
R/W Comm=unique-string
```
- 3 Close the SNMP Options menu, and select the Exit and Accept option to save your changes.

## ***Assigning a Telnet password***

Until you assign a Telnet password, any local user who knows the MAX unit's IP address can start a Telnet session with the MAX. When you assign a password, all users requesting incoming Telnet sessions, whether locally or from across the WAN, must enter the password.

To assign a Telnet password, proceed as follows:

- 1 Open the Ethernet > Mod Config > Ether Options menu.
- 2 For the Telnet PW parameter, specify a password containing up to 20 characters.  
For example, you might enter this setting:  
`Telnet PW=telnet-pwd`
- 3 Close the Ether Options menu, and select the Exit and Accept option to save your changes.

## ***Requiring profiles for incoming connections***

You can use the MAX unit's Answer profile to build connections that do not require a name and password. Although some sites allow such connections, most sites impose much tighter restrictions. You should consider limiting incoming connections to those that have a configured Connection profile, Password profile, or RADIUS user profile.

Chapter 3, "Setting Up User Authentication," describes the types of authentication you can configure for incoming connections. At the most basic level, however, you can configure the MAX to reject all incoming connections for which it finds no matching profile.

To require configured profiles for all incoming connections, proceed as follows:

- 1 Open the Ethernet > Answer menu.
- 2 To specify that a matching profile is required for incoming calls, set Profile Reqd=Yes.  
**Note:** If you configure the MAX to support AppleTalk Remote Access (ARA) connections, setting Profile Reqd=Yes disables Guest access to your network.
- 3 Exit the Answer profile, and select the Exit and Accept option to save your changes.

## ***Turning off ICMP redirects***

ICMP enables a unit to find the most efficient IP route to a destination. ICMP Redirect packets are one of the oldest route discovery methods on the Internet and one of the least secure. It is possible to counterfeit ICMP Redirects and change the way a device routes packets. If the MAX is routing IP, Ascend recommends that you turn off ICMP redirects.

To configure the MAX to ignore ICMP redirect packets, proceed as follows:

- 1 Open the Ethernet > Mod Config menu.
- 2 Set ICMP Redirects=Ignore.
- 3 Save your changes.

## ***Specifying the number of retry attempts***

When a MAX unit attempts to make a connection and the attempt fails, the MAX continues to attempt to complete the connection. The number of retry attempts allowed without using call blocking is very large and successive retries can cause excessive charges, congestion, and performance problems. With call blocking, you can specify a maximum number of unsuccessful attempts. After the specified number of attempts have been made and failed, the blocking timer starts. The MAX continues to block further retries for the length of time you specify.

To configuring call blocking, proceed as follows:

- 1 Open the Ethernet > Connections > *any Connection profile* > Session options menu.
- 2 Set `Block calls after` to the number of retry attempts the MAX allows when placing a call.
- 3 Set `Blocked duration` to the length of time the MAX continues to block calls.

Call blocking applies only to outgoing calls that are not answered by the far end. It does not apply to incoming calls or outgoing calls that connect and are immediately disconnected

## ***Retrieving configuration updates from RADIUS***

When you power up the MAX, it can retrieve a potentially large quantity of configuration information from the RADIUS server. Some of the data on the RADIUS server can change during operation. You can direct the MAX to retrieve this information in one of two ways:

- Using the `Upd Rem Cfg` command from the Sys Diag menu, you can instruct the MAX to retrieve a fresh configuration.
- You can initiate a RADIUS configuration update by using the `SNMP Set` command. Use `SNMP` to poll the status of the update.
- The `SNMP` variable `sysConfigRadiusCmd` allows an `SNMP` manager to initiate a RADIUS configuration retrieval of routes, IP pools, connection information, and terminal server banners. You can poll the status of the retrieval by getting the value of another `SNMP` variable, `sysConfigRadiusStatus`.



# Setting Up Security Profiles

This chapter covers the following topics:

|                                          |     |
|------------------------------------------|-----|
| Understanding Security profiles. . . . . | 2-1 |
| Configuring a Security profile . . . . . | 2-3 |
| Activating a Security profile . . . . .  | 2-6 |
| Using the Full Access profile. . . . .   | 2-6 |

## Understanding Security profiles

A Security profile consists of parameters you can set to control access to the MAX. All Security profiles are located below the Security menu of the System profile in the MAX configuration interface. Table 2-1 lists the parameters in a Security profile.

*Table 2-1. Security profile parameters*

| Parameter     | Specifies                                                                                                             | Possible values                                                |
|---------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
| Name          | Name for the profile.                                                                                                 | Text string of up to 16 characters. The default value is null. |
| Passwd        | Password.                                                                                                             | Text string of up to 20 characters. The default value is null. |
| Operations    | Enable/disable read-only security.                                                                                    | Yes (the default)<br>No                                        |
| Edit Security | Level of privileges for editing Security profiles.                                                                    | Yes (the default)<br>No                                        |
| Edit System   | Level of privileges for editing the System profile and the Read Comm and R/W Comm parameters in the Ethernet profile. | Yes (the default)<br>No                                        |
| Edit Line     | Operator can/cannot edit Line profiles.                                                                               | Yes (the default)<br>No                                        |

*Table 2-1. Security profile parameters (continued)*

| Parameter      | Specifies                                                                                                                                            | Possible values                                                                                                                                                                                                                                                            |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Edit All Ports | Operator can/cannot edit all Port profiles.                                                                                                          | Yes (the default)<br>No                                                                                                                                                                                                                                                    |
| Edit Own Port  | Operator can/cannot edit his or her own Port profile.                                                                                                | Yes (the default)<br>No<br><br><b>Note:</b> The No setting is ineffective unless you Edit All Ports=No.                                                                                                                                                                    |
| Edit All Calls | Operator can/cannot edit all the parameters in all Call profiles and Connection profiles.                                                            | Yes (the default)<br>No<br><br>No specifies that an operator can edit only the Dial # and Base Ch Count parameters in the current Call profile.                                                                                                                            |
| Edit Com Call  | Operator can/cannot edit Call profiles that are not specific to any serial host port (such profiles are known as common Call profiles.)              | Yes (the default)<br>No<br><br><b>Note:</b> The No setting is ineffective unless you also set Edit All Calls=No.                                                                                                                                                           |
| Edit Cur Call  | Indicates whether an operator can/cannot edit all the parameters in the current Call profile.                                                        | Yes (the default)<br>No<br><br>No specifies that an operator can edit only the Dial # and Base Ch Count parameters in the current Call profile. To disable editing of the Dial # and Base Ch Count parameters, you must set Edit Cur Call=No <i>and</i> Edit All Calls=No. |
| Edit Own Call  | Operator can/cannot edit the Call profile that defines the connection between his or her MAX and the MAX being remotely managed over an AIM channel. | Yes (the default)<br>No<br><br><b>Note:</b> The No setting is ineffective unless you also set Edit All Calls=No.                                                                                                                                                           |
| Sys Diag       | Indicates whether an operator can/cannot perform all system diagnostics.                                                                             | Yes (the default)<br>No                                                                                                                                                                                                                                                    |
| All Port Diag  | Indicates whether an operator can/cannot perform all serial host port diagnostics.                                                                   | Yes (the default)<br>No                                                                                                                                                                                                                                                    |

*Table 2-1. Security profile parameters (continued)*

| Parameter     | Specifies                                                                                                                | Possible values                                                                                                                                                                        |
|---------------|--------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Own Port Diag | Indicates whether an operator can/cannot perform port diagnostics for his or her own serial host port.                   | Yes (the default)<br>No<br><br>To completely disable the operator's ability to perform diagnostics for his or her own port, you must set Own Port Diag=No and All Port Diag=No.        |
| Download      | Indicates whether an operator can/cannot download the configuration of the MAX using the Save Cfg command.               | Yes (the default)<br>No<br><br><b>Note:</b> Whether you choose Yes or No, a user cannot download passwords to another device.                                                          |
| Upload        | Indicates whether an operator can/cannot upload the MAX configuration from another device using the Restore Cfg command. | Yes (the default)<br>No<br><br><b>Note:</b> When you save a configuration to file, passwords are not included in the download, so restoring from file clears all passwords in the MAX. |
| Field Service | Level of privileges for performing field service operations, such as uploading new system software.                      | Yes (the default)<br>No                                                                                                                                                                |

## ***Configuring a Security profile***

To configure a Security profile, proceed as follows:

- 1 Open the System > Security menu.
- 2 Open any Security profile.
- 3 Set Name to a descriptive designation for the profile.  
You can enter up to 16 characters. For example:  
Name=Calabasas
- 4 Specify a password value of up to 20 character for the Passwd parameter.
- 5 Set the Operations parameter to enable or disable read-only security.  
Yes allows a user to view MAX profiles and to change the value of any parameter. The default value is Yes.  
No permits a user to view MAX profiles, but not to change the value of any parameter. If you specify No, a user cannot access most DO commands. Only DO Esc, DO Close Telnet, and DO password are available.
- 6 Set the Edit Security parameter to grant or restrict privileges to edit Security profiles.

Yes grants privileges. When you specify Yes, a user can edit Security profiles, and can access all other operations permitted in his or her active Security profile. In addition, all passwords in Security profiles are visible as text. This privilege is the most powerful one you can assign, because it allows users to change their own privileges. The default value is Yes.

No restricts privileges. When Edit Security=No, all passwords are hidden by the string “\*SECURE\*.”

**Note:** Do not set the Edit Security parameter to No on all nine Security profiles. If you do, you cannot edit any of them.

- 7 Set the Edit System parameter to grant or restrict privileges to edit the System profile and the Ethernet profile.  
  
Yes allows an operator to edit the System profile, and to edit the Read Comm and R/W Comm parameters in the Ethernet profile. The default value is Yes.  
  
No restricts edit privileges.
- 8 Set the Edit System parameter to indicate whether an operator can edit Line profiles.  
  
Yes enables an operator to edit Line profiles. The default value is Yes.  
  
No prevents an operator from editing Line profiles.
- 9 Set the Edit All Ports parameter to indicate whether an operator can edit all Port profiles.  
  
Yes specifies that an operator can edit all Port profiles by local or remote management. The default value is Yes.  
  
No specifies that an operator cannot edit Port profiles.
- 10 Set the Edit Own Port parameter to indicate whether an operator can edit his or her own Port profile.  
  
Yes specifies that the operator can use remote management to edit the Port profile for the port that has been called. The default value is Yes.  
  
No specifies that an operator cannot edit his or her own Port profile. To keep an operator from editing his or her own Port profile, you must set Edit Own Port=No and Edit All Ports=No.
- 11 Set the Edit All Calls parameter to indicate whether an operator can edit all the parameters in all Call profiles and Connection profiles.  
  
Yes specifies that an operator can edit all the parameters in all Call profiles and Connection profiles through Telnet, through local management (the Control port), or through remote management. The default value is Yes.  
  
No specifies that an operator can edit only the Dial # and Base Ch Count parameters in the current Call profile. To disable editing of the Dial # and Base Ch Count parameter, you must set Edit All Calls=No and Edit Cur Call=No.
- 12 Set the Edit Com Call parameter to indicate whether an operator can edit Call profiles that are not specific to any serial host port.  
  
Call profiles not specific to any serial host port are known as common Call profiles. Numbers 201 through 216 denote port-specific Call profiles. Numbers 217 through 232 denote common Call profiles.  
  
Yes specifies that an operator can edit common Call profiles by local or remote management. The default value is Yes.  
  
No specifies that an operator cannot edit common Call profiles. To keep an operator from editing common Call profiles, you must set Edit Com Call=No and Edit All Calls=No.

- 13** Set the Edit Own Call parameter to indicate whether an operator can edit the Call profile that defines the connection between the user's MAX and the MAX being remotely managed over an AIM channel
- Yes specifies that the operator can edit the Call profile. The default value is Yes.
- No specifies that an operator cannot edit the Call profile. To keep an operator from editing the Call profile between a local and a remotely managed MAX, you must set Edit Own Call=No and Edit All Calls=No.
- 14** Set the Edit Cur Call parameter to indicate whether an operator can edit all the parameters in the current Call profile.
- Yes specifies that an operator can edit all the parameters in the current Call profile by local or remote management. Yes is the default.
- No specifies that an operator can edit only the Dial # and Base Ch Count parameters in the current Call profile. To disable editing of the Dial # and Base Ch Count parameters, you must set Edit Cur Call=No and Edit All Calls=No.
- 15** Set the Sys Diag parameter to indicate whether an operator can perform all system diagnostics.
- Yes specifies that an operator can use any of the options in the Sys Diag menu by local or remote management. The default value is Yes.
- No specifies that an operator cannot use any of the options in the Sys Diag menu.
- 16** Set the All Port Diag parameter to indicate whether an operator can perform all serial host port diagnostics.
- Yes specifies that an operator can perform all the tasks listed in the Port Diag menu. The default value is Yes.
- No specifies that an operator cannot perform any of the tasks listed in the Port Diag menu.
- 17** Set the Own Port Diag parameter to indicate whether an operator can perform port diagnostics for his or her own serial host port.
- Yes specifies that an operator can use remote management to perform any of the options in the Port Diag menu for the port that has been called. The default value is Yes.
- No specifies that the operator cannot perform port diagnostics for his or her own serial host port. To completely disable the operator's ability to perform diagnostics for his or her own port, you must set Own Port Diag=No and All Port Diag=No.
- 18** Set the Download parameter to indicate whether an operator can use the Save Cfg command to download the configuration of the MAX.
- Yes specifies that a user can download profiles and other configuration parameters to another device for backup. The default value is Yes.
- No specifies that an operator cannot download profiles and other configuration parameters.
- Note:** Whether you choose Yes or No, you cannot download passwords to another device.
- 19** Set the Upload parameter to indicate whether an operator can use the Restore Cfg command to upload the MAX configuration from another device.
- Yes specifies that the user can upload profiles and other configuration parameters from another device to the MAX. You must set Upload=Yes in order to use the Restore Cfg command. The default value is Yes.

No specifies that the user cannot upload profiles and other configuration parameters from another device to the MAX.

**Note:** When you save a configuration to file, passwords are not included in the download, so restoring from file clears all passwords on the MAX.

- 20 Set the Field Service parameter to grant or restrict privileges to perform Ascend-provided field service operations, such as uploading new system software.

Yes grants privileges. The default value is Yes.

No restricts privileges. Selecting No does not disable access to any MAX operations. Field service operations are special diagnostic routines not available through MAX menus.

- 21 Close the new Security profile.

## Activating a Security profile

When you log into the MAX, you can only view settings, because the Default profile is active. To make any changes or perform any administrative tasks, you must activate the Full Access profile or a profile that has been configured to allow setup or administrative tasks.

To activate a profile, follow these steps:

- 1 Press Ctrl-D to open the DO menu
- 2 Press P, or select P=Password.
- 3 In the list of Security profiles that opens, select the profile you want to activate.  
The MAX prompts you for the password.
- 4 Specify the appropriate password, and press Enter.

When you enter the correct password, the MAX displays the message `Password accepted. Using new security level.` If you enter an incorrect password, the MAX prompts you again for the password.

## Using the Full Access profile

The Full Access profile is the superuser profile which allows you to configure your system, dial remote locations, reset the unit, and upgrade system software. This profile is intended to remain totally open, with all privileges set to Yes. The default password assigned to the profile is *Ascend*. A user who knows the password for the Full Access profile can perform any operation on the MAX.

**Note:** To prevent unauthorized access, to change the default password as soon as possible.

Following are the default settings for the Full Access profile:

```
Name=Full Access
Passwd=Ascend
Operations=Yes
Edit Security=Yes
Edit System=Yes
Edit Line=Yes
Edit All Ports=Yes
Edit Own Port=N/A
Edit All Calls=Yes
```

Edit Com Call=N/A  
Edit Own Call=N/A  
Edit Cur Call=N/A  
Sys Diag=Yes  
All Port Diag=Yes  
Own Port Diag=N/A  
Download=Yes  
Upload=Yes  
Field Service=Yes



# Setting Up User Authentication

This chapter covers the following topics:

|                                                                       |      |
|-----------------------------------------------------------------------|------|
| Introducing user authentication . . . . .                             | 3-1  |
| Setting up CLID authentication . . . . .                              | 3-5  |
| Setting up called number authentication . . . . .                     | 3-8  |
| Setting up callback security . . . . .                                | 3-11 |
| Setting up call authentication on serial AIM ports . . . . .          | 3-14 |
| Setting up authentication of PPP, MP, and MP+ calls . . . . .         | 3-15 |
| Setting up authentication for dial-in terminal server users . . . . . | 3-23 |
| Setting up Combinet authentication . . . . .                          | 3-28 |
| Setting up ARA authentication . . . . .                               | 3-31 |
| Setting up X.25 authentication . . . . .                              | 3-36 |
| Setting up IP addressing . . . . .                                    | 3-38 |
| Setting up an authentication server . . . . .                         | 3-42 |

## Introducing user authentication

User authentication is a method of identifying and allowing access to specified remote users dialing in over both analog and digital lines.

## Types of Authentication

The MAX supports the following types of authentication:

### *CLID (Calling Line ID)*

You can require the MAX to authenticate incoming calls by checking the calling party's phone number. The MAX performs CLID authentication before answering an incoming call. For details about configuring the MAX for CLID authentication, see "Setting up CLID authentication" on page 3-5.

## *Called Number*

Called Number authentication works much like CLID authentication, except that the MAX uses the number called by the remote end to authenticate the connection. The called number appears in an ISDN message as part of the call when DNIS (Dial Number Information Service) is in use. Called Number authentication is also known as DNIS authentication.

## *Callback*

Callback security instructs the MAX to hang up on an incoming caller and immediately initiate a call to that destination. For details about configuring the MAX to use callback security, see “Setting up callback security” on page 3-11.

## *Name and password*

You can configure the MAX to verify an incoming call on the basis of the user’s name and password. You can also specify a name and password for outgoing calls. Name and password authentication applies to the types of calls listed in Table 3-1:

*Table 3-1. Call types authenticated by name and password requirements*

| <b>Call Type</b> | <b>Description</b>                                                                                                                                                                                                                                                                                                                                          |
|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PPP, MP, and MP+ | You can specify Password Authentication Protocol (PAP), Challenge Handshake Authentication Protocol (CHAP), or Microsoft Challenge Authentication Protocol (MS-CHAP) authentication for name and password verification of incoming and outgoing PPP, MP, or MP+ calls. For details, see “Setting up authentication of PPP, MP, and MP+ calls” on page 3-15. |
| Terminal server  | You can specify that users logging into the terminal server through a V.34, V.42, V.110, or V.120 connection must supply a username and password before gaining admission to the terminal server. For instructions, see “Setting up authentication for dial-in terminal server users” on page 3-23.                                                         |
| Combinet         | Combinet authentication uses the remote station’s MAC address as its username and allows you to require a password for incoming calls. For details, see “Setting up Combinet authentication” on page 3-28.                                                                                                                                                  |
| ARA              | You can specify name and password authentication for AppleTalk callers dialing in through a V.34, V.42, V.120, or X.75 connection. For details, see “Setting up ARA authentication” on page 3-31.                                                                                                                                                           |
| IP Address       | You can specify that the MAX authenticate an incoming connection by checking the user’s IP address or, you can specify that the MAX assign an IP address to each incoming call. For details, see “Setting up IP addressing” on page 3-38.                                                                                                                   |

## How user authentication works?

All user authentication relies on the MAX finding a matching profile to verify information presented by the caller. The matching Connection profile or Names/Passwords profile can be resident locally or, the profile can be managed by a third-party security server such as RADIUS, TACACS, or TACACS+.

By default, when you require a profile for authentication the MAX always checks for a Connection profile. If a Connection profile does not exist, the MAX checks for a remote RADIUS, TACACS, or TACACS+ profile. However, you can change this default by setting Local Profile First=No in the External-Auth profile. When Local Profile First=No, the MAX first looks for a remote profile. If it cannot find one, the MAX looks for a local Connection profile.

**Note:** You can also specify that the Answer profile be used for authentication. See “Preventing dial-in calls with the Names/Passwords profile” on page 3-35.

This section describes how the MAX authenticates an incoming call, the following events take place:

- 1 Before the MAX answers a call, it checks whether the Answer-Defaults profile requires Calling Line ID (CLID) authentication, called number authentication, or both.  
  
The CLID is the phone number of the calling device, which is not always provided by the WAN carrier. When the profile requires CLID authentication, the caller’s phone number must match a phone number specified in a local Connection profile or RADIUS user profile.  
  
The called-party number is the phone number the remote device called to connect to the MAX, but does not include a trunk group or dialing prefix specification. This number is always available if specified in a profile. When the profile requires called-number authentication, the number called must match a called-party number in a local Connection profile or RADIUS user profile.
- 2 If CLID authentication is required or preferred (Id Auth=Require or Prefer) in the Answer profile, or called number authentication is required (Id Auth=Called Require or Called Prefer), the MAX first looks for a matching phone number in a local Connection profile.  
  
If unsuccessful, the MAX then looks for a matching phone number in a RADIUS user profile. If it still cannot find the correct phone number, the MAX hangs up.  
  
If CLID authentication is set to Fallback, the MAX must receive a CLID in the incoming call. The MAX answers the call if the CLID matches the local Connection profile or a RADIUS user profile. If the MAX does not receive a response from RADIUS, it uses the authentication set up in the Answer profile.
- 3 If a matching profile to the CLID or called number is found, the call is answered and further authentication is normally not required. If a matching profile to the CLID or called number is not found and ID Auth=Require or Called Require, the call is not answered.  
  
**Note:** The RADIUS attribute Ascend-Require-Auth specifies whether additional authentication is required. For more information, see the *MAX RADIUS Configuration Guide*.
- 4 If CLID authentication and called number authentication are not required, or if a matching phone number is found in a local Connection profile or RADIUS user profile, the MAX answers the call.
- 5 The MAX checks its other Answer profile settings.

- 6 If the Answer profile specifies the type of link encapsulation the call uses, the MAX continues checking Answer profile parameters. If the Answer profile does not enable the type of link encapsulation the call uses, the MAX drops the call.
- 7 The MAX checks the value of the Profile Req'd parameter in the Answer profile.  
If Profile Req'd=Yes, the MAX must find a Connection profile, Names/Passwords profile, RADIUS user profile, or TACACS/TACACS+ profile to authenticate the call. Setting up Profile Req'd configures user authentication for the following:
  - Unencapsulated calls
  - Calls using ARA or any other encapsulation listed in step 7
- 8 The MAX prompts the user for a login name and password. If the name and password match a local Connection profile or Names/Passwords profile, the call is authenticated. If no match is found and RADIUS or TACACS remote authentication has been enabled, the MAX requests authentication from the remote server. The MAX clears the call if authentication fails.
- 9 If name and password authentication is required, the MAX attempts to match the caller's name and password to a local Connection profile.  
If authentication using a local Connection profile succeeds, the MAX uses the parameters specified in the profile to build the connection.
- 10 If it cannot find a matching Connection profile, the MAX looks for a Names/Passwords profile.  
If the MAX finds the user's name and password in a Names/Passwords profile, then to build the connection with the settings in the Answer profile.  
  
**Note:** The Names/Passwords profile applies only to ARA, PPP, MP, and MP+ calls. It does not apply to terminal server users.
- 11 If it cannot find a matching Names/Passwords profile, the MAX looks for a RADIUS, TACACS, or TACACS+ profile containing a matching name and password.  
If authentication using a RADIUS user profile succeeds, the MAX uses the specified RADIUS attributes to build the connection. The MAX can then forward the call to its bridge/router or other destination. For example, the MAX might forward a terminal server call to a Telnet or TCP host.  
If authentication using a TACACS or TACACS+ profile succeeds, the MAX must make a request to the server for information about the resources and services the user can access.
- 12 If name and password authentication is not required (Recv Auth=None or Password Req'd=No in the Answer profile), the MAX can match IP-routed PPP calls by using the IP address specified by the Connection profile.
- 13 If the Answer profile does not require a profile (Profile Req'd=No), the MAX uses Answer profile parameters to build the connection.

**Note:** You can limit the duration of incoming calls. For instructions, see "Setting Connection profile parameters" on page 3-30.

No matter which authentication method you choose, you can access authentication and user configuration data stored locally or remotely. You have the following options:

- Local authentication using a Connection profile or a Names/Passwords profile.
- Remote authentication using a TACACS, TACACS+, or RADIUS server. (For details of configuring the MAX to use a TACACS or TACACS+ server, see "Setting up an

authentication server” on page 3-42. For details of configuring the MAX to use a RADIUS server, see the *MAX RADIUS Configuration Guide*.)

- Remote authentication using a AssureNet Defender server. For details of configuring the MAX to use a Defender server, see “Configuring direct Defender server authentication” on page 5-24.
- Security-card authentication. You use an external authentication server, such as an ACE or SafeWord server, and set up your network site to require that users change passwords very frequently (many times per day). For details, see Chapter 3, “Setting Up User Authentication.”

## ***Setting up CLID authentication***

You can require the MAX to authenticate incoming calls by checking the calling party’s phone number (CLID authentication). The MAX performs CLID authentication before answering an incoming call. You can thereby ensure that the call originates from a known location. To set up CLID authentication, use the parameters listed in Table 3-2.

*Table 3-2. CLID authentication parameters*

| <b>Location</b>                                                         | <b>Parameters with sample values</b>      |
|-------------------------------------------------------------------------|-------------------------------------------|
| System > Sys Config                                                     | Name=mygw                                 |
| Ethernet > Answer                                                       | Id Auth=Require<br>Profile Req=Yes        |
| Ethernet > Answer > PPP Options                                         | Recv Auth=Either                          |
| Ethernet > Answer > COMB Options                                        | Password Req=Yes                          |
| Ethernet > Connections > <i>any Connection profile</i>                  | Station=Emma<br>Calling #=555-1213        |
| Ethernet > Connections > <i>any Connection profile</i> > Encaps Options | Recv PW=office-pw                         |
| Ethernet > Ethernet > Mod Config > Auth menu                            | CLID Timeout Busy=No<br>CLID Fail Busy=No |

When you set up CLID authentication, you can choose one of the following configurations:

- Authenticate all callers using name, password, and calling line ID.  
For details, see “Setting up authentication using a name, password, and calling line ID” on page 3-7.
- Authenticate all callers using a calling line ID only. (For details, see “Setting up authentication using a calling line ID only” on page 3-8.)
- Use an external authentication server, such as a token-card authentication server, to authenticate users after CLID authentication. (For details, see the *MAX RADIUS Configuration Guide*.)

- Request PAP, CHAP, or MS-CHAP after CLID authentication. (For details, see the *MAX RADIUS Configuration Guide*.)

## General guidelines

Before you set up CLID authentication, keep the following limitations in mind:

- In some installations, the WAN provider might not be able to deliver CLIDs, or a caller might choose to keep a CLID private.
- CLID authentication applies only for connections in which CLID is available end-to-end and ANI (Automatic Number Identification) applies to the call.
- T1 access lines and Switched-56 lines do not support CLID.
- When a user dials into the MAX for a MP or MP+ connection, the calling device might have more than one phone number associated with it. In this type of situation, the CLID is the phone number associated with the channel in use.
- If you set up a RADIUS user profile for callback and CLID-only authentication, the MAX never answers the call, and the caller therefore avoids possible billing charges.

## CLID authentication requirement options

The *Network Configuration Guide* for your MAX provides instructions for setting up CLID authentication and for requiring that a RADIUS entry be used for the CLID authentication. You can also configure Connection Profiles to authenticate using caller ID. Ascend recommends that you perform this function in RADIUS.

When you set up CLID authentication either in RADIUS or in a MAX Connection profile, you must specify what the MAX requires for the CLID authentication. Table 3-3 lists the available options:

*Table 3-3. CLID authentication requirement options*

| Option  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Require | <p>The MAX must receive a CLID from the incoming call. The CLID must match a Calling # parameter in a local Connection profile or in a RADIUS user profile that has Password set to Ascend-CLID (For more information, see the <i>MAX RADIUS Configuration Guide</i>). If the MAX does not receive a CLID or if it cannot match the CLID, the call is not answered.</p> <p><b>Note:</b> The matching user profile in RADIUS can require name and password authentication in addition to CLID, depending on the value of the Ascend-Require-Auth attribute.</p> |
| Prefer  | <p>The MAX does not require a CLID from the incoming call. If a CLID is received, the MAX compares the CLID with a Calling # parameter in a local Connection profile or with a RADIUS user profile that has Password set to Ascend-CLID. If the MAX does not receive a CLID from the incoming call, it uses the authentication configured in the Answer profile.</p>                                                                                                                                                                                           |

Table 3-3. CLID authentication requirement options (continued)

| Option   | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Fallback | The MAX must receive a CLID in the incoming call. If no CLID is received, the MAX does not answer the call. If a CLID is received, the MAX compares the CLID with a Calling # parameter in a local Connection profile or with a RADIUS user profile with Password set to Ascend-CLID. If the CLID does not match that has the Connection profile and the MAX does not receive a response from the RADIUS server, it uses the authentication configured in the Answer profile. |

## Setting up authentication using a name, password, and calling line ID

**Note:** To authenticate on all three criteria (name, password, and Caller ID), you must specify RADIUS authentication by setting the Auth parameter to RADIUS. For information, see the *MAX RADIUS Configuration Guide*.

To require all callers to pass name, password, and CLID, authentication, proceed as follows:

- 1 In the Ethernet > Answer menu, set Id Auth=Prefer.  
The Prefer setting specifies that, whenever CLID is available, the MAX compares the calling party's phone number to the value of the Calling # parameter in the Connection profile or a RADIUS user profile set up for Ascend-CLID.
  - If a match is found, and no further authentication is required, the MAX accepts the call.
  - If a match is found and the MAX requires further authentication (Profile Req'd=Yes in the Answer profile), the MAX applies authentication using the Recv Auth or Password Req'd parameters in the Answer profile.
  - If the CLID is not available, or if the MAX cannot find a match to the calling party number, the MAX applies authentication using the Recv Auth or Password Req'd parameters in the Answer profile.
- Note:** You can also set Id Auth=Require or Id Auth=Fallback.
- 2 Verify that no local profiles are set up for CLID authentication.
- 3 Set Profile Req'd=Yes.
- 4 For PPP calls, set Recv Auth to the authentication protocol.
- 5 For Cominet calls, set Password Req'd=Yes.
- 6 Set the CLID Timeout Busy parameter to specify whether the MAX returns User Busy when CLID authentication fails because of a RADIUS timeout.  
Set CLID Timeout Busy=Yes, to specify that MAX returns User Busy as the disconnect cause when CLID authentication fails because of a RADIUS timeout.  
The default value is No. When CLID Timeout Busy=No, the MAX returns Normal Call Clearing as the disconnect cause.
- 7 Set the CLID Fail Busy parameter to specify whether the MAX returns User Busy when CLID authentication fails for any reason other than a RADIUS timeout.  
Set CLID Fail Busy=Yes to specify that the MAX returns User Busy when CLID authentication fails for any reason other than a RADIUS timeout.

The default is No. CLID Fail Busy=No specifies that the MAX returns Normal Call Clearing.

You can choose the value for this field regardless of the Server setting because the occurrence of this failure does not depend upon using a RADIUS server.

**8** Save your changes.

For further information, see the *MAX RADIUS Configuration Guide*.

## Setting up authentication that uses a calling-line-ID only

Although you can configure local Connection profiles for authentication by calling-line-ID only, Ascend recommends that you use RADIUS for this type of configuration.

To require all callers to authenticate by a calling-line-ID only, proceed as follows:

- 1** In the System > Sys Config menu, specify the name of the MAX as the Name parameter.
- 2** In the Ethernet > Answer menu, set Profile Req'd=Yes.
- 3** In the Ethernet > Answer menu, set Id Auth=Require.  
The Require setting specifies that the calling party's phone number must match the value of the Calling # parameter in the Connection profile before the MAX can answer the call. If CLID is not available, the MAX does not answer the call.
- 4** Open the Ethernet > Connections menu.
- 5** In the Connection profile, specify the caller's phone number by setting the Calling # parameter.
- 6** Save your changes.

## Setting up called number authentication

Called-number authentication works like CLID authentication, except that the MAX uses the number *called* by the remote end to authenticate the connection. The called number appears in an ISDN message as part of the call when DNIS is in use. Called-number authentication is also known as DNIS authentication.

To set up called-number authentication, use the parameters listed in Table 3-4.

*Table 3-4. Called Number authentication parameters*

| Location                         | Parameters with sample values               |
|----------------------------------|---------------------------------------------|
| System > Sys Config              | Name=mygw                                   |
| Ethernet > Answer                | Id Auth=Called Require<br>Profile Req'd=Yes |
| Ethernet > Answer > PPP Options  | Recv Auth=Either                            |
| Ethernet > Answer > COMB Options | Password Req'd=Yes                          |

Table 3-4. *Called Number authentication parameters (continued)*

| Location                                                                | Parameters with sample values     |
|-------------------------------------------------------------------------|-----------------------------------|
| Ethernet > Connections > <i>any Connection profile</i>                  | Station=Emma<br>Called #=555-1213 |
| Ethernet > Connections > <i>any Connection profile</i> > Encaps Options | Recv PW=office-pw                 |

## Setting up called-number authentication options

You can choose one of the following configurations for called number authentication:

- Authenticate all callers with name, password, and called number. (For details, see “Setting up authentication using a name, password, and called number” on page 3-10.)
- Authenticate all callers by called-number only. (For details, see “Setting up authentication using the called number only” on page 3-10.)
- Authenticate with an external authentication server, such as a token-card authentication server, to authenticate users after called number authentication.

When you configure called number authentication either in RADIUS or in a MAX Connection profile, you must set the XX profile’s ID Auth parameter to specify what the MAX requires for the called-number authentication. Table 3-5 shows the available options.

Table 3-5. *Called Number authentication options*

| Option         | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Called Require | The MAX must receive a called number from the incoming call. The called number must match a Called-number parameter, in a local Connection profile or in a RADIUS user profile (For more information, see the <i>MAX RADIUS Configuration Guide</i> ). If the MAX does not receive a called number or if it cannot match the called number, the call is not answered.<br><br><b>Note:</b> The matching user profile in RADIUS can require name and password authentication in addition to called number, depending on the Ascend-Require-Auth attribute. |
| Called Prefer  | The MAX does not require a called number from the incoming call. If a called number is received, however, the MAX compares the called number with a Called # parameter in a local Connection profile or with a RADIUS user profile. If the MAX does not receive a called number from the incoming call, it uses the authentication configured in the Answer profile.                                                                                                                                                                                     |

## Setting up authentication using a name, password, and called number

To authenticate on all three criteria (name, password, and called number), you must specify RADIUS authentication by setting the Auth parameter to RADIUS. (For further information, see the *MAX RADIUS Configuration Guide*.)

To require all callers to pass name, password, and called number authentication. Proceed as follows:

- 1 In the Ethernet > Answer menu, set Id Auth=Called Prefer.  
The Prefer setting specifies that whenever the called number is available, the MAX compares the phone number called to the value of Called # in the Connection profile.
  - If a match is found, and no further authentication is required, the MAX accepts the call.
  - If a match is found and the MAX requires further authentication (Profile Reqd=Yes in the Answer profile), the MAX applies authentication using the Recv Auth or Password Reqd parameters in the Answer profile.
  - If the called number is not available, or if the MAX cannot find a match to the calling party number, the MAX applies authentication using the Recv Auth or Password Reqd parameters in the Answer profile.
- 2 Verify that no Connection profiles are set up to authenticate users by called number.
- 3 Set Profile Reqd=Yes.
- 4 For PPP calls, set Recv Auth to the authentication protocol.
- 5 For Combinet calls, set Password Reqd=Yes.
- 6 Save your changes.

## Setting up authentication using the called number only

Although you can configure local Connection profiles to authenticate by the called number only, Ascend recommends that you in RADIUS for this type of configuration.

To require all callers to pass a called-number authentication only, proceed as follows:

- 1 In the System > Sys Config menu, set the Name parameter to specify the name of the MAX.
- 2 In the Ethernet > Answer menu, set Profile Reqd=Yes.
- 3 In the Ethernet > Answer menu, set Id Auth=Called Require.  
The Called Require setting specifies that the called number must match the value of the Called # parameter in the Connection profile before the MAX can answer the call. If the called number is not available, the MAX does not answer the call.
- 4 Open the Ethernet > Connections menu.
- 5 In the Connection profile, specify the called number by setting the Called # parameter.
- 6 Save your changes.

## Setting up callback security

There are two types of callback security: Ascend callback security and Microsoft callback security.

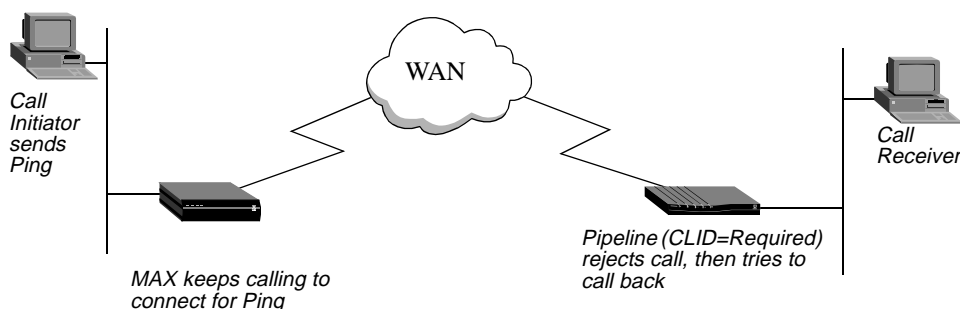
### Ascend callback security

Callback security instructs the MAX to hang up on an incoming caller and immediately initiate call back. Callback ensures that the connection is with a known destination.

For outgoing calls, you can configure the MAX to expect a callback from the machine that is called. This prevents problems that arise when CLID is set to Required (ID Auth=Required) on the machine that is expected to call back.

For example, in Figure 3-1 Ping or Telnet is initiated through a MAX to a Pipeline, and CLID is set to Required on the Pipeline (the side that is calling back). The Pipeline rejects the incoming call before answering it. To the MAX (the initiating side), it appears as if the call never got through.

Figure 3-1. Callback connection failure



The Callback process is disrupted when protocols like Ping and Telnet continuously try to open a connection.

When Expect Callback is set to Yes, calls that dial out and do not connect (for any reason) are put on a list that disallows any further calls to that destination for 90 seconds. This gives the far end an opportunity to complete the callback. If a call fails for any reason, regardless of whether or not the called machine requires CLID and is attempting a callback, the call initiator must still wait 90 seconds before attempting to call the same number again.

Table 3-6 lists the Ascend callback parameters on the MAX.

Table 3-6. Ascend callback security parameters

| Location                                        | Parameters with sample values         |
|-------------------------------------------------|---------------------------------------|
| Ethernet > Connections > any Connection profile | Calling #=555-1213<br>Dial #=555-1213 |

Table 3-6. Ascend callback security parameters (continued)

| Location                                                               | Parameters with sample values                    |
|------------------------------------------------------------------------|--------------------------------------------------|
| Ethernet > Connections > <i>any Connection profile</i> > Telco Options | Callback=Yes<br>Exp Callback=Yes<br>AnsOrig=Both |

For information about setting up callback security in RADIUS, see the *MAX RADIUS Configuration Guide*.

To set callback security on the MAX, proceed as follows:

- 1 Open the Ethernet > Connections menu.
- 2 Open a Connection profile.
- 3 Set the Dial # parameter to specify the number the MAX dials to reach the remote end of the connection.  
For example:  
Dial #=555-1213  
  
**Note:** The MAX can also use the CLID to reach the remote end of the connection, if the CLID is available.
- 4 Set the Calling # parameter to specify the number the remote device dials to call the MAX.  
For example:  
Calling #=555-1213
- 5 Open the Telco Options submenu of the Connection profile.
- 6 Turn on callback security by setting the following parameters as shown:  
Callback=Yes  
Exp Callback=Yes  
AnsOrig=Both  
  
**Note:** Callback does not apply to leased lines (if Call Type=Nailed).  
When you set Callback=Yes, you must also set AnsOrig=Both, because the Connection profile must both answer the call and call back the device requesting access. Similarly, the calling device must be able to both dial to and accept calls from the MAX.  
To prevent a problem when CLID on the called machine is set to Required, set Exp Callback to Yes.
- 7 Save your changes.

**Note:** If the Pipeline is the calling device and callback is set up on the MAX, the Pipeline must be set to Expect Callback.

## Microsoft's Callback Control Protocol (CBCP)

Microsoft Corporation developed CBCP to address a need for greater security with PPP connections. The standardized callback option defined in RFC 1570 has a potential security risk because the authentication is performed after the callback. CBCP callback, like Ascend's proprietary callback, occurs after authentication, leaving no potential security hole.

CBCP also offers features not available with the standard callback defined in RFC 1570. The client side supports a configurable time delay to allow users to initialize modems or enable supportive software before the MAX calls the client. You can configure the MAX with a phone number to use for the callback, or you can configure it to allow the client to specify the phone number used for the callback.

Currently, Microsoft's Windows NT 4.0 and Windows 95 software support client-side authentication using CBCP. The MAX supports a CBCP central-site solution.

### *Ascend's implementation of CBCP*

CBCP is an option negotiated during the LCP (Link Control Protocol) negotiation of a PPP session. Although support for CBCP is configured systemwide on the MAX, not every connection must negotiate its use. Parameters exist in the Answer Profile under Ethernet > Answer > PPP Options, and in each Connection Profile under Ethernet > Connections > *any Connection profile* > Encaps Options. The calling and called sides of a PPP session initiate authentication after acknowledging that CBCP is to be used.

**Note:** Currently, the MAX does not initiate LCP negotiation of CBCP. The MAX responds to *caller* requests to configure CBCP.

The MAX employs the username and password to link a caller with a specific Connection profile or RADIUS User profile. Configured CBCP parameters in that Connection profile specify variables for the callback. If, at any point, the client and the MAX disagree about any CBCP variables, the MAX might drop the connection.

Both sides of the connection must agree on whether the callback phone number is supplied by the client or by the MAX. A new trunk group parameter, configured on the MAX, supplies a trunk group that is prepended to phone numbers when they are supplied by the client.

Table 3-7 lists the MAX parameters for CBCP.

*Table 3-7. Microsoft's CBCP parameters on the MAX*

| Location                                                                | Sample parameters |
|-------------------------------------------------------------------------|-------------------|
| Ethernet > Answer > PPP options                                         | CBCP Enable       |
| Ethernet > Connections > <i>any Connection profile</i> > Encaps options | CBCP Mode         |
| Ethernet > Connections > <i>any Connection profile</i> > Encaps options | CBCP Trunk Group  |

For information about setting up callback security in RADIUS, see the *MAX RADIUS Configuration Guide*.

### *Negotiation of CBCP*

Following are the steps in CBCP negotiation, from initial connection to MAX callback:

- 1 Caller connects to MAX.
- 2 LCP negotiations begin.

## Setting Up User Authentication

### *Setting up call authentication on serial AIM ports*

---

- Caller and MAX must agree to use CBCP. Otherwise, the MAX terminates the connection.
- 3 After successful LCP negotiation, both sides have acknowledged that CBCP will be used, and CBCP begins after authentication.
  - 4 Caller authenticates itself to MAX. If authentication fails, the MAX terminates the connection.
  - 5 The MAX verifies that the profile has CBCP Mode set. CBCP begins.
  - 6 The MAX sends a request to determine if a callback is to occur. The caller's configuration must match the CBCP Mode value on the MAX.  
The client also supplies to the MAX the number of seconds it should delay before initiating the callback, and, if applicable, the phone number.
  - 7 If both sides agree on which phone number the MAX will dial, and the client clears the connection.
  - 8 The MAX delays the callback on the basis of the previous negotiation.
  - 9 The MAX dials the client, by applying information from the same profile used during negotiation.

### *Configuring Microsoft's CBCP to use a Connection Profile*

To configure CBCP to work with a Connection profile:

- 1 Open the Ethernet > Answer > PPP Options menu.
- 2 Set CBCP Enable = Yes.
- 3 Open the Ethernet > Connections > *any Connection profile* > Encaps Options menu.
- 4 Set CBCP Mode to the callback mode to be offered the caller.
- 5 If the caller is supplying the phone number, set CBCP Trunk Group to the value (4–9) that the MAX prepends to the number when calling back.
- 6 Save your changes.

## ***Setting up call authentication on serial AIM ports***

For calls placed across the Host serial inverse multiplexing ports, you can specify a password in the Call profile for outgoing calls and in the Port configuration profile for incoming calls.

## **Understanding serial call authentication**

Serial call authentication is used only if the receiving unit has a password defined in its Port profile. If the Port profile in the receiving unit does not have a password defined, the units connect without authentication even though the originating unit might have sent authentication parameters.

**Note:** The MAX only authenticates AIM and BONDING calls. Dual-port calls are not authenticated.

Upon initial connection of the first channel, the originating unit passes the Call profile password to the authenticating unit. The authenticating unit compares the password received with stored in the Port profile. If the password received matches the stored password, the session is established normally for the remainder of the call. If there is no match, the

authenticating unit sends a message back to the originator and drops the session. The port status screen in Host > Dual > portname > Message Log indicates that the call failed authentication.

## Configuring serial port passwords

To set the passwords, proceed as follows:

- 1 For outgoing AIM or BONDING calls, specify the DBA call password as the Call Password setting in the Host/Dual (or Host/6) > Port N Menu > Directory > *appropriate Call profile*.  
  
Dynamic Bandwidth Allocation (DBA) enables the MAX to increase bandwidth as needed and drop bandwidth when it is no longer required.
- 2 For incoming AIM and BONDING calls, specify the port password as the Port Password setting in Host/Dual (or Host/6) > Port N Menu > Port Config (the Port profile)

## Setting up authentication of PPP, MP, and MP+ calls

For PPP, MP, and MP+ calls, the answering unit always determines the authentication method. You can specify PAP, CHAP, or MS-CHAP authentication for name and password verification of incoming PPP, MP, or MP+ calls.

The only MS-CHAP format Ascend units support is the Windows NT version, with DES and MD4 encryption. An Ascend unit can authenticate a Windows NT system and a Windows NT system can authenticate an Ascend unit. For more specific information about the MS-CHAP format, see Microsoft's Web site at:

`ftp://ftp.microsoft.com/DEVELOPR/RFC/chapexts.txt`

You can also request an authentication protocol for outgoing PPP, MP, and MP+ calls.

For information about how PPP, MP, and MP+ authentication works, see "How does user authentication work?" on page 3-3. For complete information about setting up PPP, MP, and MP+ calls on the MAX, see the *Network Configuration Guide* for your MAX. For complete information about setting up PPP, MP, and MP+ calls and authentication in RADIUS, see the *MAX RADIUS Configuration Guide*.

## Understanding PPP, MP, and MP+

PPP enables you to set up a single-channel connection to any other device running PPP. A PPP connection can support IP routing, IPX routing, protocol-independent bridging, and password authentication that uses PAP, CHAP, or MS-CHAP.

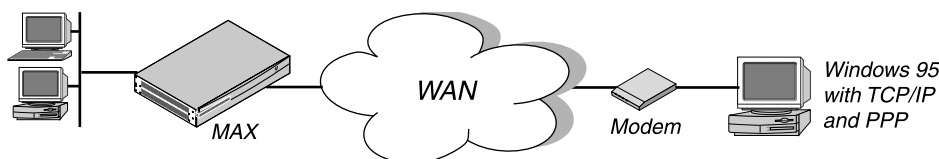
A PPP connection is usually a bridged or routed network connection initiated in PPP dialup software. Figure 3-2 shows the MAX with a PPP connection to a remote user running Windows 95 with the TCP/IP stack and PPP dialup software.

*Figure 3-2. A PPP connection*

## Setting Up User Authentication

### Setting up authentication of PPP, MP, and MP+ calls

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MP and MP+ are enhancements to PPP for supporting multichannel links.

MP supports a fixed number of multichannel links. The base channel count determines the number of calls to place, and the number of channels does not change. In addition, MP requires that all channels in the connection share the same phone number. That is, the channels on the answering side of the connection must be in a hunt group.

MP+ supports multichannel links and Dynamic Bandwidth Allocation (DBA). DBA enables the MAX to increase bandwidth as needed and drop bandwidth when it is no longer required. MP+ is the only PPP-based encapsulation method that supports DBA. An MP+ connection can combine up to 30 channels into a single high-speed connection.

## Understanding PAP, CHAP, and MS-CHAP

For PAP, CHAP, and MS-CHAP authentication, the calling unit and the MAX each share a different secret with the RADIUS server:

- The calling unit's secret is called the remote secret. The MAX does not know the value of this secret.
- The MAX unit's secret is called the NAS secret (because the MAX is an Network Access Server). The calling unit does not know the value of this secret.

PAP, CHAP, or MS-CHAP authentication is required if the incoming PPP call does not include a source IP address.

**Note:** PAP, CHAP, and MS-CHAP authentication is not available for Combinet, ARA, V.34, V.42, V.110, or V.120 calls.

### *How PAP works*

PAP is a PPP authentication protocol that provides a simple method for the MAX to establish its identity in a two-way handshake. Authentication takes place only upon initial link establishment and does not use encryption. The remote device must support PAP.

For PAP authentication, the following events take place:

- 1 The calling unit sends the remote secret in the clear to the MAX.
- 2 The MAX uses the NAS secret to encrypt the remote secret.
- 3 The RADIUS server uses the NAS secret to decrypt the remote secret.
- 4 The RADIUS server passes the clear copy of the remote secret to a UNIX or other password validation system.

### *How CHAP works*

CHAP specifies a PPP authentication protocol that is more secure than PAP. It provides a way for the remote device to periodically verify the identity of the MAX by means of a three-way handshake and encryption. Authentication takes place upon initial link establishment. A device can repeat the authentication process any time after the connection is made. The remote device must support CHAP.

For CHAP authentication, the following events take place:

- 1 The MAX sends a random, 128-bit challenge to the calling unit.
- 2 The calling unit uses the remote secret, the challenge, and the PPP packet ID to calculate an MD5 digest.
- 3 The calling unit sends the MD5 digest, the challenge, and the PPP packet ID (but not the remote secret) to the MAX. The MAX never has the remote secret.
- 4 The MAX forwards the digest, along with the original challenge and PPP packet ID to RADIUS.  
No encryption is necessary, because MD5 creates a one-way code that cannot be decoded. In addition, RADIUS cannot extract the remote secret. Therefore, it cannot provide a password to a UNIX password system. For this reason, CHAP and UNIX authentication cannot work together.
- 5 The RADIUS server looks up the remote secret from a local database and uses the local version of the remote secret, along with the challenge and the PPP packet ID it received from the MAX, to calculate an MD5 digest.
- 6 The RADIUS server compares the calculated MD5 digest with the digest it received from the MAX.  
If the digests are the same, the remote secrets used by the calling unit and the RADIUS server are the same, and the call is authenticated.

### *How MS-CHAP works*

MS-CHAP is similar to CHAP with minor differences. For more information, see the Microsoft Website at

<ftp://ftp.microsoft.com/DEVELOPR/RFC/chapexts.txt>

## **Configuring PAP, CHAP, or MS-CHAP for PPP, MP, and MP+ calls**

To configure incoming and outgoing connections using PAP, CHAP, or MS-CHAP, you must carry out the following tasks:

- Set systemwide System, Answer, and Ethernet profile parameters. These parameters specify the name of the MAX, the types of encapsulation allowed, the kind of authentication required, and the contents of one or more IP address pools.
- Set up a Connection profile, Names/Passwords profile, or RADIUS user profile containing settings for each individual connection.

**Note:** You only need to set up one of these profiles.

Table 3-8 lists the parameters you can set.

## Setting Up User Authentication

### Setting up authentication of PPP, MP, and MP+ calls

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Table 3-8. Parameters for incoming connections using PAP, CHAP, or MS-CHAP

| Location                                                                | Parameters with sample values                               |
|-------------------------------------------------------------------------|-------------------------------------------------------------|
| System > Sys Config                                                     | Name=mygw                                                   |
| Ethernet > Answer                                                       | Profile Reqd=Yes                                            |
| Ethernet > Answer > Encaps                                              | PPP=Yes<br>MP=Yes<br>MPP=Yes                                |
| Ethernet > Answer > PPP Options                                         | Recv Auth=PAP, CHAP,<br>MS-CHAP, or Either                  |
| Ethernet > Mod Config > WAN Options                                     | Pool#1 Start=100.0.0.20<br>Pool#1 Count=90<br>Pool Only=Yes |
| Ethernet > Connections > <i>any Connection profile</i>                  | Station=dialmax<br>Encaps=PPP, MP, or MPP                   |
| Ethernet > Connections > <i>any Connection profile</i> > Telco Options  | Dialout OK=Yes                                              |
| Ethernet > Connections > <i>any Connection profile</i> > Encaps Options | Recv PW=office-pw                                           |
| Ethernet > Names/Passwords > <i>any Names/Passwords profile</i>         | Name=Fred<br>Recv PW=office-pw                              |

### Setting systemwide parameters

To set systemwide parameters for PAP, CHAP, or MS-CHAP authentication, proceed as follows:

- 1 To specify the name of the MAX used for making outgoing calls, set the Name parameter in the System > Sys Config menu.
- 2 In the Ethernet > Answer menu, set Profile Reqd=Yes.  
This setting specifies that the MAX rejects incoming calls for which it can find no Connection profile, no Names/Passwords profile, and no entry on a remote authentication server.  
For an ARA connection, setting Profile Reqd=Yes prohibits Guest access.
- 3 In the Ethernet > Answer > Encaps menu, specify that the unit can receive PPP, MP, or MP+ calls or any combination of PPP, MP, and MP+ calls.

**Note:** PAP, CHAP, and MS-CHAP authentication is available only if you choose MP, MPP, or PPP.

- To specify that the unit can receive PPP calls, set PPP=Yes.
- To specify that the unit can receive MP calls, set MP=Yes.

- To specify that the unit can receive MP+ calls, set MPP=Yes.
- 4** In the Ethernet > Answer > PPP Options menu. Set Recv Auth to PAP, CHAP, MS-CHAP, or Either.  

When you specify Either, the MAX allows authentication if the remote peer can authenticate with any of the designated authentication schemes. If you specify a protocol, the MAX allows authentication only if the remote peer uses that protocol for authentication.
- 5** If you are using a Names/Passwords profile for an IP routing connection, open the Ethernet > Mod Config > WAN Options menu to begin setting up one or more IP address pools.  

Unlike Connection profiles and RADIUS user profiles, Names/Passwords profiles cannot specify an IP address for the calling station. When you use a Names/Passwords profile to authenticate an IP routing connection, the MAX automatically assigns the PPP caller a dynamic IP address as the connection is established. For a call configured in a Names/Passwords profile, the address assignment is always from the pool of addresses defined as Pool #1, if Pool #1 exists and has available addresses. If Pool #1 does not exist or does not have available addresses, the MAX assigns an address from Pool #2.
- 6** Set up address pools by setting the Pool #*n* Count and Pool #*n* Start parameters.  

The Pool #*n* Count parameter specifies the number of IP addresses in the IP address pool. Specify a number between 0 and 254. The default value is 0 (zero).

The Pool #*n* Start parameter specifies the first IP address in the address pool. Specify an IP address in dotted decimal notation. The default value is 0.0.0.0.

You can also set up address pools by setting the Ascend-IP-Pool-Definition attribute. For details, see the *MAX RADIUS Configuration Guide*.
- 7** Set Pool Only=Yes.  

The Pool Only parameter determines whether a caller can reject an IP address assignment and use his or her own IP address. To eliminate the possibility of a caller rejecting the automatic dynamic assignment and spoofing a local, trusted address, set Pool Only=Yes when using Names/Passwords profiles to authenticate IP routing connections.

For a call configured in a Names/Passwords profile, the address assignment is always from the pool of addresses defined as Pool #1, if Pool #1 exists and has available addresses. If Pool #1 does not exist or does not have available addresses, the MAX assigns an address from Pool #2.

If the calling station rejects the assignment, the MAX ends the call.
- 8** Save your changes.

### *Setting Connection profile parameters*

If you set up a Connection profile, you do not need to set up a Names/Passwords profile or a RADIUS user profile. To set Connection profile parameters for PAP, CHAP, or MS-CHAP authentication, proceed as follows:

- 1** Open the Ethernet > Connections menu.
- 2** Open a Connection profile.
- 3** Set the Station parameter to the name of the user or device making the incoming call.
- 4** Set the Encaps parameter to the type of encapsulation used on the link:

## Setting Up User Authentication

### *Setting up authentication of PPP, MP, and MP+ calls*

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- PPP, which specifies Point-to-Point Protocol, ensures basic compatibility with non-Ascend devices. For this setting to work, both the dialing side and the answering side of the link must support PPP.
- MP specifies Multilink Protocol.
- MPP specifies Multilink Protocol Plus (MP+). Both the dialing side and the answering side of the link must support MP+. If only one side supports MP+, the connection attempts to use MP. If MP is not available, the connection uses standard single-channel PPP.

MP+ calls cannot combine an ISDN BRI channel with a channel on a T1 access line or a T1 PRI line.

- 5 Open the Encaps Options submenu of the Connection profile.
- 6 Set the Recv PW parameter to specify the password that the remote end of the link must send.  
  
If the password specified by Recv PW does not match the remote end's value for Send PW (in a Connection profile), Ascend-Send-Passwd (in a RADIUS user profile), or Ascend-Send-Passwd (in a RADIUS user profile), the MAX disconnects the link.
- 7 Save your changes.

### *Setting Names/Passwords profile parameters*

If you set up a Names/Passwords profile, by default you do not need to set up a Connection profile or a RADIUS user profile. The Names/Passwords profile applies only to ARA, PPP, MP, and MP+ calls and to terminal server users.

You have to set Names/Passwords profile parameters, including the Template Connection # parameter and then activate the profile.

### *Setting the names and passwords profile parameters*

To set Names/Passwords profile parameters for PAP, CHAP, or MS-CHAP authentication, proceed as follows:

- 1 Open the Ethernet menu.
- 2 Open the Names/Passwords menu.
- 3 Open a Names/Passwords profile.
- 4 Set the Name parameter to the name of the user or device making the incoming call.  
  
In a Names/Passwords profile, the Name parameter specifies the username associated with the profile. The name you specify also becomes the name of the profile.
- 5 Set the Recv PW parameter to specify the password that the remote end of the link must send.  
  
If the password specified by Recv PW does not match the remote end's value for Send PW (in a Connection profile), Ascend-Send-Passwd (in a RADIUS user profile), or its equivalent, the MAX disconnects the link.

### *Setting the Template Connection # parameter*

To set the value for Template Connection #, proceed as follows:

- Use the default, Template Connection=#0 (the Answer profile), to specify that the Names/Passwords Profile use the Answer Profile as a template.

This mode supports clients dialing in over PPP and ARA, but does not support a router dialing in.

- Enter a profile number from 1 to 31 to specify the Connection Profile to which the number refers.

In this mode the Names/Passwords profile functions as an alias for the Connection profile.

### *Activating the profile*

To activate the Names/Passwords profile, proceed as follows:

- 1 Set Active=Yes.
- 2 Save your changes.

When a user calls the MAX and Recv Auth has been set to a value other than None in the Answer profile, the MAX asks for a username and password. If the user enters the username specified by the Name parameter in the Names/Passwords profile, and the password specified by the Recv PW parameter in the Names/Passwords profile, the MAX uses the Answer profile parameters to establish the connection.

### *Disabling groups of dial-in calls with the Names/Passwords profile*

If you specify a Connection profile to use as a template for the Names/Passwords profile, you can specify a single Connection profile for a group of users, but have individual Names/Passwords profiles for each user by setting Template Connection # to a number that refers to a Connection profile. The MAX uses that Connection profile for authentication.

For example, you can set up a Connection profile for the Sales group to use when dialing in, then set up a Names/Passwords profile for each individual salesperson. To prevent a user (or users) from dialing in, use one of the following two methods:

- To prevent a single salesperson from dialing in, deactivate the Names/Passwords profile for that salesperson by setting the Names/Passwords Active flag for the user's Names/Passwords profile to No.
- De-activate the entire Sales group by setting the Connection profile Active flag for the Sales group to No.

**Note:** You can set the Template Connection # parameter to the same value for different Names/Passwords profiles.

### *Using a RADIUS user profile*

If you set up a RADIUS user profile, you do not need to set up a Connection profile or a Names/Passwords profile. For information about setting RADIUS attributes for PAP, CHAP, or MS-CHAP authentication, see the *MAX RADIUS Configuration Guide*.

## Requesting PAP, CHAP, or MS-CHAP for outgoing calls

To request PAP, CHAP, or MS-CHAP authentication for an outgoing PPP, MP, or MP+ call, use the parameters listed in Table 3-9.

*Table 3-9. Parameters for outgoing connections using PAP, CHAP, or MS-CHAP*

| Parameter                                                                  | Parameters with sample values                         |
|----------------------------------------------------------------------------|-------------------------------------------------------|
| System > Sys Config                                                        | Name=mygw                                             |
| Ethernet > Connections > <i>any Connection profile</i>                     | Encaps=PPP, MP, or MPP                                |
| Ethernet > Connections > <i>any Connection profile</i> ><br>Encaps Options | Send Auth=PAP, CHAP, or MS-CHAP<br>Send PW=office-pwd |

To specify PAP, CHAP, or MS-CHAP for an outgoing PPP, MP, or MP+ call, proceed as follows:

- 1 Set the Name parameter in the System > Sys Config menu to specify the name of the MAX,
- 2 Open the Ethernet > Connections menu.
- 3 In the Connection profile, set the Encaps parameter to the type of encapsulation used on the link:
  - PPP specifies that Point-to-Point Protocol, which ensures basic compatibility with non-Ascend devices. For this setting to work, both the dialing side and the answering side of the link must support PPP.
  - MP specifies Multilink Protocol.
  - MPP specifies Multilink Protocol Plus. Both the dialing side and the answering side must support MP+. If only one side supports MP+, the connection attempts to use MP. If MP is not available, the connection uses standard single-channel PPP.

MP+ calls cannot combine an ISDN BRI channel with a channel on a T1 access line or a T1 PRI line.
- 4 In the Encaps Options submenu of the Connection profile, set Send Auth=PAP, CHAP, or MS-CHAP.

This parameter specifies the authentication protocol that the MAX requests when initiating a connection using PPP, MP, or MP+ encapsulation. The answering side of the connection determines which authentication protocol the connection uses (if any).
- 5 In the Encaps Options submenu, set the Send PW parameter to the password that the MAX sends to the remote end of a connection on outgoing calls.

If the password specified by Send PW does not match the remote end's value for Recv PW (in a Connection profile), Ascend-Receive-Secret (in a RADIUS user profile), or its equivalent the remote end disconnects the link.
- 6 Save your changes.

For complete information about setting up an outgoing call in the MAX configuration interface, see the *Network Configuration Guide* for your MAX. For complete information

about setting up an outgoing call and requesting authentication in RADIUS, see the *MAX RADIUS Configuration Guide*.

## ***Setting up authentication for dial-in terminal server users***

This section describes the authentication of users calling into the MAX from a terminal or other device that transmits and receives asynchronous data. Such sessions are called *remote-terminal-server sessions* even if the user never sees the MAX terminal-server commands or menu.

A remote-terminal-server session uses one of the following types of encapsulation:

*Table 3-10. Dial-in terminal-server encapsulation types*

| Encapsulation Type | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Modem calls        | The calls originate from either analog or digital modems. Incoming modem calls and incoming digital calls come over the same digital line to the MAX unit's integrated V.34 or V.42 digital modem. An incoming modem call could be initiated from a PC running a communication program like Soft Comm, which causes the user's modem to dial into the MAX. The MAX directs the call to its digital modems, and then forwards the calls to its terminal-server software. The terminal server either displays one of its interfaces to the caller or forwards the call to a Telnet or TCP host on the local network, depending on how it is configured.  |
| V.110              | A V.110 card provides eight V.110 modems, each of which enables the MAX to communicate with an asynchronous device over synchronous digital lines. An asynchronous device such as an ISDN modem encapsulates its data in V.110. The V.110 module in the MAX removes the encapsulation and enables an asynchronous session, that is, a terminal server session.                                                                                                                                                                                                                                                                                         |
| V.120 calls        | V.120 terminal adapters such as the BitSurfer (also known as ISDN modems) asynchronous calls with CCITT V.120 encapsulation. The MAX handles V.120 encapsulation in software, so it does not require installed devices to process these calls. After removing the link encapsulation, the MAX forwards these calls to its terminal-server software. The terminal server either displays one of its interfaces to the caller or forwards the call to a Telnet or TCP host on the local network, depending on how it is configured. Or, if it detects PPP encapsulation, it can forward the call to the bridge/router software for an async PPP session. |

## How terminal server authentication works

You can set up standard terminal-server authentication or per-user terminals. This section does not apply to authentication using the Answer or Connection profile as a template (as described in “Using an Answer or Connection profile as a template” on page 3-27).

For more general information about how authentication works in the MAX, see “How does user authentication work?” on page 3-3.

### *Standard terminal server authentication*

Terminal-server authentication makes use of the following parameters and profiles:

- The Passwd parameter in the Ethernet > Mod Config > TServ Options menu
- Connection profile parameters

The following events take place:

- 1 A caller initiates a terminal-server session that uses a V.34, V.42, V.110, or V.120 connection.
- 2 If Security=Full or Partial and Initial Scrn=Cmd in the TServ Options menu, the MAX compares the password to the Passwd parameter.
- 3 If the caller enters the wrong password, the MAX hangs up.
- 4 If the caller enters the proper password or if no password is assigned to the Passwd parameter, the MAX attempts to verify the caller by using Connection profile information.
- 5 If Security=None or Partial and Initial Scrn=Menu, the MAX skips the Passwd parameter and attempts to verify the caller by using the Connection profile information.

### *Per-user terminal server authentication*

Authentication by CLID or called-party number is slightly different from authentication on a general basis. For per-user terminal server authentication, the following events occur:

- 1 Before the MAX answers a call, it checks whether the Answer-Defaults profile requires Calling Line ID (CLID) authentication, called number authentication, or both.

The CLID is the phone number of the calling device, which is not always provided by the WAN carrier. When the profile requires CLID authentication, the caller’s phone number must match a phone number specified in a local Connection profile or RADIUS user profile.

**Note:** The called-party number is the phone number the remote device called to connect to the MAX, but does not include a trunk group or dialing prefix specification.

This number is always available if specified in a profile. When the profile requires called-number authentication, the number called must match a called-party number in a local Connection profile or RADIUS user profile.

- 2 If CLID authentication is required (Id Auth=Require in the Answer profile) or called-number authentication is required (Id Auth=Called Require), the MAX first looks for a matching phone number in a local Connection profile.

If one does not exist, it then looks for a matching phone number in a RADIUS user profile. If it cannot find the correct phone number, the MAX hangs up.

- 3 If CLID authentication and called-number authentication are not required, or if the MAX finds a matching phone number in a local Connection profile or RADIUS user profile, it answers the call.
- 4 Terminal-server sessions can require a system-terminal-server password in addition to the per-user password. Whether a system-terminal-server user password is required depends upon how the Security and Initial Scrn parameters in the Ethernet profile have been set:
  - If Security=None, no authentication is performed.
  - If Security=Partial, The MAX checks the value of the Profile Req'd parameter in the Answer profile. If Profile Req'd=Yes, the MAX must find a Connection profile, Names/Passwords profile, RADIUS user profile, or TACACS/TACACS+ profile to authenticate the call.
  - If Security=Full, the MAX must find a Connection profile, Names/Passwords profile, RADIUS user profile, or TACACS/TACACS+ profile to authenticate the call, and then prompt the user for the correct name.
- 5 If the name matches a local Connection profile or Names/Passwords profile, the call is authenticated. If no match is found and RADIUS or TACACS remote authentication has been enabled, the MAX requests authentication from the remote server. The MAX clears the call if authentication fails.

**Note:** If Security=Partial or Security=Full, the user must supply the system-terminal-server password whenever changing from the menu mode to the command-line mode.

### *Modem calls*

A modem call might contain PPP encapsulation. For example, if the user is running Windows 95 with the TCP/IP stack and Netscape, Windows 95 could be configured to dial up the MAX whenever Netscape is started. In that case, Windows 95 would be running async PPP. After the call is forwarded to the terminal-server software, if PPP encapsulation is detected, the call is forwarded to the bridge/router software for an async PPP session.

For dial-in users using modems, V.120, or V.110 devices to transport asynchronous PPP, see “Setting up authentication of PPP, MP, and MP+ calls” on page 3-15. In these cases, none of the above steps apply. Asynchronous PPP and synchronous PPP sessions are treated identically by the MAX, except that asynchronous PPP sessions do not allow the user access to the MAX unit’s terminal-server menus or commands.

This section describes first-level authentication using the Passwd parameter. For information about authentication using a Connection profile, see “Setting Connection profile parameters” on page 3-20.

### *Dial-in calls with no login host specified*

You can configure the MAX to accept dial-in calls when Login-Service=TCP-Clear or Login-Service=Telnet and no Login Host is specified in the RADIUS user profile. This option does not apply to PPP encapsulated calls, because the MAX does not accept dial-in PPP calls with the Login-Service set either to Telnet or TCP-Clear.

To set up the MAX to accept dial-calls when no login server is specified, set Auth TS Secure=No in the Ethernet > Mod Config > Auth menu. The default is Auth TS Secure=Yes, which means the MAX drops dial-in calls if there is no login server and Login-Server is Telnet or TCP-Clear.

### *Immediate Service*

You can specify that a remote terminal-server user can establish a Telnet session immediately after the terminal-server banner appears. To do so, set Immed Service=Telnet and Telnet Host Auth=Yes in Ethernet > Mod Config > TServ Options menu.

## Configuring terminal server authentication

Table 3-11 lists the parameters you can use to set up terminal-server password authentication.

*Table 3-11. Terminal server security parameters*

| Location                              | Parameters with sample values                                                                                                                              |
|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Ethernet > Mod Config > TServ Options | TS Enabled=Yes<br>Passwd=office-paswd<br>Security=Full<br>Login Timeout=300<br>Login Prompt: Login:<br>Password Prompt: Password Prompt:<br>Toggle Scrn=No |

To set up password authentication for the terminal-server interface, proceed as follows:

- 1 Open the Ethernet > Mod Config > TServ Options menu.
- 2 Set TS Enabled=Yes.  
This setting enables users to access the terminal server interface. If you set this parameter to No, no one can access the terminal server interface.
- 3 Set the Passwd parameter to specify the password a user must enter to begin a terminal-server session.  
You can enter up to 20 characters. The password is case sensitive
- 4 Set Security either to Full or Partial.  
The Security parameter specifies whether a user must enter a password under different circumstances.
  - Partial specifies that the user must enter the system-terminal-server password (as specified by the Passwd parameter) before entering the terminal-server command line mode, but the user is not prompted for a login name or password. The user must enter a terminal-server password when changing between menu-driven mode and command-line mode if Initial Scrn=Cmd or Toggle Scrn=Yes in the TServ Options menu.
  - Full specifies that the user must enter the system terminal server password (in the Passwd parameter) before entering the terminal server command line mode. When making the initial connection, the user must enter a login name and password that match a local Connection profile or a RADIUS or TACACS profile. The user must enter a terminal server password when changing between menu-driven mode and command-line mode if Initial Scrn=Cmd or Toggle Scrn=Yes in the TServ Options menu. For information about restricting the options available from the menu-driven

interface, see “Restricting Telnet, raw TCP, and Rlogin access to the terminal server” on page 3-28.

- 5 Set the Login Timeout parameter. to specify the number of seconds the MAX waits for a user to complete logging in before disconnecting the user.  
You can enter any integer from 0 to 300 seconds. The default is 300 (seconds).  
The timer starts when the login prompt appears on the terminal-server screen. It does not reset when the user makes an unsuccessful login attempt. If the user has not logged in successfully by the time indicated by Login Timeout has elapsed, the MAX disconnects the call.
- 6 Set the Login Prompt parameter to specify the prompt the terminal-server displays when asking the user for a login name.  
A login prompt can consist of up to 31 characters.
- 7 Set the Password Prompt parameter.  
Specify the prompt the terminal-server displays when asking the user for a password.  
A login prompt can consist of up to 31 characters.
- 8 Save your changes.

## Using an Answer or Connection profile as a template

When a user with a Names/Passwords profile attempts to connect to the terminal server, the MAX uses a *template* profile constructed from the Answer or Connection profile and the name and password from the Names/Passwords profile. For more information, see the *MAX Reference Guide*.

If you prefer, you can authenticate a terminal-server user with the name and password from a Names/Passwords profile, with any additional required parameter settings from the Answer or Connection profile. Because the Names/Passwords profile does not include all the parameters a terminal-server session might require, the MAX uses the settings from the Answer profile or Connection profile named in the Template parameter for the additional parameters.

## Restricting Telnet, raw TCP, and Rlogin access to the terminal server

For the security of other hosts on your local network, you can:

- Give users a menu of specific hosts to which they can establish a Telnet, raw TCP, or Rlogin session. For information about menu mode, see the *Network Configuration Guide* for your MAX.
- Specify that users establish a Telnet, raw TCP, or Rlogin session with a device immediately after login, bypassing the terminal server interface altogether. For information about immediate mode, see the *Network Configuration Guide* for your MAX.

To restrict Telnet, raw TCP, and Rlogin access to the terminal server, proceed as follows:

- 1 Open the Ethernet > Mod Config > TServ Options menu.
- 2 Set the Host #*n* Addr and Host #*n* Text parameters to specify the hosts to which users can establish Telnet, raw TCP or Rlogin sessions.  
These parameters specify the IP addresses and descriptions of the first, second, third, and fourth hosts to which an operator can Telnet. The user sees a list of hosts only if he or she has access to the menu-driven interface. For details of granting such access, see “Restricting Telnet, raw TCP, and Rlogin access to the terminal server” on page 3-28.

For example, you might specify the following settings:

```
Host #1 Addr=10.2.3.1/24
Host #1 Text=host1.abc.com
Host #2 Addr=10.2.3.2/24
Host #2 Text=host2.abc.com
Host #3 Addr=10.2.3.3/24
Host #3 Text=host3.abc.com
Host #4 Addr=10.2.3.4/24
Host #4 Text=host4.abc.com
```

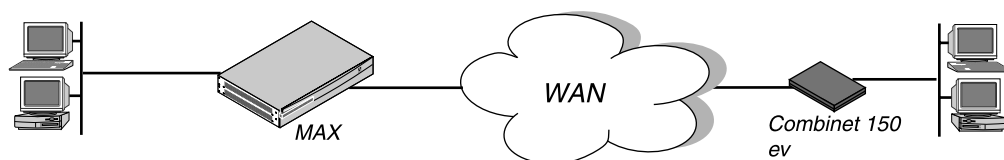
The MAX ignores the Host #*n* Addr parameter if a RADIUS server supplies the list of Telnet hosts, that is, if you set Remote Conf=Yes. For information about setting up a list of hosts in RADIUS, see the *MAX RADIUS Configuration Guide*.

- 3 Save your changes.

## ***Setting up Combinet authentication***

The MAX supports Combinet bridging to link two LANs as though they were one segment. Figure 3-4 shows a Combinet connection between two networks.

*Figure 3-3. A Combinet connection*



Combinet bridging uses a physical Media Access Control (MAC) address and a password to authenticate calls. For information about how MAX authentication works, see “How does user authentication work?” on page 3-3.

Table 3-12 lists the Combinet authentication parameters with sample values.

*Table 3-12. Combinet authentication parameters*

| Location                         | Parameters with sample values |
|----------------------------------|-------------------------------|
| System > Sys Config              | Name=mygw                     |
| Ethernet > Answer                | Profile Reqcd=Yes             |
| Ethernet > Answer > PPP Options  | Bridge=Yes                    |
| Ethernet > Answer > Encaps       | COMB=Yes                      |
| Ethernet > Answer > COMB Options | Password Reqcd=Yes            |

Table 3-12. Combinet authentication parameters (continued)

| Location                                                                | Parameters with sample values                                            |
|-------------------------------------------------------------------------|--------------------------------------------------------------------------|
| Ethernet > Mod Config                                                   | Bridging=Yes                                                             |
| Ethernet > Connections > <i>any Connection profile</i>                  | Station=000145CFCF01<br>Encaps=COMB<br>Bridge=Yes<br>MAX Call Duration=0 |
| Ethernet > Connections > <i>any Connection profile</i> > Telco Options  | Dialout OK=Yes                                                           |
| Ethernet > Connections > <i>any Connection profile</i> > Encaps Options | Recv PW=office-pw<br>Send PW=office-pwd<br>Password Reqd=Yes             |

This section describes how to set up authentication for Combinet calls in the MAX configuration interface. For complete information about setting up Combinet calls on the MAX, see the *MAX Network Configuration Guide*. For information about setting up Combinet calls and Combinet authentication in RADIUS, see the *MAX RADIUS Configuration Guide*.

## Understanding Combinet authentication

To configure incoming connections that support Combinet authentication, you must perform the following tasks:

- Set systemwide System, Answer, and Ethernet profile parameters specifying the name of the MAX, the type of encapsulation allowed, and whether a password is required.
- Set up a Connection profile or a RADIUS user profile containing settings for each individual connection.

**Note:** You only need to set up one of these profiles.

When the MAX receives a Combinet call, it checks whether COMB encapsulation is enabled in the Answer profile and, if so, whether a Combinet password is required. It then looks for a Connection profile that matches the caller's MAC address (and, if appropriate, the caller's password). If it finds a match, it accepts the call.

If it cannot find a matching Connection profile, the MAX looks for a RADIUS user profile, a TACACS profile, or a TACACS+ profile.

## Setting systemwide parameters

To set systemwide parameters for authenticating a Combinet connection, follow these steps:

- 1 Set the Name parameter in the System > Sys Config menu to specify the name of the MAX.
- 2 Open the Ethernet > Answer menu.
- 3 To disable Guest access via Combinet, set Profile Reqd=Yes.

Note that Combinet does not support PAP, CHAP, or MS-CHAP authentication.

- 4 In the Ethernet > Answer > PPP Options menu, set Bridge=Yes.
- 5 In the Ethernet > Answer > Encaps menu, set COMB=Yes.
- 6 To require a password in addition to a MAC address, set Password Reqd=Yes in the Ethernet > Answer > COMB Options menu.  
When Password Reqd=Yes, the MAX compares the caller's MAC address to each of these values until it finds a match:
  - Station parameter in a Connection profile
  - User-Name attribute in a RADIUS user profileThe MAX also compares the value of the caller's password to one of these values:
  - Recv PW in a Connection profile
  - Password attribute in a RADIUS user profileWhen Password Reqd=No, the MAX uses the caller's MAC address only.
- 7 Set Bridging=Yes in the Ethernet > Mod Config menu.
- 8 Save your changes.

## Setting Connection profile parameters

**Note:** If you set up a Connection profile, you do not need to set up a Names/Passwords profile or a RADIUS user profile.

To set Connection profile parameters for authenticating a Combinet connection, follow these steps:

- 1 Open the Ethernet > Connections menu.
  - 2 Open the Connection profile.
  - 3 Set the Station parameter to the MAC address of the device making the call.
  - 4 Set Encaps=COMB.
  - 5 Set Bridge=Yes.
  - 6 To limit the duration of calls that use this Connection profile, specify a value for the MAX Call Duration parameter.  
You can specify from 1 to 1440 minutes. The connection is checked once per minute, so the actual time of the call is slightly longer (usually less than a minute longer) than the actual time you set.  
The default is MAX Call Duration=0. With this setting, incoming calls are not timed. They can be of unlimited duration.
- To specify a maximum duration for calls that use the Answer profile for authentication, you must set the MAX Call Duration value in the Answer profile.
- 7 Open the Encaps Options submenu of the Connection profile.
  - 8 If Password Reqd=Yes in the Ethernet > Answer menu, set the Recv PW parameter in the Connection profile to specify the password that the remote end of the link must send.  
If the password specified by Recv PW does not match the remote end's value for Send PW (in a Connection profile), Ascend-Send-Passwd (in a RADIUS user profile), Ascend-Send-Secret (in a RADIUS user profile), or its equivalent the MAX disconnects the link.

- 9 For outgoing calls, set the Password Req'd and Send PW parameters.
  - To require the MAX to send a password for outgoing connections, set Password Req'd=Yes.
  - Set the Send PW parameter to specify the password that the MAX sends to the remote end of a connection on outgoing calls.

If the password specified by Send PW does not match the remote end's value for Recv PW (in a Connection profile), Ascend-Receive-Secret (in a RADIUS user profile), or its equivalent the remote end disconnects the link.
- 10 Close the Encaps Options submenu.
- 11 To grant access to the Immediate Modem feature, open the Telco options submenu of the Connections profile and set Dialout OK=Yes.

For more information about restricting the Immediate Modem feature, see "Restricting access to the Immediate Modem feature" on page 6-7.
- 12 Save your changes.

## Setting up a RADIUS user profile

If you set up a RADIUS user profile, you do not need to set up a Connection profile for Combinet. For information about setting RADIUS attributes for Combinet authentication, see the *MAX RADIUS Configuration Guide*.

## Setting up ARA authentication

The MAX includes a minimal AppleTalk stack for AppleTalk Remote Access (ARA) support. The minimal stack includes a Name Binding Protocol (NBP) network visible entity and an AppleTalk Echo Protocol (AEP) echo responder. You can therefore use standard AppleTalk management and diagnostic tools, such as InterPoll from Apple Computer, to obtain information.

For a pure AppleTalk connection, a Macintosh user must have ARA Client software and an asynchronous modem. For a TCP/IP connection through ARA, the Macintosh must also be running TCP/IP software, such as MacTCP or Open Transport.

ARA is an asynchronous protocol. It supports V.34, V.42, and V.120 calls only. It does not support V.110 calls or synchronous connections.

For more information about how authentication works on the MAX, see "How does user authentication work?" on page 3-3.

This section describes how to set up ARA authentication in the MAX configuration interface. Figure 3-4 shows a Macintosh with an internal modem dialing into the MAX. The Macintosh uses the ARA Client software to communicate with an IP host on the Ethernet.

*Figure 3-4. An ARA connection*



Table 3-13 shows ARA authentication parameters on the MAX. The values shown are examples.

*Table 3-13. ARA authentication parameters*

| Location                                                                | Parameters with sample values                              |
|-------------------------------------------------------------------------|------------------------------------------------------------|
| System > Sys Config                                                     | Name=mygw                                                  |
| Ethernet > Answer                                                       | Profile Req'd=Yes                                          |
| Ethernet > Answer > Encaps                                              | ARA=Yes                                                    |
| Ethernet > Mod Config                                                   | Appletalk=Yes                                              |
| Ethernet > Mod Config > AppleTalk                                       | Zone Name=Berkeley                                         |
| Ethernet > Mod Config > WAN Options                                     | Pool#1 Start=10.0.0.20<br>Pool#1 Count=90<br>Pool Only=Yes |
| Ethernet > Connections > <i>any Connection profile</i>                  | Station=Ted<br>Encaps=ARA                                  |
| Ethernet > Connections > <i>any Connection profile</i> > Encaps Options | Password=office-pw                                         |
| Ethernet > Names/Passwords > <i>any Names/Passwords profile</i>         | Name=Ted<br>Recv PW=office-pw                              |

For complete information about setting up ARA calls on the MAX, see the *MAX Network Configuration Guide*. For complete information on setting up ARA calls and authentication in RADIUS, see the *MAX RADIUS Configuration Guide*.

## Understanding ARA authentication

To configure incoming connections that support ARA authentication, you must perform the following tasks:

- Set systemwide System, Answer, and Ethernet profile parameters specifying the name of the MAX, the type of encapsulation allowed, the type of authentication in use, and the contents of one or more IP address pools.
- Set up a Connection profile, or a Names/Passwords profile, or a RADIUS user profile, a TACACS profile, or a TACACS+ profile containing settings for each individual connection.

When the MAX receives an ARA call, it checks whether ARA encapsulation is enabled in the Answer profile and, if so, whether a profile is required. It then looks for a Connection profile that matches the caller's name and password. If it finds a match, it accepts the call.

If the MAX cannot find a matching Connection profile, it looks for a Names/Passwords profile. If it cannot find a matching Names/Passwords profile, the MAX looks for a RADIUS user profile, TACACS profile, or TACACS+ profile.

## Setting systemwide parameters

To set systemwide parameters for ARA authentication, proceed as follows:

- 1 In the System > Sys Config menu, set the Name parameter to the name of the MAX.
- 2 To disable Guest access via ARA, set Profile Req'd=Yes in the Ethernet > Answer menu. Note that ARA does not support PAP, CHAP, or MS-CHAP authentication.
- 3 Enable ARA encapsulation by setting ARA=Yes in the Ethernet > Answer > Encaps menu.
- 4 Set Appletalk=Yes in the Ethernet > Mod Config menu.
- 5 If the local Ethernet supports an AppleTalk router with configured zones, set the Zone Name parameter in the Ethernet > Mod Config > AppleTalk menu.
- 6 If you are using a Names/Passwords profile for an IP routing connection, open the Ethernet > Mod Config > WAN Options menu to begin setting up one or more IP address pools.

Unlike Connection profiles and RADIUS user profiles, Names/Passwords profiles cannot specify an IP address for the calling station. When you use a Names/Passwords profile to authenticate an IP routing connection, the MAX automatically assigns the PPP caller a dynamic IP address as the connection is established. For a call configured in a Names/Passwords profile, the address assignment is always from the pool of addresses defined as Pool #1, if Pool #1 exists and has available addresses. If Pool #1 does not exist or does not have available addresses, the MAX assigns an address from Pool #2.

- 7 Designate address pools by setting the Pool #*n* Count and Pool #*n* Start parameters.  
The Pool #*n* Count parameter specifies the number of IP addresses in the IP address pool. Specify a number between 0 and 254. The default value is 0 (zero).

The Pool #*n* Start parameter specifies the first IP address in the address pool. Specify an IP address in dotted decimal notation. The default value is 0.0.0.0.

You can also designate address pools by setting the Ascend-IP-Pool-Definition attribute. For details, see the *MAX RADIUS Configuration Guide*.

- 8 Set Pool Only=Yes.

The Pool Only parameter determines whether a caller can reject an IP address assignment and use his or her own IP address. To eliminate the possibility of a caller rejecting the automatic dynamic assignment and spoofing a local, trusted address, set Pool Only=Yes when using Names/Passwords profiles to authenticate IP routing connections.

For a call configured in a Names/Passwords profile, the address assignment is always from the pool of addresses defined as Pool #1, if Pool #1 exists and has available addresses. If Pool #1 does not exist or does not have available addresses, the MAX assigns an address from Pool #2.

If the calling station rejects the assignment, the MAX ends the call.

- 9 Save your changes.

## Setting Connection profile parameters

**Note:** If you set up a Connection profile, you do not need to set up a Names/Passwords profile or a RADIUS user profile.

To set Connection profile parameters for ARA authentication, proceed as follows:

- 1 Open the Ethernet > Connections menu.
- 2 Open the Connection profile.
- 3 Set the Station parameter to the name of the remote device.
- 4 Set Encaps=ARA.
- 5 Open the Encaps Options submenu of the Connection profile.
- 6 Set the Password parameter to specify the ARA password.
- 7 Save your changes.

## Setting Names/Passwords profile parameters

The Names/Passwords profile applies only to ARA and PPP-encapsulated calls. It does not apply to terminal server users.

**Note:** If you set up a Names/Passwords profile, you do not need to set up a Connection profile or a RADIUS user profile.

To set Names/Passwords profile parameters for ARA authentication, proceed as follows:

- 1 Open the Ethernet menu.
- 2 Open the Names/Passwords menu.
- 3 Open a Names/Passwords profile.
- 4 Set the Name parameter to specify the name of the remote device.  
In a Names/Passwords profile, the Name parameter specifies the username associated with the profile. The name you specify also becomes the name of the profile.
- 5 Set the Recv PW parameter to specify the password that the remote end of the link must send.  
If the password specified by Recv PW does not match the remote end's value for Send PW (in a Connection profile), Ascend-Send-Password (in a RADIUS user profile), or Ascend-Send-Secret (in a RADIUS user profile), the MAX disconnects the link.
- 6 Set the value for Template Connection #:
  - Use the default, Template Connection#=0 (the Answer profile), to specify that the Name/Names/Passwords profile uses the Answer Profile as a template.  
This mode supports clients dialing in over PPP and ARA, but does not support a router dialing in.
  - Specify a Connection Profile profile by setting the parameter to a profile number between 1 and 31.  
In this mode the Names/Passwords profile functions as an alias for the Connection Profile.
- 7 Save your changes.

When a user calls the MAX and Recv Auth has been set to a value other than None in the Answer profile, the MAX asks for a username and password. If the user enters the username

specified by the Name parameter in the Names/Passwords profile and the password specified by the Recv PW parameter in the Names/Passwords profile, the MAX uses the Answer profile parameters to establish the connection.

### *Preventing dial-in calls with the Name/Password profile*

The Answer profile is the default template for a Name/Password profile, but you can specify the use of a Connection profile as a template for the Name/Password profile. You can specify a single Connection profile for a group of users, but have an individual Name/Password profile for each user by setting Template Connection # to a number that refers to a Connection profile. The MAX uses that Connection profile for authentication.

For example, you can set up a Connection profile for the Sales group to use when dialing in, then set up a Names/Passwords profile for each individual salesperson. To prevent a user from dialing in using one of the two following methods:

- De-activate the Names/Passwords profile for a single salesperson, to prevent that salesperson from dialing in, by setting the Names/Passwords Active flag for the user's Names/Passwords profile to No.
- De-activate the entire Sales group by setting the Connection profile Active flag for the Sales group to No.

### *Using a RADIUS user profile*

If you set up a RADIUS user profile, you do not need to set up a Connection profile or a Names/Passwords profile. For information about setting RADIUS attributes for ARA authentication, see the *MAX RADIUS Configuration Guide*.

### *Using a SecurID server with AppleTalk Remote Access (ARA)*

A SecurID server can authenticate ARA callers by using the following profiles:

- Connection profile (described in “Setting Connection profile parameters” on page 3-30)
- Password profile (described in “Setting Names/Passwords profile parameters” on page 3-34)
- RADIUS user profile

### *Authentication using RADIUS and a SecurID server*

For authentication with RADIUS and a SecurID server, set Auth=RADIUS/LOGOUT in the Ethernet>Mod Config menu.

The SecurID client module must be version 1.3 or later.

Once the user makes the initial connection, SecurID authentication begins with a pop-up screen on the Macintosh. At this point, the user must enter the *User ID* and *Passcode*. When Auth=LOGOUT/RADIUS, the username must be SecurID, and no password should be entered. If the user enters incorrect values, he or she gets two more tries to authenticate before the connection fails.

If the user is required to enter a new PIN, a pop-up screen prompts for this information. The user has three chances to enter the correct PIN. Once the new PIN is accepted, a pop-up screen

instructs the Macintosh user to first wait for the token code to change, then log in with the new PIN and token code.

## ***Setting up X.25 authentication***

X.25 is an international standard protocol established by the Consultative Committee on International Telephony and Telegraphy (CCITT) to transmit information between users over a WAN. It handles both high-volume data transfers and interactive use of host machines.

X.25 terminals can connect to the MAX in an X.25/PAD or X.25/IP session. The MAX unit's X.25/PAD implementation allows users to access a packet-switched network over a leased line or a nailed-up ISDN connection.

A Packet Assembler/Disassembler (PAD) is an asynchronous terminal concentrator that enables several asynchronous devices to share a single network line. The PAD assembles data from terminals into packets for transmission to an X.25 network, and disassembles incoming packets from the network into a separate data stream for each terminal. In addition to this multiplexing function, the PAD also provides a nearly error-free connection.

The MAX unit's X.25/IP implementation supports the use of IP routing over an X.25 link. It does not support bridging or other routing protocols. Ascend's implementation of IP over X.25 follows the specification for IETF RFC1356 encapsulation. This implementation connects two or more IP networks linked to a public or private packet-switched network (PSPDN).

Table 3-14 lists the parameters for X.25 authentication. The values shown are examples.

*Table 3-14. X.25 authentication parameters*

| <b>Location</b>                                                         | <b>Parameters with sample values</b>             |
|-------------------------------------------------------------------------|--------------------------------------------------|
| Ethernet > Answer                                                       | Profile Req'd=Yes                                |
| Ethernet > Answer > Encaps                                              | X25/PAD=Yes<br>X25/IP=Yes                        |
| Ethernet > Answer > PPP Options                                         | Recv Auth=Either                                 |
| Ethernet > Mod Config/TServ Options                                     | Immed Service=X25/PAD<br>Immed Host=311021755555 |
| Ethernet > Connections > <i>any Connection profile</i>                  | Station=dialmax<br>Encaps=X25/PAD or X25/IP      |
| Ethernet > Connections > <i>any Connection profile</i> > Encaps Options | Recv PW=office-pw                                |

This section describes how to set up X.25 authentication in the MAX configuration interface. For complete information about setting up X.25 connections on the MAX, see the *Network Configuration Guide* for your MAX. For complete information about setting up X.25 calls and authentication in RADIUS, see the *MAX RADIUS Configuration Guide*.

To set up X.25 authentication, proceed as follows:

- 1 Open the Ethernet > Answer menu.
  - 2 Set Profile Reqd=Yes.
  - 3 Open the Ethernet > Answer > Encaps menu.
  - 4 Set X25/PAD=Yes and X25/IP=Yes.
  - 5 Open the Ethernet > Answer > PPP Options menu.
  - 6 For an X.25/IP user, set Recv Auth=Either.
  - 7 Open the Ethernet > Mod Config > TServ Options menu.
  - 8 If you want terminal-server users to begin an X.25/PAD session immediately, upon authentication:
    - Set Immed Service=X.25/PAD.
    - Set the Immed Host parameter to the X.121 address of the remote device.
- Terminal server users must pass authentication according to the terminal-server parameters you set. For instructions, see “Setting up authentication for dial-in terminal server users” on page 3-23.
- 9 Open the Ethernet > Connections menu.
  - 10 Open the X.25 user’s Connection profile.
  - 11 For an X.25/PAD connection, set Encaps=X.25/PAD. For an X.25/IP connection, set Encaps=X.25/IP.
  - 12 For an X.25/IP connection, set the Station name parameter to the name of the remote device.
  - 13 Open the Encaps Options submenu of the Connection profile.
  - 14 For an X.25/PAD or an X.25/IP connection, set the Recv PW parameter to the password the remote user must enter.
  - 15 Save your changes.

## ***Setting up IP addressing***

If password authentication is required, the MAX attempts to match each incoming call with a caller’s name and password in a local Connection profile, or in a RADIUS user profile. If password authentication is not required for an IP-routed PPP call, the MAX need only match the call with an IP address specified in a Connection profile or in a RADIUS user profile. The IP address in the Connection profile or user profile can be specified either statically or dynamically. However, an address cannot be assigned dynamically to a profile that has been assigned a static address.

- Static address  
A static address is specified by the LAN Adrs parameter in the Connection profile or by the Framed-Address attribute in the RADIUS user profile.
- Dynamic address  
A dynamic address comes from the pool of addresses set by the Pool #n Start and Pool #n Count parameters or by the Ascend-IP-Pool-Definition attribute.  
If the calling end accepts the IP address, the MAX sets the LAN Adrs parameter or Framed-Address attribute to the assigned address, depending on whether a Connection

profile or RADIUS user profile is in use. If a static address is already set in a Connection profile or RADIUS user profile, it overrides any IP address from an IP address pool.

The MAX verifies the IP address as part of the PPP negotiation before a call is established. Establishment of a connection involves one of the following sequences of events:

- If the caller's PPP software presents an IP address and the MAX does not require dynamic IP address assignment, the MAX must find a Connection profile or RADIUS user profile that matches that address. If it finds a profile, the MAX establishes the connection if the call passes PAP, CHAP, or MS-CHAP authentication. If the MAX does not find a matching profile, it ends the call without attempting PAP, CHAP, or MS-CHAP authentication.
- If the caller's PPP software specifies dynamic IP address assignment, the MAX must obtain an available IP address from pools defined in the Ethernet profile or in RADIUS. If the MAX successfully assigns an address, it establishes the connection if the call passes PAP, CHAP, or MS-CHAP authentication. If no addresses are available, the MAX ends the call.
- If the caller's PPP software presents an IP address and the MAX requires dynamic IP address assignment, the calling station must accept the IP address. If it does, the MAX establishes the connection if the call passes PAP, CHAP, or MS-CHAP authentication. If the calling station does not accept the IP address assignment, the MAX ends the call without attempting PAP, CHAP, or MS-CHAP authentication.

For more information about how authentication works on the MAX, see "How does user authentication work?" on page 3-3.

Table 3-15 lists the parameters you can set for IP addressing. The values shown are examples.

*Table 3-15. IP address parameters*

| Location                                                            | Parameters with sample values                                              |
|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| Ethernet > Answer                                                   | Assign Adrs=Yes                                                            |
| Ethernet > Answer > PPP Options                                     | Route IP=Yes                                                               |
| Ethernet > Connections > <i>any Connection profile</i> > IP Options | LAN Adrs=10.5.6.7/24<br>(or)<br>Pool=2                                     |
| Ethernet > Mod Config > WAN Options                                 | Pool # <i>n</i> Count=10<br>Pool # <i>n</i> Start=0.0.0.0<br>Pool Only=Yes |

You can set additional parameters in Name/Password profile.

See the *Network Configuration Guide* for your MAX for related information on setting up IP routing connections in the MAX configuration interface. See the *MAX RADIUS Configuration Guide* for related information on setting up IP routing connections in RADIUS.

## Specifying a static IP address

To set up a static IP address that must match a caller's IP address, proceed as follows:

- 1 Open the Ethernet > Answer > PPP Options menu.
- 2 Set Route IP=Yes.
- 3 Open the Ethernet > Connections menu.
- 4 Open the Connection profile for the caller.
- 5 Open the IP Options submenu of the Connection profile.
- 6 To specify a static address, set the LAN Adrs parameter.
- 7 Save your changes.

## Assigning a dynamic IP address to a caller requesting one

To configure the MAX to assign an IP address to a caller that requests one, follow these steps

- 1 Open the Ethernet > Answer menu.
- 2 Set Assign Adrs=Yes.  
When you specify this setting, the MAX asks the device to accept an address chosen from the pool of addresses specified by the Pool #*n* Start and Pool #*n* Count parameters or by the Ascend-IP-Pool-Definition attribute. If the calling end accepts the IP address, the MAX sets the LAN Adrs parameter in the Connection profile to the assigned address.  
  
**Note:** In some TCP/IP implementations, when the workstation needs the MAX to set the IP address, you must set the workstation's address to 0.0.0.0. Setting the address to any other value tells the workstation to use that value and notify the MAX.
- 3 Open the Ethernet > Answer > PPP Options menu.
- 4 Set Route IP=Yes.
- 5 Open the Ethernet > Mod Config > WAN Options menu.
- 6 Specify up address pools by setting the Pool #*n* Count and Pool #*n* Start parameters.  
The Pool #*n* Count parameter specifies the number of IP addresses in the IP address pool. Assign a number between 0 and 254. The default value is 0 (zero).  
The Pool #*n* Start parameter specifies the first IP address in the address pool. Assign an IP address in dotted decimal notation. The default value is 0.0.0.0.  
You can also specify address pools by setting the Ascend-IP-Pool-Definition attribute in RADIUS. For details, see the *MAX RADIUS Configuration Guide*.
- 7 Open the Ethernet > Connections menu.
- 8 Open a Connection profile.
- 9 In the Connection profile, set the Pool parameter to the number of the pool to use for the call.
- 10 Save your changes.

## Requiring that a caller accept an IP address from the MAX

To require that a caller accept an IP address from the MAX, proceed as follows:

- 1 Open the Ethernet > Answer menu.

- 2 Set Assign Adrs=Yes.  
When you specify this setting, the MAX asks the device to accept an chosen address specified from the pool of addresses by the Pool #*n* Start and Pool #*n* Count parameters or by the Ascend-IP-Pool-Definition attribute. If the calling end accepts the IP address, the MAX sets the LAN Adrs parameter in the Connection profile to the assigned address.
- 3 Open the Ethernet > Answer > PPP Options menu.
- 4 Set Route IP=Yes.
- 5 Open the Ethernet > Mod Config > WAN Options menu.
- 6 If the assigned address is to be chosen dynamically, specify address pools by setting the Pool #*n* Count and Pool #*n* Start parameters.  
The Pool #*n* Count parameter specifies the number of IP addresses in the IP address pool. Specify a number between 0 and 254. The default value is 0 (zero).  
The Pool #*n* Start parameter specifies the first IP address in the address pool. Assign an IP address in dotted decimal notation. The default value is 0.0.0.0.  
You can also set up address pools by setting the Ascend-IP-Pool-Definition attribute in RADIUS. For details, see the *MAX RADIUS Configuration Guide*.
- 7 To require a calling station to accept an IP address from the MAX, set Pool Only=Yes.  
This setting requires the calling station to accept the static address specified in a Connection profile or RADIUS user profile, or a dynamic address. If the calling station rejects the assignment, the MAX ends the call.  
If you set Pool Only=No, the MAX accepts the IP address specified by the caller.
- 8 Open the Ethernet > Connections menu.
- 9 Open a Connection profile.
- 10 In the Connection profile, set the LAN Adrs parameter to specify a static address, or set the Pool parameter to the number of the pool to use for assigning a dynamic IP address.
- 11 Save your changes.

## Using Names/Passwords profiles to prevent IP address spoofing

IP address spoofing is a technique in which outside users pretend to be on the local network in order to obtain unauthorized access.

Unlike Connection profiles and RADIUS user profiles, Names/Passwords profiles cannot specify an IP address for the calling station. When you use a Names/Passwords profile to authenticate an IP routing connection, the MAX automatically assigns the PPP caller a dynamic IP address as the connection is established, ensuring that the user is not spoofing the address. Table 3-16 shows the relevant parameters on the MAX.

**Note:** You also can set up data filters to prevent IP address spoofing. For details, see “A sample IP filter to prevent address spoofing” on page 4-12.

Table 3-16. Names/Passwords profile address restriction parameters

| Location                                                           | Parameters with sample values                              |
|--------------------------------------------------------------------|------------------------------------------------------------|
| Ethernet > Mod Config > WAN Options                                | Pool#1 Start=10.0.0.20<br>Pool#1 Count=90<br>Pool Only=Yes |
| Ethernet > Names/Passwords > Any<br><i>Names/Passwords profile</i> | Name=Ted<br>Recv PW=office-pw                              |

To set parameters to prevent IP spoofing, proceed as follows:

- 1 Open the Ethernet menu.
- 2 Open the Names/Passwords menu.
- 3 Open a Names/Passwords profile.
- 4 Set the Name parameter to the name of the user or device making the incoming call.  
In a Names/Passwords profile, the Name parameter specifies the username associated with the profile. The name you specify also becomes the name of the profile.
- 5 Set the Recv PW parameter to specify the password that the remote end of the link must send.  
If the password specified by Recv PW does not match the remote end's value for Send PW, the MAX disconnects the link.
- 6 Open the Ethernet > Mod Config > WAN Options menu.
- 7 Specify address pools by setting the Pool #*n* Count and Pool #*n* Start parameters.  
The Pool #*n* Count parameter specifies the number of IP addresses in the IP address pool. Assign a number between 0 and 254. The default value is 0 (zero).  
The Pool #*n* Start parameter specifies the first IP address in the address pool. Specify an IP address in dotted decimal notation. The default value is 0.0.0.0.  
You can also specify up address pools by setting the Ascend-IP-Pool-Definition attribute. For details, see the *MAX RADIUS Configuration Guide*.
- 8 Set Pool Only=Yes.  
The Pool Only parameter determines whether a caller can reject an IP address assignment and use his or her own IP address. To eliminate the possibility of a caller rejecting the automatic dynamic assignment and spoofing a local, trusted address, set Pool Only=Yes.  
For a call configured in a Names/Passwords profile, the address assignment is always from the pool of addresses defined as Pool #1, if Pool #1 exists and has available addresses. If Pool #1 does not exist or does not have available addresses, the MAX assigns an address from Pool #2.  
If the calling station rejects the assignment, the MAX ends the call.
- 9 Save your changes.

## Setting up an authentication server

The MAX supports resident Connection profiles and Names/Passwords profiles for authenticating incoming connections, but the amount of RAM in the unit limits the total number of supported profiles. Many ISPs and other large sites use a third-party authentication server to centrally control, manage, and audit security.

### Understanding authentication servers

When the MAX receives an incoming call, it first looks through its resident profiles (Connection and Names/Passwords profiles). If it does not find a matching profile, it checks its Ethernet profile for an authentication server's address. If it finds one, it accesses the authentication database in that server to search for a matching profile. The MAX supports the following types of authentication servers:

| Authentication server | Description |
|-----------------------|-------------|
|-----------------------|-------------|

|        |                                                                                                                                                                                                                                                                                                                                                                                  |
|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| RADIUS | Remote Authentication Dial-In User Service (RADIUS) is a protocol originally developed by Livingston Enterprises, and extended by Ascend Communications, Inc. The Ascend extensions let you configure most of the features supported by the resident profiles. The information resides in a database on a PC or UNIX system. The RADIUS daemon on that system accesses the data. |
|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

For complete information about installing and configuring a RADIUS server, and about setting up the MAX to operate with a RADIUS server, see the *MAX RADIUS Configuration Guide*.

|        |                                                                                                                                                                                                                                                                                                                                                       |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| TACACS | Terminal Access Concentrator Access Control Server (TACACS) is a simple query/response protocol that enables the MAX to check a user's password and enable or prevent access. TACACS supports Password Authentication Protocol (PAP), Combinet name and password validation, and terminal server validation. It does not support CHAP authentication. |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

For details about setting up a TACACS server, see the documentation that came with your TACACS software. For information about setting up the MAX to operate with a TACACS server, see "Configuring the MAX to use a TACACS or TACACS+ server" on page 3-43.

|         |                                                                                                                                                                                         |
|---------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| TACACS+ | TACACS+ is an extension of TACACS. For information about setting up the MAX to operate with a TACACS+ server, see "Configuring the MAX to use a TACACS or TACACS+ server" on page 3-43. |
|---------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                    |                                                                                                                                                                                                                                                                   |
|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| AssureNet Defender | The MAX supports terminal-server authentication through the Defender server. If the MAX is configured to use Defender authentication, the service provided to authenticated users depends on the parameters of the TServ Options submenu in the Ethernet profile. |
|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

**Authentication Description  
server**

ACE The MAX can authenticate terminal server users by directly contacting an ACE server, developed by Security Dynamics. Although SecurID ACE authentication is also indirectly supported through RADIUS, direct support for the SecurID ACE server can provide a significant advantage. For those installations in which other RADIUS features are not required, SecurID ACE support on the Ascend unit decreases the complexity of the system, making the system easier to configure and maintain.

## Configuring the MAX to use a TACACS or TACACS+ server

To configure the MAX to communicate with a TACACS or TACACS+ server, proceed as follows, as in the following example:

- 1 Open the Ethernet > Mod Config > Auth menu.

```
X0-X00 Mod Config
Auth...
>Auth=TACACS
Auth Host #1=10.23.45.11
Auth Host #2=10.23.45.12
Auth Host #3=10.23.45.13
Auth Port=1645
Auth Timeout=5
Auth Key=N/A
Auth Pool=N/A
Auth Req=Yes
Local Profile First=Yes
APP Server=No
APP Host=N/A
APP Port=N/A
CLID Timeout Busy=No
CLID Fail Busy=No
SecurID DES encryption=N/A
SecurID host retries=N/A
SecurID NodeSecret=N/A
```

- 2 Set Auth=TACACS or TACACS+.
- 3 Set each Auth Host parameter, to specify the IP address of a TACACS or TACACS+ host. You can specify up to three addresses. The MAX first tries to connect to Auth Host #1. If it receives no response within the time specified by the Auth Timeout parameter, it tries again to connect to Auth Host #1 and waits for the same amount of time. If the MAX does not receive a response within the specified timeout, it sends a request for authentication to Auth Host #2. If it again receives no response within the time specified by Auth Timeout, it tries to connect to the next server on the Auth Host List and repeats the process. If the MAX unit's request again times out, it reinitiates the process with Auth Host #1. The MAX can complete this cycle of requests a maximum of ten times. If the MAX is unsuccessful in obtaining a response from any of the servers on the list, the connection fails.

When it successfully connects to an authentication server, the MAX uses that machine until it fails to serve requests. The MAX does not use the first host until the second machine fails, even if the first host has come back online.

You can specify the same address for all three Auth Host parameters. If you do so, the MAX keeps trying to create a connection to the same server.

- 4 For the Auth Port parameter, enter the UDP port number used by the TACACS or TACACS+ software. For example:

**Auth Port=1645**

The MAX and the TACACS or TACACS+ software must agree about which UDP port to use for communication, so make sure that the number you specify for the Auth Port parameter matches the number specified in the TACACS or TACACS+ configuration file.

- 5 Set the Auth Timeout parameter to specify the number of seconds the MAX waits for a response to an authentication request.

If the MAX does not receive a response within the time specified by Auth Timeout, it sends the authentication request to the next authentication server specified by the Auth Host parameter.

- 6 Specify whether to use remote authentication before local. The default is Yes.

If you enter No, the MAX tries remote authentication and the MAX waits for authentication to succeed or for the timeout specified in Auth Timeout to expire. This can take longer than the timeout specified for the connection. If the connection times out, all connection attempts to fail.

To prevent this set the value for Auth Timeout low enough not to cause the line to be dropped, but still high enough to permit the unit to respond if it is able to. The recommended time is 3 seconds.

Some authentication methods do not work the same without a remote authenticator as they do with one. Table 3-17 shows authentication methods and the specific information you should consider if you use a particular method with Local Profile First=No.

*Table 3-17. Remote authentication considerations*

| Method         | Remote Authentication Considerations                                                                                                                                                                                 |
|----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PAP            | None. Works the same with or without remote authentication.                                                                                                                                                          |
| CHAP           | None. Works the same with or without remote authentication.                                                                                                                                                          |
| PAP-TOKEN      | Works either way, but does not produce a challenge if there is a local profile. This defeats the security of using PAP-TOKEN.                                                                                        |
| PAP-TOKEN-CHAP | Brings up one channel, but all other channels fail.                                                                                                                                                                  |
| CACHE-TOKEN    | If the remote side has ever authenticated itself by responding to a challenge, CACHE-TOKEN does not work with local profiles. If the remote side has never been authenticated, the local profiles cause no problems. |

- 7 Enter the port number for the source port for remote authentication requests.  
 Type a port number between 0 and 65535. The default value is 0 (zero). If you accept this value, the Ascend unit can use any port number between 1024 and 2000.  
 You can specify the same port for authentication and accounting requests.
- 8 Save your changes.

## Vendor-Specific Attribute (VSA) support

RFC 2138, *Remote Authentication Dial In User Service (RADIUS)*, specifies methods of handling vendor extensions and of encrypting and decrypting the User-Password. The RFC-defined methods differ from the way Ascend has implemented these functions in the past. In the past, Ascend extended RADIUS operations by adding Ascend vendor attributes, such as Ascend-Xmit-Rate, and used its own Ascend algorithm for User-Password encryption.

The MAX supports RADIUS RFC-compliance for the Vendor-Specific Attribute (VSA) and the RFC-defined User-Password encryption algorithm. Ascend maintains backward compatibility by making VSA compatibility mode configurable. However, attributes of Type 91 or smaller are supported only in VSA compatibility mode. Attributes of Type 92 or higher are supported in both VSA compatibility mode and the default mode, which is compatible with older Ascend implementations.

## About the Vendor-Specific attribute

RFC 2138 defines the Vendor-Specific attribute (type 26), which encapsulates attributes introduced by vendors. The purpose of the Vendor-Specific attribute is to enable companies to extend RADIUS operations without leading to possible attribute collisions (two attributes with the same type number but different meanings).

The format of Ascend vendor attributes in a request or response is new. The older Ascend format for all attributes is as follows:

```

 0 1 2
 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| Type | Length | Value ...
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

The format of the VSA (as defined in RFC 2138) is as follows:

```

 0 1 2 3
 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| Type | Length | Vendor-Id
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| Vendor-Id (cont) | Vendor type | Vendor length |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| Attribute-Specific...
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

The Type of the VSA is 26. The Length is 8 or greater. Ascend's Vendor-Id is 529.

The Vendor Type, Vendor Length, and Attribute-Specific Value are the same as the Type, Length, and Value of the unencapsulated Ascend attribute found in the current dictionary. For example, the Type of the Ascend-Xmit-Rate attribute is 255. Because it is an integer, it has a

Length of 6. The Value is the transmit rate of the connection. So, the fields of the VSA will specify the following values:

- Type = 26
- Length = 12
- Vendor-Id = 529
- Vendor Type = 255
- Vendor Length = 6
- Attribute-Specific Value = *transmit-rate*

**Note:** Some vendors have interpreted RFC 2138 to allow packing more than one vendor attribute in a single VSA. Ascend does not support this use. The MAX sends a single vendor attribute per VSA. If it receives a VSA that contains more than one vendor attribute, it recognizes the first vendor attribute and ignores the rest.

## Configuring the MAX for VSA compatibility mode

When you configure the MAX to support VSA, the MAX uses the Vendor-Specific attribute to encapsulate Ascend vendor attributes and uses the RFC-defined User-Password encryption algorithm.

When you configure the MAX not to support VSA, which is the default setting, the MAX does not send the Vendor-Specific attribute to the RADIUS server and does not recognize it if the server sends it. The MAX uses the Ascend algorithm when encrypting and decrypting the User-Password attribute, and the Ascend algorithm differs from the RFC-defined algorithm, because it does not null fill the password string to a multiple of 16 bytes before encryption, and the Ascend algorithm does not use the previous segment's hash to calculate the next intermediate value when the password is longer than 16 bytes.

Because you can configure four different functions for RADIUS with each function operating independently of the others and possibly interacting with different RADIUS servers (or clients), the MAX displays four separate VSA-related parameters for specifying whether to operate in the older Ascend mode or in VSA-compatible mode. Following are the relevant parameters, shown with their default settings:

| Parameter                                             | Description                                                                                                                                                                                                                                 |
|-------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Ethernet > Mod Config > Auth > Auth Compat Mode       | Enables or disables VSA support when the MAX supports RADIUS for authentication. OLD is the default which disables VSA support.                                                                                                             |
| Ethernet > Mod Config > Accounting > Acct Compat Mode | Enables or disables VSA support when the MAX supports RADIUS for accounting. OLD is the default which disables VSA support.                                                                                                                 |
| Ethernet > Mod Config > RADIUS Server > Compat Mode   | Enables or disables VSA support when the MAX acts as a RADIUS server that is able to accept some requests for certain limited purposes; for example, to change filters or disconnect a user. OLD is the default which disables VSA support. |

| <b>Parameter</b>                                      | <b>Description</b>                                                                                                                                 |
|-------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|
| Ethernet > Mod Config > Call<br>Logging > Compat Mode | Enables or disables VSA support when the MAX supports<br>RADIUS for call logging to NavisAccess. OLD is the<br>default which disables VSA support. |

For additional details, see the *MAX Reference Guide*.



# Defining Static Filters

|                                              |      |
|----------------------------------------------|------|
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## *Introduction to Ascend filters*

Static filters are packet filters created by setting parameters in Ethernet > Filters menus. A packet filter contains rules that specify what the MAX does when it encounters different types of packets. When you specify a packet filter in a RADIUS user profile, the MAX monitors the data stream associated with that profile and takes a specified action when packet contents match the filter rules. Each filter specification either forwards or drops packets. You can apply a filter to inbound packets, outbound packets, or both. In addition, you can specify that the MAX forward or drop those packets that match the rules, or all packets *except* those that match the rules.

You can set up three types of packet filters on a per-user basis:

| Type of filter | Function                                                                                                                                                                                                                                                                                                                                    |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Generic filter | Examines the byte- or bit-level contents of a packet. Focuses on certain bytes or bits and compares them with a value defined in the filter. To use generic filters effectively, you need to know the contents of certain bytes in the packets you wish to filter. Protocol specifications are usually the best source of such information. |
| IP filter      | Examines higher level fields specific to IP packets. Focuses on known fields, such as source or destination address, or protocol number. An IP filter operates on logical information that is relatively easy to obtain.                                                                                                                    |
| IPX filter     | Examines fields specific to IPX packets. You can direct the MAX to filter on the basis of network address, node address and socket number.                                                                                                                                                                                                  |

## How packet filters work

You can specify several filters in a RADIUS user profile. Filter entries apply on a first-match basis. Therefore, the order in which you specify filter entries is significant. When you define a

filter in a RADIUS user profile, it applies to data the user sends or receives. If you make changes to a filter, the changes do not take effect until a call uses that profile.

A match occurs at the first successful comparison between a filter and the packet being examined. When a comparison succeeds, the filtering process stops and the MAX applies the forward or drop action to the packet.

If no comparisons succeed, the packet does not match the filter. The MAX does not forward such packets. When no filter is in use, the MAX forwards all packets. But by applying a filter to a connection, you reverse this default. For security purposes, the MAX does not automatically forward nonmatching packets. It requires a rule that explicitly enables such packets to pass.

In a generic filter, all settings work together to specify a location in a packet and a number that the MAX compares to the value in that location. In an IP filter, the MAX makes a set of distinct comparisons in order. When a comparison fails, the packet goes on to the next comparison. When a comparison succeeds, the filtering process stops and the MAX applies the forward or drop action to the packet. The IP filter tests proceed in the following order:

- 1 Compare the source address specified by the filter to the source address of the packet. If they are not equal, the comparison fails.
- 2 Compare the destination address specified by the filter to the destination address in the packet. If they are not equal, the comparison fails.
- 3 If the protocol specified by the filter is zero (which matches any protocol), the comparison succeeds. If it is non-zero and not equal to the protocol field in the packet, the comparison fails.
- 4 If the source port specified by the filter does not compare to the source port of the packet as the filter indicates, the comparison fails.
- 5 If the destination port specified by the filter does not compare to the destination port of the packet as the filter indicates, the comparison fails.
- 6 If the filter specifies a match only if a TCP session is already established, and a TCP session is up, the comparison succeeds.

If both a data filter (which defines packets the MAX can transmit over a connection) and a call filter (which defines packets that can bring up a connection or reset the idle timer for an established link) apply to an interface, the data filter is applied first.

For complete information about how filters work, see the filter configuration chapter in the *MAX Network Configuration Guide*.

You can also set up filters on the MAX or define firewalls in SecureConnect Manager (SCM), and then specify those filters or firewalls in a RADIUS user profile. When the connection is made the RADIUS user profile determines which filters are used for the connection. For more information, see the *MAX RADIUS Configuration Guide*, or your SCM documentation.

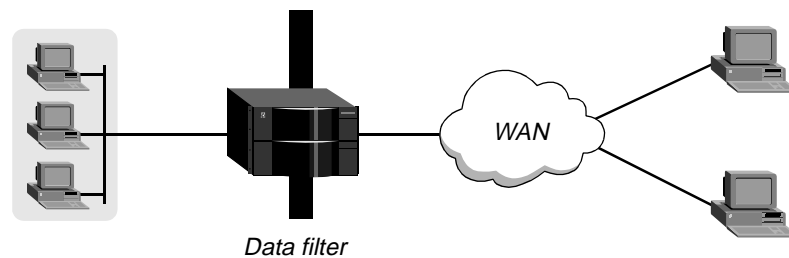
**Note:** This chapter describes how to set up and use data filters only. For information about how to configure call filters, see the *MAX Network Configuration Guide*. For information about IPX SAP filters, which affect which NetWare services the MAX adds to its service table, see the *MAX Network Configuration Guide*.

## Data filters for dropping or forwarding certain packets

A data filter defines which packets the MAX can transmit over a connection. Many sites use data filters for security purposes, but you can apply data filters for any purpose that requires the MAX to drop or forward only specific packets. For example, you can use data filters to drop packets addressed to particular hosts or to prevent broadcasts from going across the WAN. You can also use data filters to restrict user access to include only specific devices across the WAN.

When you apply a data filter, its forward or drop action affects the actual data stream by preventing certain packets from reaching the Ethernet from the WAN, or vice versa. In Figure 4-1 the vertical bar represents a data filter blocking packets.

Figure 4-1. Data filters can drop or forward certain packets

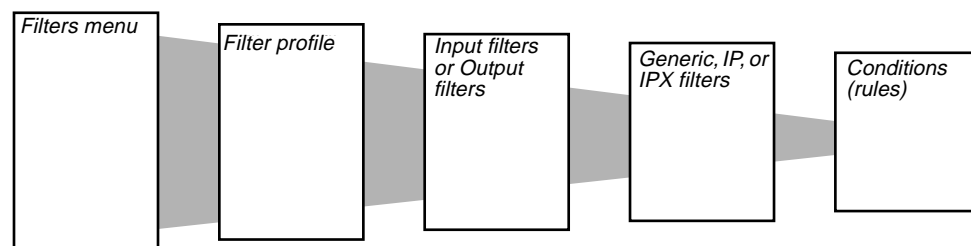


Data filters do not affect the idle timer, and a data filter applied to a RADIUS user profile does not affect the answering process.

## Overview of filter profiles

Figure 4-2 shows how filters are organized and the terminology used to describe each part of a filter.

Figure 4-2. Filter terminology



### Filters menu

The Filters menu contains a list of numbered profiles. When applying a filter, you identify it by the unique portion of its Filter profile number (for example, 1, 2, 3...). The MAX applies all filter conditions within that profile.

## Filter profile

Each profile in the Filters menu defines a set of filters that are applied as a group. The term *filter* can apply to a filter profile or to one of the individual filters defined in the profile.

## Input and output filters

At the top level of a Filter profile are submenus labeled Input Filters and Output Filters. Each submenu contains a list of 12 filters. In each of the 24 filters, you can set parameters to specify conditions. The MAX first applies the Input Filters, in order from 1 to 12, then it applies the Output Filters, in order from 1 to 12.

## Generic, IP, or IPX filters

Each input filter or output filter can be a Generic filter, an IP filter, or an IPX filter. The type you specify determines which parameters are included in the filter.

## Filter conditions

Filter conditions specify the actual packet characteristics that the MAX examines in the data stream. Generic filter conditions specify locations and values that can appear in any packet. IP filter conditions specify IP-specific packet characteristics, such as address, mask, and port. IPX filter conditions specify IPX-specific packet characteristics, such as network address, node address, and socket number. Once you assign a type, you can open the corresponding submenu to define the packet-level filter conditions.

## *Filtering inbound and outbound packets*

To set up a filter, first assign it a name. Within the filter, activate each individual filter that you want to apply and specify its type. Depending on the type you specify, define the Generic filter conditions, IP filter conditions, or IPX filter conditions. Once you have defined each individual (component) filter, you can apply the entire filter by specifying it in an Answer profile, Connections profile, or Ethernet profile.

## Specifying and activating an input or output filter

To begin setting up filters for inbound and outbound packets, proceed as follows:

- 1 Open the Filters menu.
- 2 Open a Filter profile.
- 3 For the Name parameter, specify a descriptive name for the profile. For example,

`Name=IP Data`

- 4 Open the Input Filters or Output Filters submenu.

When you select Input Filters, the following menu appears:

```
50-401 IP Data
Input filters...
>In filter 01
```

```
In filter 02
In filter 03
In filter 04
In filter 05
In filter 06
In filter 07
In filter 08
In filter 09
In filter 10
In filter 11
In filter 12
```

You can specify up to 12 input filters and 12 output filters in a Filter profile. The MAX applies these filters in the order in which they appear. A filter must be activated for the MAX to apply it. Input filters cause the MAX to examine incoming packets. Output filters cause the MAX to examine outgoing packets.

If the MAX applies the filter as a data filter on Ethernet, it affects packets from the Ethernet *into* the MAX or from the MAX *out* to the Ethernet. If the MAX applies a data filter on a WAN interface defined in a Connection profile, the filter affects packets from that WAN interface *into* the MAX or from the MAX *out* to that interface.

If you define *only* input filters, the default action for output filters is to forward all packets. The same is true in the other direction. If you define *only* output filters, the default action for inbound packets is to forward them.

- 5 Select an In filter or an Out filter to configure.

When you open an In filter, a menu similar to the following appears:

```
50-401 IP Data
In filter 01
>Valid=Yes
Type=GENERIC
Generic...
IP...
IPX...
```

When you open an Out filter, the a menu similar to the following appears:

```
50-401 IP Data
Out filter 01
>Valid=Yes
Type=GENERIC
Generic...
IP...
IPX...
```

- 6 To activate the filter, set Valid=Yes.  
To be able to apply the filter, you must activate it.
- 7 Define the filter type: Generic, IP, or filter.

## Defining generic filter conditions

If the Type is set to Generic, you can define generic filter conditions. Table 4-1 shows the parameters you can set. The values shown are examples.

*Table 4-1. Generic filter conditions*

| Location                                                                             | Parameters with sample values                              |
|--------------------------------------------------------------------------------------|------------------------------------------------------------|
| Ethernet > Filters > <i>any Filter profile</i> > Input filters > 01 to 12 > Generic  | Forward=No<br>Offset=14<br>Length=8<br>Mask=ffffffffffffff |
| Ethernet > Filters > <i>any Filter profile</i> > Output filters > 01 to 12 > Generic | Value=aaa030000000080f3<br>Compare=Equals<br>More=No       |

To specify generic filter conditions, proceed as follows:

- Set the Forward parameter to specify whether the MAX forwards or drops packets that meet the conditions.  
 If you set Forward to Yes, the MAX forwards a packet that matches the filter definition. If you set Forward to No, the MAX drops a packet that matches the filter definition.
- Set the Length, Offset, Mask, and Value parameters.  
 The Length parameter indicates the number of bytes in a packet. The Offset parameter specifies the starting position of the bytes the filter examines. The MAX ignores the portion of the packet that exceeds the Length specification. In other words, the Offset parameter hides the left-most bytes of data, while the Length parameter hides the right-most bytes of data.  
 The Mask value consists of the same number of bytes as the Length parameter. A mask hides the part of a number that appears behind the binary zeroes in the mask. For example, if Mask is set to FFFF0000 in hexadecimal format, the MAX uses only the first 16 bits in the comparison, because F is set to 1111 in binary format. The MAX applies the value of the Mask parameter before comparing the bytes to the setting of the Value parameter.
- Set the Compare parameter to specify how the MAX compares a packet's contents to the Value specified in the filter.  
 After applying the Offset, Mask, and Length values to determine the appropriate location in a packet, the MAX compares the contents of that location to the Value parameter.
  - If you set Compare to Equals (the default) and the packet data is identical to the value specified by Value, the MAX applies the filter.
  - If you set Compare to NotEquals, the MAX applies the filter if the packet data is not identical to the value specified by Value.
- Set the More parameter to specify whether the current filter is linked to the one immediately following it.  
 If More is set to Yes, the MAX can examine multiple non-contiguous bytes within a packet by *marrying* the current filter to the next one. The match occurs only if *both* sets of bytes contain the specified values. If More is set to No, the MAX makes a separate forward or drop decision for each filter.

## Defining IP filter conditions

If Type is set to IP, you can define filter conditions relevant only to TCP, IP, and UDP data packets, including bridged packets.

An IP filter can examine source address, destination address, and IP protocol type and port. Table 4-2 shows the filter conditions you can specify in an IP filter. The values shown are examples.

Table 4-2. IP filter conditions

| Location                                                                        | Parameters with sample values |
|---------------------------------------------------------------------------------|-------------------------------|
| Ethernet > Filters > <i>any Filter profile</i> > Input filters > 01 to 12 > Ip  | Forward=Yes                   |
| Ethernet > Filters > <i>any Filter profile</i> > Output filters > 01 to 12 > Ip | Src Mask=255.255.255.192      |
|                                                                                 | Src Adrs=192.100.40.128       |
|                                                                                 | Dst Mask=0.0.0.0              |
|                                                                                 | Dst Adrs=0.0.0.0              |
|                                                                                 | Protocol=0                    |
|                                                                                 | Src Port Cmp=None             |
|                                                                                 | Src Port #=N/A                |
|                                                                                 | Dst Port Cmp=None             |
|                                                                                 | Dst Port #=N/A                |
|                                                                                 | TCP Estab=N/A                 |

To specify IP filter conditions, proceed as follows:

- Set the Forward parameter to specify whether the MAX forwards or drops packets that match the conditions.  
If you set Forward to Yes, the MAX forwards a packet that matches the filter definition. If you set Forward to No, the MAX drops a packet that matches the filter definition.
- Set the Src Adrs parameter to specify the address to which the MAX compares a packet's source address.  
Enter the address in dotted decimal format. The null address (0.0.0.0) is the default. If you accept the default, the MAX does not use the source address as a filtering criterion.
- Set the Src Mask parameter to specify the bits the MAX should mask when comparing a packet's source address to the value of the Src Adrs parameter.  
A mask hides the part of a number that appears behind each binary 0 (zero) in the mask. The MAX uses only the part of a number that appears behind binary 1s. The MAX applies the mask to the address by performing a logical AND after both mask and address have been translated into binary format.  
The value 0 (zero) hides all bits, because the decimal value 0 is the binary value 00000000; the value 255 does not mask any bits, because the decimal value 255 is the binary value 11111111. The null address (0.0.0.0) is the default. It specifies that the MAX masks all bits.  
To specify a single source address, set Src Mask to 255.255.255.255 and set Src Adrs to the IP address that the MAX uses for comparison.
- Set the Dst Adrs parameter to specify the address to which the MAX compares a packet's destination address.

## Defining Static Filters

### Filtering inbound and outbound packets

---

Enter the address in dotted decimal format. The null address (0.0.0.0) is the default. If you accept the default, the MAX does not use the destination address as a filtering criterion.

- 5 Set the Dst Mask parameter to specify the bits the MAX should mask when comparing a packet's destination address to the value of the Dst Adrs parameter.
- 6 Set the Protocol parameter to identify a specific TCP/IP protocol. For example, 6 specifies a TCP packet.

Common protocols are listed below, but protocol numbers are not limited to this list. For a complete list, see the section on Well-Known Port Numbers in RFC 1700, *Assigned Numbers*, by Reynolds, J. and Postel, J., October 1994.

- 1—ICMP
- 5—STREAM
- 8—EGP
- 6—TCP
- 9—Any private interior gateway protocol (such as Cisco's IGRP)
- 11—Network Voice Protocol
- 17—UDP
- 20—Host Monitoring Protocol
- 22—XNS IDP
- 27—Reliable Data Protocol
- 28—Internet Reliable Transport Protocol
- 29—ISO Transport Protocol Class 4
- 30—Bulk Data Transfer Protocol
- 61—Any Host Internal Protocol
- 89—OSPF

- 7 Set the Src Port # parameter to specify the port number to which the MAX compares the packet's source port number.

The Src Port Cmp criterion determines how the MAX carries out the comparison.

For Src Port #, you can enter a number from 0 to 65535. The default setting is 0 (zero). If you accept the default, the MAX does not use the source port number as a filtering criterion.

- 8 Set the Src Port Cmp parameter to specify the type of comparison the MAX makes when applying the Src Port # parameter. You can specify one of the following settings:
  - None specifies that the MAX does not compare the packet's source port to the value specified by Src Port #.  
None is the default.
  - Less specifies that port numbers with a value less than the value specified by Src Port # match the filter.
  - Eql specifies that port numbers equal to the value specified by Src Port # match the filter.
  - Gtr specifies that port numbers with a value greater than the value specified by Src Port # match the filter.

- Neq specifies that port numbers not equal to the value specified by Src Port # match the filter.

This parameter works only for TCP and UDP packets. You must set Src Port Cmp to None if the Protocol parameter is not set to 6 (TCP) or 17 (UDP).

- 9 Set the Dst Port # parameter to specify the port number to which the MAX compares the packet's destination port number.

The Dst Port Cmp criterion determines how the MAX carries out the comparison.

For Dst Port #, you can enter a number between 0 and 65535. The default setting is 0 (zero). If you accept the default, the MAX does not use the destination port number as a filtering criterion.

- 10 Set the Dst Port Cmp parameter specifies the type of comparison the MAX makes when applying the Dst Port # parameter. You can specify any of the settings available for Src Port Cmp (as described in step 8).

The Dst Port Cmp parameter works only for TCP and UDP packets. You must set Dst Port Cmp to None if the Protocol parameter is not set to 6 (TCP) or 17 (UDP).

- 11 Set the TCP Estab parameter to specify whether the filter should match only established TCP connections. You can specify one of these settings:

- Yes specifies that you want the filter to match only established TCP connections.
- No specifies that you want the filter to match both initial and established TCP connections.

No is the default.

The TCP Estab parameter does not apply if the Protocol field is set to any value other than 6 (TCP).

## Defining IPX filter conditions

If Type is set to IPX, you can define filter conditions relevant to IPX packets and bridged packets.

An IPX filter can examine network address, node address, and socket number. Table 4-2 shows the filter conditions you can specify in an IPX filter. The values shown are examples.

*Table 4-3. IP filter conditions*

| Location                                                                         | Parameters with sample values |
|----------------------------------------------------------------------------------|-------------------------------|
| Ethernet > Filters > <i>any Filter profile</i> > Input filters > 01 to 12 > Ipx  | Forward=Yes                   |
| Ethernet > Filters > <i>any Filter profile</i> > Output filters > 01 to 12 > Ipx | Src Network Adrs=aaaa1234     |
|                                                                                  | Dst Network Adrs=bc34aa56     |
|                                                                                  | Src Node Adrs=111111111111    |
|                                                                                  | Dst Node Adrs=000000000000    |
|                                                                                  | Src Socket #=0451             |
|                                                                                  | Src Socket Cmp=Eq             |
|                                                                                  | Dst Socket #=N/A              |
|                                                                                  | Dst Socket Cmp=None           |

To specify IPX filter conditions, perform any or all of the following:

## Defining Static Filters

### *Filtering inbound and outbound packets*

---

- 1 Set the Forward parameter to specify whether the MAX forwards or drops packets that meet the conditions.  
If you set Forward to Yes, the MAX forwards a packet that matches the filter definition. When you set Forward to No, the MAX drops a packet that matches the filter definition.
- 2 Set Src Network Adrs to specify the address to which the MAX compares a packet's source network address.  
Enter the address in hexadecimal format. The null address (000000000000) is the default. If you accept the default, the MAX does not use the source network address as a filtering criterion.
- 3 Set Dst Network Adrs to specify the address to which the MAX compares a packet's destination network address.  
Enter the address in hexadecimal. The null address (000000000000) is the default. If you accept the default, the MAX does not use the destination network address as a filtering criterion.
- 4 Set Src Node Adrs to specify the node address to which the MAX compares a packet's source node address.  
Enter the address in hexadecimal. The null address (000000000000) is the default. If you accept the default, the MAX does not use the source node address as a filtering criterion.
- 5 Set Dest Node Adrs to specify the node address to which the MAX compares a packet's source node address.  
Enter the address in hexadecimal format. The null address (000000000000) is the default. If you accept the default, the MAX does not use the destination node address as a filtering criterion.
- 6 Set the Src Socket # parameter to identify a specific IPX socket. For example, 0451 is the socket used for NetWare file services.
- 7 Set the Src Socket Cmp parameter to specify the type of comparison the MAX makes when applying the Src Socket # parameter.
- 8 Set the Dst Socket # parameter to identify a specific IPX socket. For example, 0451 is the socket used for NetWare file services.
- 9 Set the Dst Socket Cmp parameter to specify the type of comparison the MAX makes when applying the Dest Socket # parameter.

## Specifying a data filter in a profile

Using the Data Filter parameter, you can specify a data filter in an Answer profile, a Connection profile, or an Ethernet profile. Keep the following information in mind:

- The Answer profile and Connection profile specify the packets that can cross the WAN interface.
- The Ethernet profile specifies the packets that can cross the local Ethernet interface.
- The MAX uses the Answer profile specification only if no Connection profile exists for the caller.
- If Profile Req'd is set to Yes in the Answer profile, Data Filter does not apply in the Answer profile.

### *Specifying a data filter for the WAN interface*

To define which packets can cross the WAN interface, proceed as follows:

- 1 Open a Connection profile (under Ethernet > Connections) or the Ethernet > Answer menu.
- 2 Open the Session Options menu.
- 3 Set the Data Filter parameter to specify a data filter.  
If you set Data Filter to 0 (zero), the MAX forwards all data packets.  
If IPX client bridging is in use (Handle IPX is set to Client in the Connection profile), set the Data Filter parameter to 0 (zero).
- 4 Close the Connection profile or Answer profile and save your changes.

A filter applied to a Connection or Answer profile takes effect only when the connection goes from an offline state to a call-placed state.

### *Specifying a data filter for the local Ethernet interface*

To define which packets can cross the local Ethernet interface, proceed as follows:

- 1 Open the Ethernet > Mod Config > Ether Options menu.
- 2 Set the Filter parameter to specify a data filter.  
If you set Filter to 0 (zero), the MAX forwards all data packets.  
If IPX client bridging is in use (Handle IPX is set to Client in the Connection profile), set the Filter parameter to 0 (zero).
- 3 Save your changes.

A filter applied to the Ethernet interface takes effect immediately. If you change the Filter profile definition, the new filters apply as soon as you save the Filter profile.

## ***Sample filters***

This section provides a step-by-step examples of creating Filter profiles and defining IP filters for network security purposes.

### **A sample IP filter to prevent address spoofing**

IP address spoofing is a technique in which outside users pretend to be on the local network in order to obtain unauthorized access. This section shows how to define an IP data filter whose purpose is to prevent spoofing of local IP addresses. You can also use Password profiles to prevent IP address spoofing (for details, see “Using Names/Passwords profiles to prevent IP address spoofing” on page 3-40).

In this example, the filter first defines input filters that drop (inbound) packets whose source address is on the local IP network or is the loopback address (127.0.0.0). The third input filter defines every other source address (0.0.0.0) and specifies that inbound packets with those source addresses are forwarded.

The data filter then defines an output filter that drops all outbound packets with nonlocal source addresses.

## Defining Static Filters

### *Sample filters*

---

This example assumes a local IP network address of 192.100.50.128, with a subnet mask of 255.255.255.192. Of course, you use your own local IP address and mask when defining a Filter profile.

To define an IP data filter to prevent address spoofing, proceed as follows:

- 1 Select an unnamed Filter profile in the Filters menu, and press Enter.

For example, select 50-404:

```
50-400 Filters
50-401 IP Data
50-402 NetWare Data
50-403 AppleTalk Data
>50-404
50-405
50-406
50-407
50-408
50-409
50-410
50-411
50-412
```

- 2 Assign a name to the Filter profile.

For example:

```
Name=no spoofing
50-404
>Name=no spoofing
Input filters...
Output filters...
```

- 3 Open the Input Filters submenu

- 4 Open In filter 01.

```
50-404
In filter 01
>Valid=Yes
Type=IP
Generic...
IP...
```

- 5 Set Valid to Yes and Type to IP.

- 6 Open the IP submenu and specify the following conditions:

```
Ip...
>Forward=No
Src Mask=255.255.255.192
Src Adrs=192.100.50.128
Dst Mask=0.0.0.0
Dst Adrs=0.0.0.0
Protocol=0
Src Port Cmp=None
Src Port #=N/A
Dst Port Cmp=None
Dst Port #=N/A
TCP Estab=N/A
```

The Src Mask parameter specifies the local subnet mask, and the Src Adrs parameter specifies the local IP address. If an incoming packet has the local address, the MAX does not forward it onto the Ethernet.

- 7 Close In filter 01 and open In filter 02.
- 8 Set Valid to Yes and Type to IP.
- 9 Open the IP submenu and specify the following conditions:

```
Ip...
>Forward=No
Src Mask=255.0.0.0
Src Adrs=127.0.0.0
Dst Mask=0.0.0.0
Dst Adrs=0.0.0.0
Protocol=0
Src Port Cmp=None
Src Port #=N/A
Dst Port Cmp=None
Dst Port #=N/A
TCP Estab=N/A
```

These conditions specify the loopback address in the Src Mask and Src Adrs fields. If an incoming packet has this address, the MAX does not forward it onto the Ethernet.

- 10 Close In filter 02, and then open In filter 03.
- 11 Set Valid to Yes and Type to IP.
- 12 Open the IP submenu and specify the following conditions:

```
Ip...
>Forward=Yes
Src Mask=0.0.0.0
Src Adrs=0.0.0.0
Dst Mask=0.0.0.0
Dst Adrs=0.0.0.0
Protocol=0
Src Port Cmp=None
Src Port #=N/A
Dst Port Cmp=None
Dst Port #=N/A
TCP Estab=N/A
```

These conditions specify every other source address (0.0.0.0). If an incoming packet has any nonlocal source address, the MAX does not forward it onto the Ethernet.

- 13 Close In filter 03 and return to the top level of the no spoofing Filter profile.
- 14 Open the Output Filters submenu, and select Out filter 01.
- 15 Set Valid to Yes and Type to IP.
- 16 Open the IP submenu and specify the following conditions:

```
Ip...
>Forward=Yes
Src Mask=255.255.255.192
Src Adrs=192.100.40.128
Dst Mask=0.0.0.0
Dst Adrs=0.0.0.0
Protocol=0
Src Port Cmp=None
Src Port #=N/A
```

```
Dst Port Cmp=None
Dst Port #=N/A
TCP Estab=N/A
```

The Src Mask parameter specifies the local subnet mask, and the Src Adrs parameter specifies the local IP address. If an outgoing packet has a local source address, the MAX forwards it.

- 17 Close the Filter profile and save the changes.

## A sample IP filter for more complex security issues

This section illustrates some of the issues you might need to consider when writing your own IP filters. The sample filter presented here does not address the fine points of network security, but you can use it as a starting point and augment it to address your security requirements.

In this example, the local network supports a Web server and the administrator needs to carry out the following tasks:

- Provide dial-in access to the server's IP address.
- Restrict dial-in traffic to all other hosts on the local network.

However, many local IP hosts need to dial out to the Internet and use IP-based applications such as Telnet or FTP. Therefore, their response packets need to be directed appropriately to the originating host. In this example, the Web server's IP address is 192.9.250.5.

Each input filter is defined as described in the following sections.

### *In filter 01*

The first input filter specifies the Web server's IP address as the destination and sets IP forwarding to Yes. The MAX forwards all IP packets received with that destination address. The parameter settings are as follows:

```
In filter 01...Ip...Forward=Yes
In filter 01...Ip...Src Mask=0.0.0.0
In filter 01...Ip...Src Adrs=0.0.0.0
In filter 01...Ip...Dst Mask=255.255.255.255
In filter 01...Ip...Dst Adrs=192.9.250.5
In filter 01...Ip...Protocol=6
In filter 01...Ip...Src Port Cmp=None
In filter 01...Ip...Src Port #=N/A
In filter 01...Ip...Dst Port Cmp=Eq1
In filter 01...Ip...Dst Port #=80
In filter 01.Ip...TCP Estab=No
```

### *In filter 02*

The second input filter specifies TCP packets (Protocol is set to 6) *from* any address and *to* any address. The filter forwards them if the destination port number is greater than that of the source port. For example, Telnet requests go out on port 23 and responses come back on some random port above port 1023. Therefore, this filter defines packets coming back to respond to a user's request to Telnet to a remote host. The parameter settings are as follows:

```
In filter 02...Ip...Forward=Yes
In filter 02...Ip...Src Mask=0.0.0.0
In filter 02...Ip...Src Adrs=0.0.0.0
In filter 02...Ip...Dst Mask=0.0.0.0
In filter 02...Ip...Dst Adrs=0.0.0.0
In filter 02...Ip...Protocol=6
In filter 02...Ip...Src Port Cmp=None
In filter 02...Ip...Src Port #=N/A
In filter 02...Ip...Dst Port Cmp=Gtr
In filter 02...Ip...Dst Port #=1023
In filter 02...Ip...TCP Estab=No
```

### *In filter 03*

The third input filter specifies UDP packets (Protocol is set to 17) *from* any address and *to* any address. The filter forwards them if the destination port number is greater than that of the source port. For example, suppose a RIP packet goes out as a UDP packet to destination port 520. The response to this request goes to a random destination port greater than 1023. The parameter settings are as follows:

```
In filter 03...Ip...Forward=Yes
In filter 03...Ip...Src Mask=0.0.0.0
In filter 03...Ip...Src Adrs=0.0.0.0
In filter 03...Ip...Dst Mask=0.0.0.0
In filter 03...Ip...Dst Adrs=0.0.0.0
In filter 03...Ip...Protocol=17
In filter 03...Ip...Src Port Cmp=None
In filter 03...Ip...Src Port #=N/A
In filter 03...Ip...Dst Port Cmp=Gtr
In filter 03...Ip...Dst Port #=1023
In filter 03...Ip...TCP Estab=No
```

### *In filter 04*

The fourth input filter specifies unrestricted Pings and Traceroutes. ICMP does not use ports like TCP and UDP, so a port comparison is unnecessary. The parameter settings are as follows

```
In filter 04...Ip...Forward=Yes
In filter 04...Ip...Src Mask=0.0.0.0
In filter 04...Ip...Src Adrs=0.0.0.0
In filter 04...Ip...Dst Mask=0.0.0.0
In filter 04...Ip...Dst Adrs=0.0.0.0
In filter 04...Ip...Protocol=1
In filter 04...Ip...Src Port Cmp=None
In filter 04...Ip...Src Port #=N/A
In filter 04...Ip...Dst Port Cmp=None
In filter 04...Ip...Dst Port #=N/A
In filter 04...Ip...TCP Estab=No
```



# Setting Up Security-Card Authentication

# 5

|                                                             |      |
|-------------------------------------------------------------|------|
| How security cards work . . . . .                           | 5-1  |
| Overview of security-card authentication methods . . . . .  | 5-3  |
| Setting up incoming security-card calls . . . . .           | 5-4  |
| Setting up outgoing security-card calls . . . . .           | 5-4  |
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| Configuring direct Defender server authentication . . . . . | 5-22 |

## ***How security cards work***

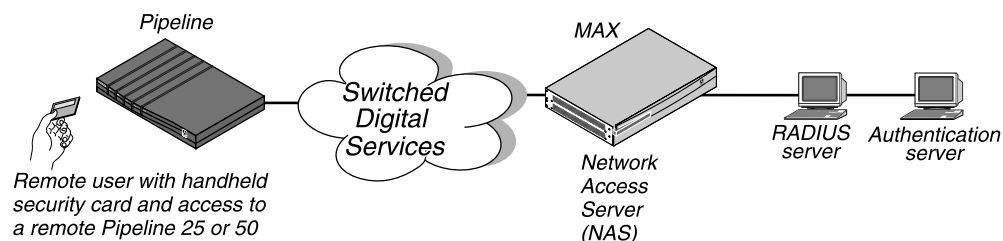
If you can configure your network site to require that users change passwords several times per day, you use an external authentication server, such as a Security Dynamics ACE/Server or an Enigma Logic SafeWord server. The external server syncs up with hand-held personal security cards. These devices are typically the size of a credit card. The security card provides a user with a current password in real time. The LCD on the user's card displays the current, one-time-only password required to gain access at that moment to the secure network.

You can configure a remote authentication server supporting security cards to work through RADIUS. Or, an ACE/Server or Defender server can work with the MAX directly, without using RADIUS.

## **Security card authentication with RADIUS**

Figure 5-1 illustrates an environment that includes an Ascend Pipeline as the calling unit, a MAX functioning as a Network Access Server (NAS), a RADIUS server, and an external authentication server.

Figure 5-1. Using an external authentication server



When you use security-card authentication, the following events take place:

- 1 A user sends his or her username to try to open a connection to the MAX.  
This user is a client of the MAX. The user can be in terminal-server mode or use the APP Server utility during the authentication phase. When authentication is complete, the user can switch to PPP mode.
- 2 The MAX determines that it must access a RADIUS user profile to authenticate the user.
- 3 The MAX sends the user connection request to the RADIUS server in an Access-Request packet.  
The MAX is a client of the RADIUS server.
- 4 The RADIUS server forwards the connection request to the ACE or SafeWord client residing on the same system as RADIUS.
- 5 The ACE client forwards the information to the ACE/Server authentication server. The SafeWord client forwards the information to the SafeWord authentication server.  
In this case, the RADIUS server is a client of the authentication server.
- 6 The authentication server sends an Access-Challenge packet back through the RADIUS server and the MAX to the user dialing in.
- 7 The user sees the challenge message and obtains the current password from his or her security card.  
If the authentication server is an ACE/Server, the user has a SecurID token card that displays a randomly generated access code, which changes every 60 seconds.  
If the authentication server is a SafeWord server, the user can have one of the following types of token cards:

- ActivCard
- CryptoCard
- DES Gold
- DES Silver
- SafeWord SofToken
- SafeWord MultiSync
- DigiPass
- SecureNet Key
- WatchWord

- 8 The user enters the current password obtained from the security card in response to the challenge message. This password travels back through the NAS and the RADIUS server to the authentication server.
- 9 The authentication server sends a response to the RADIUS server, specifying whether the user has entered the proper username and password.  
If the user enters an incorrect password, the ACE./Server or SafeWord server returns another challenge and the user can again attempt to enter the correct password. The server sends up to three challenges. After three incorrect entries, the MAX terminates the call.
- 10 The RADIUS server sends an authentication response to the MAX.  
If authentication is unsuccessful, the MAX receives an Access-Reject packet. If authentication is successful, the MAX receives an Access-Accept packet containing a list of attributes from the user profile in the RADIUS server's database. The MAX then establishes network access for the caller.

## Direct SecurID ACE authentication

You can configure the MAX to use ACE/Server authentication without using RADIUS. The authentication process is different from authentication using the RADIUS server. It supports authentication of terminal-server users only (not dial-in PPP users using the APP Server utility, which enables a user to respond to token challenges received from an external authentication server such as ACE/Server or SafeWord server). If your installation requires support for dial-in PPP users, you should configure it with RADIUS (as described in "Configuring the MAX to recognize the authentication server" on page 5-5).

The direct method is useful for installations in which other RADIUS features are not required, because it decreases the complexity of the system, making it easier to configure and maintain. In addition, direct ACE/Server authentication supports the New PIN Mode feature, which allows a dial-in user to change the personal identifying number (PIN). For information about the New PIN Mode feature, see "New PIN Mode" on page 5-16.

You can also configure ACE/Server authentication to use PAP-TOKEN-CHAP authentication. For more information, see "Configuring PAP-TOKEN-CHAP when using direct ACE authentication" on page 5-21.

## Overview of security-card authentication methods

When setting up SafeWord and ACE/Server security-card authentication of incoming calls, you can specify PAP-TOKEN, CACHE-TOKEN, or PAP-TOKEN-CHAP authentication. You can also specify that users request one of these authentication types when dialing out through the MAX. This section provides an overview of token-based authentication.

| Type of authentication | Description                                                                                                                                                                                                                                                                                                                                                          |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PAP-TOKEN              | PAP-TOKEN specifies an extension of PAP authentication. The user authenticates his or her identity by entering a password derived from a hardware device, such as a hand-held security card. The MAX prompts the user for this password, and possibly for a challenge key. The MAX obtains the challenge key from a security server that it accesses through RADIUS. |

| Type of authentication | Description                                                                                                                                                                                                                                                                                                                                      |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CACHE-TOKEN            | CACHE-TOKEN uses a shared secret, and simplifies the authentication process by caching the user's token for the fixed length of time specified by the Ascend-Token-Expiry attribute. During the lifetime of the token, subsequent calls by the user require only CHAP authentication without the use of a hand-held security card.               |
| PAP-TOKEN-CHAP         | PAP-TOKEN-CHAP uses an encrypted CHAP password with which the answering unit authenticates second and subsequent channels of an MP+ call. The advantage of a PAP-TOKEN-CHAP call over a PAP-TOKEN call is that only the initial connection needs to be verified by a hand-held security card. Any additional channels are verified by CHAP only. |

## Setting up incoming security-card calls

When the MAX receives an incoming security-card password from a user, it must forward the authentication request to RADIUS (unless you are using direct authentication). The RADIUS server, in turn, forwards the request to an ACE/Server or SafeWord server. The security-card caller must have a valid RADIUS user profile. Therefore, you must carry out both of the following tasks:

- Configure the MAX to communicate with the RADIUS server.
- Configure a RADIUS user profile for the dial-in user.

For details about these tasks, see the *MAX RADIUS Configuration Guide*.

You can set up the ACE/Server for use without RADIUS (as described in “Configuring direct SecurID ACE authentication” on page 5-17). This method does not permit use of the APP Server utility to authenticate PPP dial-in users.

To configure the Ace/Server to use PAP-TOKEN-CHAP authentication, see “Configuring PAP-TOKEN-CHAP when using direct ACE authentication” on page 5-21.

If you are using Defender without RADIUS, see “Configuring direct Defender server authentication” on page 5-22.

## Setting up outgoing security-card calls

Most sites use the MAX as an NAS for incoming security-card calls. However, you can also configure the MAX as the calling unit to allow a security-card user on the local network to call out to an NAS at a secure site.

To set up your site for outgoing security-card calls, you must complete these tasks:

- 1 Configure the MAX to recognize the security-card authentication server.
- 2 Configure the MAX to recognize the APP Server utility for each security-card user.  
The APP Server utility enables a user to respond to token password challenges received from an external authentication server, such as an ACE/Server or SafeWord server. To

allow users to supply token passwords from a host on the local network, you must configure the MAX to communicate with the APP Server utility on that host.

- 3 Set up dial-out connections in one or more Connection profiles.
- 4 Install the APP Server utility on each user's computer.

## Configuring the MAX to recognize the authentication server

You must set each of the parameters listed in Table 5-1 for the MAX to communicate with the authentication server. (The values shown in the table are just examples.)

*Table 5-1. Authentication-server parameters*

| Location                     | Parameters with sample values           |
|------------------------------|-----------------------------------------|
| Ethernet > Mod Config > DNS  | Password Host=10.0.0.1                  |
| Ethernet > Mod Config > Auth | Password Port=10<br>Password Server=Yes |

The parameters listed in Table 5-1 apply only to outgoing calls that use security-card authentication. For the authentication-server parameters to have their intended effect, your system must meet the following conditions:

- The MAX must request PAP-TOKEN authentication. (For details, see “Requesting PAP-TOKEN authentication” on page 5-6.)
- You must have the APP Server utility running on a UNIX or Windows workstation on the local network. (For details about installing the APP Server utility, see “Installing the APP Server utility” on page 5-8.)

To configure the MAX to recognize the authentication server, proceed as follows:

- 1 Open the Ethernet > Mod Config > DNS menu.
- 2 For the Password Host parameter, specify the IP address of the authentication server on the remote network.
- 3 Open the Ethernet > Mod Config > Auth menu.
- 4 Set the Password Port parameter to specify the UDP port number that the server specified by Password Host is monitoring.  
Valid port numbers range from 0 to 65535. The default value is 0 (zero), which specifies that the authentication server does not monitor a UDP port.
- 5 Set Password Server to Yes to specify that callers use security-card authentication rather than terminal server authentication.
- 6 Save your changes.

## Configuring the MAX to recognize the APP Server utility

To allow users to supply token passwords from a PC or UNIX host on the local network, you must configure the MAX to communicate with the APP Server utility on that host. APP is a UDP protocol whose default port is 7001. The communication between the MAX and the host

running the APP Server can be unicast (when both the MAX and the host have an IP address) or broadcast (when the host might not have an IP address).

To set up the MAX to communicate with the APP Server utility, set the APP Server, APP Host, and MAX Port parameters. Proceed as follows:

- 1 Open the Ethernet > Mod Config > Auth menu.
- 2 Set APP Server to Yes.  
This setting enables the MAX to communicate password challenges to the host running the APP Server utility.
- 3 Specify the IP address of the host running the APP Server utility.  
For example:  
`APP Host=10.65.212.1`  
If the host obtains its address at boot time from a BOOTP or DHCP server, or if it has no IP address, you can specify the IP broadcast address (255.255.255.255).
- 4 Specify the UDP port to use for communicating with the host running the APP Server.  
The default for the APP Server is UDP port 7001. If you change this number, you must specify the new UDP port number in the APP Server utility (DOS), the WIN.INI file (Windows), or the /etc/services file (UNIX). The MAX and the host running the APP Server utility must agree on the UDP port number.
- 5 Save your changes.

## Setting up a dial-out connection to a secure site

For the MAX to place calls to an NAS at a secure site, it needs the appropriate Connection profile requesting a token-based authentication type. The authentication type configured in the calling unit affects

- How the MAX transmits the token passwords
- How the user must respond when the system adds channels to an established session

The calling unit requests an authentication type, but the RADIUS daemon and RADIUS user profile accessed by the answering NAS determine the access mode to use.

### *Requesting PAP-TOKEN authentication*

When PAP-TOKEN authentication is in use, the MAX sends the dial-out user's password in the clear by means of PAP. Because the password is used for one time only, sending it in the clear does not constitute a serious security risk.

The response to the initial password challenge authenticates the base channel of the call. If bandwidth requirements result in an attempt to add a channel to the call, the system challenges the user for a password.

To request PAP-TOKEN authentication for an outgoing call, set the Send Auth and Send PW parameters. Proceed as follows:

- 1 Open the Ethernet > Connections menu.
- 2 Open the Connection profile.
- 3 Open the Encaps Options submenu.

- 4 Set Send Auth to PAP-TOKEN.  
The Send Auth parameter specifies the authentication type requested by the caller.
- 5 For the Send PW parameter, specify a password.  
The MAX sends the value of the Send PW parameter as part of the initial session negotiation. If the session then presents a password challenge, the user types in the current one-time-only password displayed on the security card.
- 6 Save your changes.

### *Requesting CACHE-TOKEN authentication*

CACHE-TOKEN uses CHAP and caches the initial password for re-use in authenticating additional channels. The RADIUS profile at the remote end must include attributes specifying how long the token remains cached. For complete information about setting up the RADIUS user profile at the remote end, see the *RADIUS Configuration Guide*.

To request CACHE-TOKEN authentication for an outgoing call, set the Send Auth and Send PW parameters.

- 1 Open the Ethernet > Connections menu.
- 2 Open the Connection profile.
- 3 Open the Encaps Options submenu.
- 4 Set Send Auth to CACHE-TOKEN.  
The Send Auth parameter specifies the authentication type requested by the caller.
- 5 Set the Send PW parameter to specify a password.  
The MAX sends the value of the Send PW parameter as part of the initial session negotiation. The system prompts the user for a token password and uses this password to authenticate the base channel of the call by means of CHAP. The RADIUS server caches the encrypted password for the period specified by the Ascend-Token-Expiry attribute, or for the amount of idle time specified by the Ascend-Token-Idle attribute. When the system adds channels to a call or places a new call, it uses the cached password to authenticate the channels.
- 6 Save your changes.

### *Requesting PAP-TOKEN-CHAP authentication*

In PAP-TOKEN-CHAP authentication, the remote NAS uses the dynamic password supplied by the user to authenticate the base channel of the call. The MAX sends the dial-out user's password in the clear (by means of PAP). When the MAX adds additional channels to the base channel of the call, it uses CHAP authentication for the new channels. CHAP sends encrypted passwords, so it can take the auxiliary password specified by the Aux Send PW parameter and transmit it securely.

If the calling unit requests PAP-TOKEN-CHAP authentication but the RADIUS user profile at the remote end is not set up for PAP-TOKEN-CHAP, the remote end uses PAP-TOKEN authentication instead.

To request PAP-TOKEN-CHAP authentication for an outgoing call, set the parameters as follows.

- 1 Open the Ethernet > Connections menu.

## Setting Up Security-Card Authentication

### *Setting up outgoing security-card calls*

---

- 2 Open the Connection profile.
- 3 Open the Encaps Options submenu.
- 4 Set Send Auth to PAP-TOKEN-CHAP.  
The Send Auth parameter specifies the authentication type requested by the caller.
- 5 Set the Send PW parameter to specify a password.  
The MAX sends the value of the Send PW parameter as part of the initial session negotiation. If the session then presents a password challenge, the user types in the current one-time-only password displayed on the security card.
- 6 Set the Aux Send PW parameter to specify an auxiliary password.  
When the MAX adds more channels after establishing the call's base channel, CHAP encrypts the auxiliary password specified by Aux Send PW and transmits it to the remote end.
- 7 Save your changes.

## Installing the APP Server utility

The APP Server utility enables a user to respond to token password challenges from an external authentication server, such as a Security Dynamics (ACE) or Enigma Logic (SafeWord) server.

Previous versions of the APP Server utility enabled a single user to respond to password challenges from a remote ACE/Server or SafeWord server. The current version supports multiple tokens (for a user name as well as the current password) so more than one user can use the APP Server to respond to password challenges.

### *Getting the right version of the utility*

The APP Server utility is available for five platforms: DOS, Windows 3.1, Windows 95, Windows NT, and UNIX. The utility resides on `ftp.ascend.com` as a single tar archive that contains all five versions of the utility.

The tar file expands into five directories, one for each version of the utility:

- The DOS and Windows executable files are:
  - `appsrlds.exe` (for DOS)
  - `appsrv31.exe` (for Windows 3.1)
  - `appsrv95.exe` (for Windows 95)
  - `appsrvnt.exe` (for Windows NT)
- The directory contents for the Windows 95 and Windows NT versions are compressed.
- The UNIX utility is supplied as source files.

### *Creating banner text for the password prompt*

The current release incorporates a banner display facility. The banner text appears with the password prompt on the APP Server screen when you receive a challenge message. You can use the sample banner file included with this release. Or, you can specify the banner text in an

ASCII file named `appsrvr.ini`. You can create the text file with any text editor. The file must reside in the directory in which the APP Server utility is located.

The banner can contain up to 200 characters and five lines of text. The first line of the file must contain the text `[ BANNER ]`. For example, you might set up the file as follows:

```
[BANNER]

line1=The security password has changed. Please consult your
line2=card and enter the current password now.

line3=You have 60 seconds to enter the new password.
```

## *Installing the APP Server utility for DOS*

To install the APP Server utility for DOS, proceed as follows:

- 1 Create an `\ascend` directory below the root directory.
- 2 Copy `appsrvds.exe` into the `\ascend` directory.
- 3 If the `appsrvr.ini` file exists, copy the file into the `\ascend` directory.  
For more information about the `appsrvr.ini` file, see “Creating banner text for the password prompt” on page 5-8.
- 4 Open the `autoexec.bat` file and add a command line to start `appsrvds.exe`.  
The `appsrvds.exe` DOS utility does not require an IP stack or IP address, but it does require an ODI driver.  
You must put the command line for `appsrvds.exe` *after* the line that loads the network ODI driver and *before* the line that loads the network protocol stack (TCP/IP, IPX, or another supported protocol). For example:  

```
C:\novell\lsl.com
C:\novell\xxxodi.com
C:\ascend\appsrvds.exe

REM Protocol Stack is loaded next
```
- 5 Save your changes and close the `autoexec.bat` file.
- 6 Reboot your machine.

You can specify these options on the `autoexec.bat` command line:

- `/t`—Specifies a time delay between connection attempts (in seconds).
- `/y`—Specifies the number of cycle counts (attempts to connect) before timeout.
- `/m`—Specifies the MAC address (in decimal format) of the PC running the utility.
- `/p`—Specifies a UDP port number for communicating with the MAX.
- `/b`—Specifies a UDP port for broadcast messages.
- `/f`—Suppresses the call at startup.
- `/d`—Disconnects the call.
- `/c`—Specifies the name of the Connection profile to use to connect to the remote secure network.
- `/?`—Displays a help screen.

**Note:** The PC sends a broadcast UDP packet that has the destination and the source port 7001, unless you specify otherwise with the `/p` or `/b` option. If you specify a number other

than 7001 in the APP Port parameter, you must use the /p or /b option to specify the same port.

If you do not specify any command-line variables, the APP Server utility uses the following default values:

- Time delay between connection attempts is set to 20 seconds
- Number of cycles is set to 3 (3 times 20 seconds)
- APP Server PC MAC address is set to none (zeros)
- UDP port to use is set to 7001
- Broadcast UDP port is set to communication UDP port
- APP Server forces a connection upon execution

**Note:** A Connection profile is required for logging into the remote secure network, so if the APP Server line in the `autoexec.bat` file does not specify which Connection profile to use, the system prompts you for a Connection profile name as the system boots.

For example, consider this command line:

```
C:\ascend\appsrvds.exe /cChicago /t20 /p7005
```

This line specifies a Connection profile named `Chicago`, assigns a 20-second time delay between connection attempts, and designates UDP port 7005 for communicating with the MAX.

Now, consider the following command line:

```
C:\ascend\appsrvds.exe /cChicago /m00805110C7A44 /p7523 /t65 /b7112
```

This line specifies a Connection profile named `Chicago`, specifies 00805110C7A44 as the MAC address of the PC running the utility, designates UDP port 7523 for communicating with the MAX, assigns a 65-second time delay between connection attempts, and designates port 7112 for sending broadcast messages (to initiate a call).

### *Installing the APP Server utility for Windows 3.1*

To install the APP Server on a Windows 3.1 workstation, proceed as follows:

- 1 Create an `\ascend` directory below the root directory.
- 2 Copy `appsrv31.exe` into the `\ascend` directory.
- 3 If the `appsrvr.ini` file exists, copy that file into the `\ascend` directory.  
For details about the `appsrvr.ini` file, see “Creating banner text for the password prompt” on page 5-8.
- 4 Copy `ct13d.dll` into the Windows `\system` directory.

Ascend recommends adding the APP Server utility to the startup group (provided that you connect to the network as part of normal system startup). If you do not add the APP Server utility to your Startup group, you can launch the utility manually by double-clicking its icon.

To create an icon and add the APP Server to the startup group, proceed as follows:

- 1 Create a new program group. In the Program Manager, choose File > New > Program Group and type:  
**Ascend**

- 2 Create an icon for `appsrv31.exe`. In the Program Manager, choose File > New > Program Item.
- 3 To launch the APP Server utility when you start Windows, place the `appsrv31.exe` icon in your Startup group.
- 4 Reboot your machine.

### *Installing the APP Server utility for Windows 95*

To install the APP Server on a Windows 95 workstation, proceed as follows:

- 1 Copy the file `xas-w95.exe` into a temporary directory.
- 2 Execute the file from the DOS shell.  
The `xas-w95.exe` zip file expands to several files that constitute the Setup program for Windows 95.
- 3 From the Start menu, run the Setup program in the temporary directory.
- 4 Follow the prompts and select the directory in which to install APP Server for Windows 95.

APP Server for Windows 95 starts automatically whenever the system reboots. You can close the APP Server utility in a session, but next time you reboot the system, the utility starts up again. To permanently remove or disable the APP Server utility, you must edit the Windows 95 Registry to remove the key that refers to `appsrv95.exe`.

### *Installing the APP Server utility for Windows NT*

To install the APP Server on a Windows NT workstation, proceed as follows:

- 1 Copy the file `xas-nt.exe` into a temporary directory.
- 2 Execute the file from the DOS shell.  
The `xas-nt.exe` zip file expands to several files that constitute the Setup program for Windows NT.
- 3 From the Start menu, run the Setup program in the temporary directory.
- 4 Follow the prompts, selecting the directory in which to install APP Server for Windows NT.

APP Server for Windows NT starts automatically whenever the system reboots. You can close the APP Server utility in a session, but next time you reboot the system, the utility starts up again.

There are three icons provided during installation that enable you to temporarily disable the APP Server, manually control when it runs, or remove it from the system.

| Icon                  | Function                                                                                                                                                    |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Activate service icon | Restarts the utility, or activates it for the first time.                                                                                                   |
| Remove service icon   | Stops the utility if it is running and removes it from the service database. It no longer appears as a service in the Services applet on the Control Panel. |

| Icon                   | Function                                                                        |
|------------------------|---------------------------------------------------------------------------------|
| Uninstall service icon | Removes the files, icons, program groups, and registry entries from the system. |

### *Installing the APP Server utility for UNIX*

To install the APP Server utility on a UNIX host:

- 1 Edit the Makefile appropriately for your operating system and compiler.
- 2 Compile the `appsvr` source file (make).
- 3 Add a line to the `/etc/services` file, assigning UDP port 7001 to the APP Server utility.  
To use the default UDP port 7001, add the following line to the `/etc/services` file, to document that the port is now in use:

```
appServer 7001/udp
```

If port 7001 is already assigned for a different purpose, you can use a different port for the APP Server utility by adding a line such as the following to the services file:

```
appServer port_num/udp
```

The `port_num` argument is the port number the utility uses. Make sure you specify the same number for the APP Port parameter on the MAX.

- 4 If the UNIX host has an IP address, you can run the utility in unicast mode by typing the following command at the UNIX prompt:

```
./appsvr
```

When you run the utility in unicast mode, it transmits packets on the specified UDP port with the source address set to its own IP address. When the MAX receives the packets on the specified UDP port, it returns them to the specified IP address.

- 5 If the UNIX host does *not* have an IP address (for example, if it obtains its address from a BOOTP or DHCP server), run the utility in broadcast mode by entering the following command:

```
./appsvr -b
```

The `-b` argument sets a socket option to allow broadcast transmissions and inhibits the utility's error messages about receiving invalid APP frame types when it receives its own transmissions.

**Note:** On some UNIX systems, you need root privileges to run the APP Server utility in broadcast mode. Some hosts disallow broadcast transmissions without root privileges. If you are running the utility in broadcast mode, make sure that the MAX is configured with the broadcast address in the APP Host parameter (APP Host=255.255.255.255).

## **Dialing a connection to a secure site**

This sections describes how to initiate a connection to a remote network from a terminal server and from a DOS, Windows, or UNIX workstation.

### *Connecting to a remote network from the terminal server*

To make an outgoing call to a secure site from a terminal server session, perform all the steps of the following procedure. For a modem connection, begin at step 2.

- 1 At the terminal server prompt, enter the following command:  

```
set password
```

The following message appears:  

```
Entering Password Mode...
```

Then the prompt changes to the display following text:  

```
[^C to exit] Password Mode>
```
- 2 Bring up a connection to the secure site in one of the following ways:
  - Start a program that requires a connection to a host on the remote network.
  - Use the DO menu on the MAX.
  - Dial the remote NAS via modem.The remote NAS returns a challenge prompt similar to the following:  

```
From: hostname
0-Challenge: challenge
```

Enter next password:  
where *hostname* is the name of the NAS you are calling. It is optional on some systems.  
If the Send Auth parameter is configured incorrectly, no challenge prompt appears, or you see an error message such as the following:  

```
From: hostname
Received unexpected PAP Challenge!... check PPP Auth Mode
```
- 3 At the challenge prompt, enter the password obtained from your security card.  
You have 60 seconds to enter the password correctly. When you enter the correct password, the MAX establishes the connection to the secure network. If you do not specify the correct password within 60 seconds, the login attempt times out. If you enter the password incorrectly, the challenge prompt displays again, up to three times.
- 4 Press Ctrl-C at the Password Mode prompt to return to normal terminal server operations.

### *Connecting to a remote network from a DOS workstation*

To initiate a connection to a remote secure network, reboot the PC. After the initial session negotiation, the remote ACE/Server or SafeWord server returns a password challenge similar to the following:

```
From: hostname
0-Challenge: challenge
Enter next password:
```

where *hostname* is the name of the NAS the user is calling. It is optional on some systems.

If the Send Auth parameter is configured incorrectly, no challenge prompt appears or you see an error message such as the following:

```
From: hostname
Received unexpected PAP Challenge!... check PPP Auth Mode
```

You have 60 seconds to enter the password correctly. When you enter the correct password, the MAX establishes the connection to the secure network. If you do not specify the correct password within 60 seconds, the login attempt times out. If you enter the password incorrectly, the challenge prompt appears again, up to three times.

## Setting Up Security-Card Authentication

### Setting up outgoing security-card calls

---

If more than one user uses the APP Server to log into a remote secure network through the MAX, each user must include a user name in the following format:

*password.username*

### Connecting to a remote network from a Windows workstation

The user interface is the same for all Windows versions of the APP Server utility. To use the Windows version, proceed as follows:

- 1 Start the utility by using the Services applet on the Control Panel.
- 2 In the dialog that displays, click Connect.  
The Settings dialog box opens.
- 3 Enter the name of the Connection profile used to log into the remote secure network.
- 4 Enter your username.  
You can specify up to 32 characters. Do not enter spaces.
- 5 Click OK.  
After the initial session negotiation, the remote ACE/Server or SafeWord server returns a password challenge in a dialog box. You have 60 seconds to obtain the current dynamic password from the security card and enter it correctly.
- 6 Type the current password and click OK.
- 7 To log out of the remote network, click Disconnect.
- 8 In the dialog that appears, type the name of the Connection profile that defines your connection to the remote network, then click OK.

### Connecting to a remote network from a UNIX workstation

When you start an application that requires a connection to a host on a secure network, the MAX initiates a call. After the initial session negotiation, the remote ACE/Server or SafeWord server returns a password challenge similar to the following:

```
From: hostname
0-Challenge: challenge (or null challenge, depending on your
setup)
Enter next password:
```

where *hostname* is the name of the NAS you are calling. It is optional on some systems.

If the Send Auth parameter is configured incorrectly, no challenge prompt appears, or you see an error message such as the following:

```
From: hostname
Received unexpected PAP Challenge!... check PPP Auth Mode
```

You have 60 seconds to enter the password correctly. When you enter the correct password, the MAX establishes the connection to the secure network. If you do not specify the correct password within 60 seconds, the login attempt times out. If you enter the password incorrectly, the challenge prompt appears again, up to three times.

If more than one user uses the APP Server to log into a remote secure network through the MAX, each user must include a user name in the following format:

*password.username*

## ***How the SecurID ACE/Server works without RADIUS***

Users dialing into a MAX who are authenticated by a SecurID ACE server directly (without RADIUS) can specify that one of the MAX unit's local profiles provide the values for the session parameters. When a user dials into the MAX, the usual banner and prompt appear. For example:

```
** Ascend Pipeline Terminal Server **
```

Login:

When the user enters a name, the screen prompts for a password:

Password:

At this point, the user must enter his or her PIN, followed by the numbers currently displayed on the SecurID token card.

**Note:** Unlike the SecurID ACE support in RADIUS, which ignores input entered in response to the Password prompt and asks for a Passcode, this direct implementation does not take the extra step. The Ascend unit sends the password-prompt response to the ACE server as the passcode. If you want the Ascend unit to ask for a passcode, you can change the password prompt by setting the Password Prompt parameter in the TServ Options submenu of the Ethernet Profile to a new value.

If the login is correct, the terminal-server prompt appears:

```
ascend%
```

If the login is incorrect, the following message appears:

```
** Bad Password
```

and the Ascend unit requests another login. The user gets three chances to enter a valid login name/password (or passcode) combination.

## **NextCode Mode**

If a particular user has three or more consecutive incorrect logins, the server marks that user's token card as being in *NextCode* mode. When the user finally logs in successfully, he or she must enter in an extra passcode from his or her token to verify actual possession of the token card. When the user has sent his or her first correct PIN + passcode to the Ascend unit, the following message appears:

```
Wait for the code on your token to change, then enter the new
code (without PIN).
```

Passcode:

The user must wait until the number displayed on the token card changes, and then type in that number without the PIN. If the user enters a correct code, the terminal server command prompt or menu appears. If the user enters an incorrect code, the Ascend unit displays a **\*\*Bad Password\*\*** message and the user's token remains in *NextCode* mode.

## New PIN Mode

The ACE server system administrator can place particular tokens in *New PIN* mode. The next time the user successfully authenticates and wants access to the system, the user must choose a new PIN or allow the server to generate one.

After the normal authentication, the Ascend unit displays one of the following three messages:

- 1 If the server has been configured to allow the user to choose a new PIN or request one from the server, the following 5-line message displays:

Enter your new PIN, containing 4 to 8 digits:

or

<Return> to generate a new PIN and display it on the screen:

or

<Ctrl C> to cancel the New PIN procedure:

**Note:** The number of allowed digits might change according to the server configuration. The server can also be configured to allow alphabetic characters in the PIN, in which case the word *characters* appears in place of *digits* in the first message.

- 2 If the server has been configured to force the user to choose his or her own PIN, the following message appears:

Enter your new PIN, containing 4 to 8 digits:

- 3 If the server has been configured to restrict the user from choosing a PIN, and to always generate a random PIN for the user, the following message appears:

Press <Return> to generate a new PIN and display it on the screen:

## User-chosen PIN

In cases 1 and 2, when the user enters a new PIN, the server checks the PIN. If the new PIN has the appropriate number of characters or digits, the MAX asks the user to retype the same PIN for verification:

Please re-enter new PIN:

The user types in the new PIN. If the PINs match, the new PIN is sent to the server, the user is informed that the PIN has changed, and the following message appears:

Wait for the code on your token to change, then log in with the new PIN

Login:

If, after the second verifying PIN entry, the MAX detects that the user has entered two different PINs, the following message appears:

PINs do not match. Please try again.

Login:

The user must log in again. The server then asks the user to choose a new PIN.

## *Server-chosen PIN*

In cases 1 and 3, when the server generates a PIN for the user, the user simply presses Enter in response to the initial “New PIN” prompt. The server then displays the following prompt:

```
ARE YOU PREPARED TO HAVE THE SYSTEM GENERATE A PIN? (y or n)
[n]:
```

If the user presses y or Y, the screen displays a new PIN chosen by the ACE server. For example:

```
Your new PIN: 6467
```

```
Press Enter to clear screen:
```

The user must immediately memorize the PIN, and then press Enter. The screen clears, the PIN is sent back to the MAX for confirmation, and if the ACE server accepts the PIN, the MAX displays the following message:

```
Wait for the code on your token to change, then log in with the
new PIN
```

```
Login:
```

**Note:** Changing your PIN counts as one of the three allowed logins per dialup, so a correct PIN change followed by a login counts as two attempts. Therefore, if you fail twice, you need to redial and connect to complete authentication.

## ***Configuring direct SecurID ACE authentication***

If you configure the SecurID ACE as the external authentication server for your MAX, any calls that are not authenticated by local Connection profiles are forwarded to the ACE server for authentication. If you require your MAX to reach more than one authentication server, see the *RADIUS Configuration Guide*. Other software products, such as Ascend’s Access Control, support multiple external authentication servers through the MAX.

Although SecurID ACE authentication is indirectly supported through RADIUS, direct support for the SecurID ACE server can be useful for two main reasons. The first applies to installations in which other RADIUS features are not required. Direct SecurID ACE support decreases the complexity of such a system, making it easier to configure and maintain.

The second reason is that you can specify one of the MAX unit’s local profiles to be used for session parameters with ACE authentication, and configure different profiles/addresses for each user on the basis of whether the user has dialed in with a modem (analog call) or ISDN (digital call). You can also specify a LAN Address setting that overrides the LAN Address in the specified profile (or in the default profile, if no specific profile is given). Therefore, you can specify two different remote settings for a user with a single token card.

To configure the MAX for direct authentication using a SecurID ACE server, proceed as follows:

- 1** Open the Ether > net > Mod Config > Auth menu:

```
x0-x00 Mod Config
Auth
>Auth=SECURID
Auth Host #1=137.175.80.24
```

```
Auth Host #2=0.0.0.0
Auth Host #3=0.0.0.0
Auth Port=2626
Auth Timeout=10
Auth Key=N/A
Auth Pool=No
APP Server=No
APP Host=N/A
APP Port=
SecurID DES encryption=N/A
SecurID host retries=N/A
SecurID NodeSecret=N/A
```

**2** Set Auth to SECURID.

Auth Host #2 and Auth Host #3 are not applicable, because the MAX can support only one SecurID ACE authentication server at this time.

**Note:** For a SecurID server to authenticate an AppleTalk Remote Access (ARA) caller through RADIUS, set Auth to RADIUS/LOGOUT. For more information about setting up a ARA connection through RADIUS, see “Setting up ARA authentication” on page 3-31.

**3** Set the Auth Port parameter to the UDP port number used by the SecurID ACE server.

For example, you might specify the following setting:

```
Auth Port=1545
```

**4** Set the Auth Timeout parameter to specify the number of seconds the MAX waits for a response to an authentication request.

If the MAX does not receive a response within the time specified by Auth Timeout, it assumes the SecurID ACE server has become nonfunctional.

**5** Choose one of the following values for SecurID DES encryption parameter to specify whether the server uses standard DES or the native encryption provided by SecurID.

- Yes specifies that the server uses standard DES encryption.
- No specifies that the server uses the native encryption provided by SecurID.

**6** Set the SecurID Host Retries parameter to an integer to specify the number of times the MAX attempts to contact the SecurID host before timing out.

The default value is 3.

**7** Set the SecurID Node Secret parameter.

For details on this parameter, see the *MAX Reference Guide*.

## Configuring user shell settings on the ACE server

You can configure a user shell setting for each user on the ACE server. The shell setting specifies how calls are handled for each user, including the name of a MAX local profile to apply when setting up the call and an address and subnet mask to use in place of the LAN address in the given profile.

### *Shell setting structure*

The ACE shell setting, which is limited to 64 characters, consists of call type specifications and the parameters and associated values that define how calls of each type are handled.

The syntax is:

```
[CallType][rp=password][la=ipaddress][prf=conn-prof]
|[CallType][rp=password][la=ipaddress][prf=conn-prof]]
```

where:

|                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <i>CallType</i>             | Specifies the call type to which the parameters that follow (up to the   symbol) apply. Permitted values are:<br><br><div style="margin-left: 20px;"> A            Analog (modem) calls<br/> D            Digital (ISDN) calls<br/> no specifier Both analog and digital calls </div> For information about how calls are classified as analog or digital, refer to the explanation of the NAS-Port attribute in the <i>RADIUS Configuration Guide</i>                                                                                                      |
| =                           | Parameters are used in simple assignment statements, in which the equal sign assigns the value following it to the preceding parameter.                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <i>rp = password</i>        | <i>rp</i> indicates that the string value that follows ( <i>password</i> ) is to be used in place of the Receive Password in the Connection profile for authentication of calls subsequent to the first call.<br><br>The <i>rp</i> value applies only to PAP-TOKEN-CHAP calls because direct SecurID authentication does not support CACHE-TOKEN. Note also that the <i>rp</i> value authenticates the second and subsequent calls in an MP bundle, never the first call, which must be authenticated by the user with a token value from the SecurID card. |
| <i>la = ipaddress</i>       | <i>la</i> indicates that the dotted decimal value that follows ( <i>ipaddress</i> ) specifies the IP address of the remote caller that differs from that in the selected or default Connection profile's Lan Adrs parameter. You can also use a subnet mask, such as 202.444.3.19/24.                                                                                                                                                                                                                                                                       |
| <i>prf= conn_prof</i>       | <i>prf</i> indicates that the string value that follows ( <i>conn_prof</i> ) is the name of the Connection profile stored in the MAX unit's NVRAM to configure the caller.<br><br>If there is no profile for a call, the MAX unit's behavior depends on whether the Answer profile's Use Answer as Default parameter has been set to yes or no. If set to yes, the Answer profile is used as the default. If set to no, the Factory Default Profile is used.                                                                                                |
| (vertical line/pipe symbol) | Separates call type specifications and indicates the end of a string.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |

Each parameter is copied in sequence onto the current state of the caller's profile. Consequently, it is possible for one setting to overwrite another. Take care to ensure that your settings do not have unintended results. For example, in the following setting, the *rp* value of *joebob* is read and applied first, then it is overwritten unintentionally by the Receive Password parameter in the profile *john*.

```
rp=joebob prf=john
```

One way to limit the likelihood of overwriting is to place the *prf* parameter before the *rp* or *la* parameter.

## Setting Up Security-Card Authentication

### Configuring direct SecurID ACE authentication

---

Authentication fails if string values in a shell setting are unrecognized or in error. Observe the following rules in specifying strings:

- Use single quotes (*'string value'*), double quotes (*"string value"*) or square brackets (*[string's value]*) to delimit strings only when the string contains a space or one of the other delimiters.
- Do not use the vertical line/pipe symbol (|) in any string. Use it only to separate call specifications.
- Use short strings to avoid exceeding the 64-character limit. If you exceed the permitted number of characters, the setting is truncated and the authentication might fail. The ACE server's `sdadmin` program does not check to ensure compliance with the limitation.

### Example User Settings:

Following are examples of ACE server user settings.

```
|D prf="isdnroute" rp=[greco] la=192.0.2.1/24 |A prf=modemroute
```

↑  
If the caller is digital, then:  
Use the profile called isdnroute.  
Set Receive PW to greco.  
Set Lan Addr to 192.0.2.1/24.

↑  
If the caller is analog, then use the  
profile called modemroute.

Notice that the previous setting just fits in the permitted space, with 64 characters. If the setting were any longer, the end of `modemroute` would be cut off and authentication would fail for analog calls. The same setting could be shortened to the following:

```
|D prf=isdnroute rp=greco la=192.0.2.1|A prf=modemroute
```

↑                      ↑                      ↑                      ↑  
The quotation symbols are unnecessary and have been removed.    The subnet mask is unnecessary because /24 is the default subnet for a class C network address.    The space between the | and the A was unnecessary. The | indicates end-of-string as well as the start of a new call type specifier.

Another way to save space is to place parameters that are common to both analog and digital calls in a section that precedes the parameters that set analog or digital specifics. For example:

```
prf=john |D la=135.2.2.4/24 |A la=135.2.3.20
```

↑                      ↑                      ↑  
1. The settings are always taken from the profile john.    2. The address is set differently depending on whether the call is analog or digital.

The section with common parameters can precede or follow the call-type-specific parameters. In the following example, the common parameters follow the specific parameters:

```
|A prf=modemroute |D prf=isdnroute | la=10.0.0.20/32
```

↑                      ↑                      ↑  
1. Use the modemroute profile for analog calls.    2. Use the isdnroute profile for digital calls.    3. Use the address 10.0.0.20/32 for all calls.

You do not need to include separate sections for each call type in your user settings. For example, in the following setting, the general assignment statements suffice without specifying sections for different call types:

```
prf=john la=10.0.0.20/32
```

↑  
*Whether the call is digital or analog:  
Use the profile john  
Set the Lan Adrs to 10.0.0.20/32*

You can also have just one call type or the other. In the example that follows, the digital caller's settings are specified. The analog settings are not set explicitly. Consequently, the Default or Answer profile is applied to analog callers, depending on the setting of the Use Answer as Default parameter in the Answer profile:

```
|D prf=isdnrout rp= "go for it"
```

1. The digital settings are explicit.

2. The analog settings are derived from the settings for the Use Answer as Default parameter in the Answer profile.

### *Troubleshooting errors in user settings*

If there is an error or unrecognized string in a user's shell setting, the authentication fails. If you have trouble determining what caused the failure, enter the MAX unit's debug mode and turn on a diagnostic display of the string interpretation by executing the command `securiddebug`, which is a toggle that turns the display on and off.

Verify that you have not exceeded the 64 character limit. If the final parameter is not complete, you have exceeded the limit. For security, this debug mode does not display the password string.

Because debug mode does not display the password string, you cannot tell directly from the debug output whether the `rp` parameter is being truncated. If you encounter problems with the second and subsequent channels of an MP call being automatically authenticated, the problem could be that the end of the `rp` parameter is being cut off.

## **Configuring PAP-TOKEN-CHAP when using direct ACE authentication**

PAP-TOKEN-CHAP stores a static password in the user's shell setting on the ACE server and sends it back to the MAX when the user first connects. Except for this, PAP-TOKEN-CHAP configuration on the calling router is identical to configuring PAP-TOKEN-CHAP for any other type of token card authentication.

To set the static password to use during PAP-TOKEN-CHAP for a particular user:

- 1 Run the `sdadmin` program on the ACE server machine.
- 2 From the Client menu, select Edit.
- 3 Select the MAX from the list of clients and click OK.
- 4 Click User Activations.
- 5 From the Directly Activated Users list, select the one using PAP-TOKEN-CHAP, then click Edit Activation Data.

- 6 In the Activation Data window, delete any existing text in the Shell field, and replace it with:  
`rp="password"`  
where `rp` stands for Receive Password and `password` is the password to be configured in step 8 as the Aux Send PW on the calling router (usually a Pipeline).  
For example, if you type  
`rp="Little Big"`  
in the Shell field (with quotation marks), the password the user types is `Little Big` (without quotation marks).  
In this example, the quotes are delimiters for the password. Different delimiters are allowed so that the user can choose a password containing those delimiters, for example:  
`rp='Quote"quote'`  
which contains a double quote in the middle of the password.  
You can use any character you like for the delimiters in place of the double quotes except the vertical bar (|), which has a special meaning in the shell field. For example, the following entry would produce the same Receive Password setting as `rp="Little Big"`:  
`rp=/Little Big/`  
However, `rp=[Little Big]` is not identical and would produce an error, because the left bracket and right bracket are different characters.
- 7 Press OK to clear the Activation Data dialog and Exit to clear the Edit Client dialog.
- 8 Configure the calling router (usually a Pipeline) to use PAP-TOKEN-CHAP authentication, and set Aux Send PW in the Connection profile Encaps options to be identical to the string you entered in the ACE server as `rp` in step 6.

Assuming all other configuration is already done (configuring the answering MAX to use SecurID authentication, and configuring the calling router to use the APP Server, for example), you should now be able to bring up a multi-channel call, although it performs only a single token authentication.

## ***Configuring direct Defender server authentication***

This section describes how to configure the Defender as your MAX unit's external authentication server. When you configure the Defender as an external authentication server, any calls that are not authenticated by local Connection profiles are forwarded to the Defender server for authentication.

If you require your MAX to reach more than one authentication server, see the *RADIUS Configuration Guide*. Other software products, such as Ascend's Access Control, support multiple external authentication servers through the MAX.

**Note:** The Defender server does not provide per-user control, such as enforcing a maximum number of channels. It provides only per-user authentication. If you need both per-user control and authentication, you need RADIUS.

## How Defender server authentication works

Table 5-2 show the three major stages in authentication using AssureNet Pathways' Defender. The behavior of the MAX depends on the stage of the call dialing the MAX is in when it loses the connection with the host.

*Table 5-2. Token card authentication*

| Stage | Duration of stage                                                                                                                                                                                                                                                                      | Authentication actions                                                                                                                                                                                                                                                                                                                                                                                                     |
|-------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1     | Stage 1 usually occurs a short time after the caller has connected to the MAX and before the MAX has received the first prompt from the authentication host.<br><br>The Defender server provides the text of the prompts or challenges, and the MAX passes them through to the caller. | Calls in Stage 1 are preserved if an authentication host is unavailable or loses its connection.<br><br>This might be the case when the very first caller is authenticated with Defender after the router boots up, and the first authentication host is unavailable. The Defender authentication code in the router tries the second and third hosts to authenticate the user.                                            |
| 2     | Stage 2 occurs during the time the caller is interacting with the authentication host, but before the authentication sequence is complete.<br><br>The Defender uses a challenge-response protocol, with a token card to provide the responses.                                         | Calls in Stage 2 are never preserved if an authentication hosts loses its connection.<br><br>Defender has no mechanism for having one authentication server take over for another if the first loses connection in the middle of a state.                                                                                                                                                                                  |
| 3     | Stage 3 occurs when the caller has completed authentication and is interacting with the MAX normally (either asynchronously or framed).                                                                                                                                                | Callers in Stage 3 are not dropped by the router because their calls are already authenticated. However, because the host on which they were authenticated is no longer available, their logout time is not sent (as would be the case if the host had remained connected).<br><br>Defender provides no mechanism to notify one authentication host when a user call that was authenticated by another host is terminated. |

## When no authentication host is available

If a MAX cannot establish contact with any of the authentication hosts in Ethernet > Mod Config > Auth > Auth Host *n* parameter, it drops all sessions, including calls in Stage 1.

If a caller who has been disconnected tries again to make a connection, the MAX begins the process again of connecting to authentication hosts in the list until it either succeeds or has tried every host in the list.

To configure a Defender server for direct authentication, proceed as follows:

- 1 Open the Ethernet > Mod Config > Auth menu:

```
X0-X00 Mod Config
Auth
>Auth=Defender
Auth Host #1=137.175.80.24
Auth Host #2=0137.174.81.0
Auth Host #3=0137.174.80.25
Auth Port=2626
Auth Timeout=10
Auth Key=*****
Auth Pool=No
APP Server=No
APP Host=N/A
APP Port=N/A
SecurID DES encryption=N/A
SecurID host retries=N/A
SecurID NodeSecret=N/A
```

- 2 Set Auth to Defender.
- 3 Specify up to three authentication hosts for the Auth Host # parameters.
- 4 Set the value of Auth Port to the TCP port number of the Defender authentication server (usually 2626).
- 5 Set the value of Auth Key.  
Auth Key is used as a DES secret key shared between the Ascend unit and the Defender authentication server. This key is also used for authentication by the Ascend unit in its role as a Defender authentication agent.
- 6 Set Auth Timeout to indicate the number of seconds the Ascend unit waits before assuming that the Defender server has become nonfunctional.
- 7 Enter the port number for the source port for remote authentication requests.  
Type a port number from 0 to 65535. The default value is 0 (zero). If you accept this value, the Ascend unit can use any port number from 1024 to 2000.  
You can specify the same port for authentication and accounting requests.
- 8 Normally, APP Server is set to No. APP Server only applies when the MAX makes outgoing calls to MAX units and other sites that use token card authentication. See the *MAX Reference Guide* for more information.
- 9 If the MAX must make outgoing calls to other MAX units and to other sites using token-card authentication, you might need to set APP Servers. Normally APP Server is set to No. For more details, see the *MAX Reference Guide*.
- 10 Save your changes.

# Setting Up User Authorization

|                                                         |      |
|---------------------------------------------------------|------|
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| Setting up SNMP security .....                          | 6-9  |
| Setting up a Domain Name System (DNS) .....             | 6-14 |
| Disabling remote management access .....                | 6-17 |
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| Understanding secure Dynamic Bandwidth Allocation ..... | 6-17 |

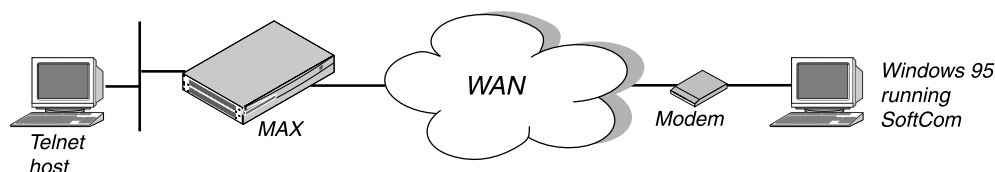
## Setting up terminal-server security

A terminal-server connection is a host-to-host connection that uses analog modem, ISDN Terminal Adapter (using V.110 or V.120 encapsulation), or raw TCP. This section also applies to locally connected terminal-server users, and describes how to limit access to the terminal-server features such as Telnet server, raw-TCP, Rlogin server, and modem dialout. (For more information about the authentication required before a remote user can get access to any of these features, see “Setting up authentication for dial-in terminal server users” on page 3-23.)

When the MAX receives an analog modem, ISDN TA, or raw TCP call, it determines whether the call is PPP-encapsulated. If it is, the MAX forwards the call to the router. If the call is not PPP-encapsulated, the MAX establishes a terminal-server connection.

In Figure 6-1, a PC running SoftComm initiates an incoming modem call. The MAX directs the call to its digital modems, then forwards the call to its terminal-server software. In Figure 6-1, the MAX immediately directs the call to a Telnet host.

*Figure 6-1. A remote terminal-server connection*



You can customize and limit access to the terminal-server interface in the following ways:

- Turn terminal-server operation on or off.

- Specify customized prompts for remote terminal-server users.
- Restrict use of terminal-server commands and protocols.
- Restrict access to the terminal-server command line.
- Restrict Telnet, raw TCP, and Rlogin access to the terminal server.
- Permit TCP-CLEAR or Telnet dial-in access even when the RADIUS user's profile does not specify a login host.
- Set a timeout value so that users are disconnected if they have not completed logging in when the timer has elapsed.
- Disconnect a user's Telnet connection by using the session ID for the connection.

Table 6-1 lists the parameters you can use to customize and restrict access to the terminal-server environment.

*Table 6-1. terminal-server security parameters*

| Location                              | Parameters with sample values                                                                                                                                                                                                                                                                                                                                                          |
|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Ethernet > Mod Config > TServ Options | TS Enabled=Yes<br>Passwd=newcorpPW<br>Login Prompt=<br>Password Prompt=<br>3rd Prompt=Service?<br>3rd Prompt Seq=First<br>Initial Scrn=Cmd<br>Toggle Scrn=No<br>Security=None<br>Telnet=Yes<br>Rlogin=No<br>PPP=No<br>SLIP=No<br>Host #n Addr=0.0.0.0<br>Host #n Text=<br>Immed Host=0.0.0.0<br>Immed Port=0<br>Immed Service=Telnet<br>Imm. Modem Pwd=secure3me<br>Imm Modem Auth=Yes |

For complete information about setting up terminal-server connections in the MAX configuration interface, see the *MAX Network Configuration Guide*. For complete information on setting up terminal-server connections in RADIUS, see the *RADIUS Configuration Guide*.

## Turning terminal-server operation on or off

To specify whether users can access the terminal-server interface, proceed as follows:

- 1 Open the Ethernet > Mod Config > TServ Options menu.
- 2 To enable terminal-server access, set TS Enabled to Yes. To disable terminal-server access, set TS Enabled to No.

- 3 Save your changes.

Table 6-2. Characters used in the terminal-server prompt specification

| Character combination | Description                      |
|-----------------------|----------------------------------|
| \n                    | Carriage return/line feed        |
| \t                    | Tab                              |
| \\                    | Displays a double backslash (\\) |

**Note:** Any characters other than \n and \t that have a single backslash (\) in front of them are removed.

For example, you could enter

**Welcome to\n\t\\Ascend Remote Server\\\nEnter your user name:**

to display the following on the terminal-server screen:

Welcome to

\\Ascend Remote Server\\

Enter your user name:

- 4 Set Prompt Format to Yes.  
This is the field that determines whether you are able to use the multi-line format for the terminal-server prompt. If Prompt Format is set to No, the MAX does not interpret the line feed/carriage return character or the tab character.
- 5 Set the Login Timeout parameter.  
This value is an integer representing a value from 0 to 300 seconds. The default value is 300 seconds.  
Users are disconnected if they have not completed logging in when the number of seconds set in the Login Timeout field has elapsed. A user has the total number of seconds indicated in the Login Timeout field to attempt a successful login. The timer begins when the login prompt appears on the terminal-server screen, and it continues (is not reset) when the user makes unsuccessful login attempts.
- 6 To customize the password prompt, set the Password Prompt parameter.  
This parameter specifies the prompt the terminal server displays when asking the user for his or her password. You can specify up to 80 characters. The default value is Password:.
- 7 Enter a prompt string in the 3rd Prompt parameter to specify a third prompt to follow the login and password prompts.  
You can specify up to 20 characters. The default value is null. If you accept the default, the MAX does not display an additional prompt.  
The remote terminal-server user can enter up to 80 characters after this prompt. The MAX passes the information the user enters to the RADIUS server as an attribute called Ascend-Third-Prompt. This attribute appears in the Access-Request packet. If the user enters more than 80 characters, RADIUS truncates the data before assigning a value to the Ascend-Third-Prompt attribute.

The 3rd Prompt parameter does not apply if the Auth parameter has a value other than RADIUS or RADIUS/LOGOUT. If authentication occurs through a local Connection profile, and not through the RADIUS server, the MAX ignores the 3rd Prompt specification.

- 8 Set the 3rd Prompt Seq parameter to First or Last to specify whether the additional prompt appears at the beginning or the end of the login sequence.  
The 3rd Prompt Seq parameter works with any authentication method except Auth=None. The default is Last. The parameter is N/A if TS Enabled is set to No or 3rd Prompt is null. The third-prompt feature works slightly differently depending on whether you specify that it appear in the Last position (a prompt issued after the login and password prompts) or the First position (a prompt issued before login and password prompts). For more complete information, see “Understanding how the third login prompt works” on page 6-4.
- 9 Save your changes.

### *Sample prompts*

Suppose you accept the default settings for the Login Prompt and Password Prompt parameters, and specify the following setting for 3rd Prompt:

**3rd Prompt=Password2>>**

The terminal server displays the following prompts:

```
Login:
Password:
Password2>>
```

### *Understanding how the third login prompt works*

You can configure a prompt by specifying the string that appears with the prompt and where the prompt appears in the login sequence (first or last). A prompt can emulate an existing terminal-server login prompt sequence, depending upon what you specify in the prompt string.

The third prompt feature works differently depending upon whether you select First or Last as the value of the 3rd Prompt Seq parameter.

Similarities in the way the third prompt works in either First or Last position are:

- Both settings work with any value for the Auth parameter except Auth is set to None.
- User's input is passed to RADIUS with the authentication request as the value of the Ascend-Third-Prompt RADIUS attribute.

Differences in the way the third prompt works, depending on whether 3rd Prompt Seq is set to First or Last, are:

- The First prompt appears before Login & Password prompts, the Last prompt appears after Login & Password prompt
- User's input is echoed in response to a First prompt and is not echoed in response to a Last prompt.

## Restricting the use of terminal-server commands and protocols

To specify whether users can initiate Telnet, Rlogin, PPP, or SLIP sessions from the terminal-server interface, proceed as follows:

- 1 Open the Ethernet > Mod Config > TServ Options menu.
- 2 Set the Telnet parameter to specify whether a user can start a Telnet session.
  - Yes indicates that a user can begin a Telnet session. The default value is Yes.
  - No indicates that a user cannot begin a Telnet session.
- 3 Set the Rlogin parameter to specify whether a user can initiate an Rlogin session.
  - Yes indicates that a user can begin an Rlogin session.
  - No indicates that a user cannot begin an Rlogin session. The default value is No.
- 4 Set the PPP parameter to specify whether a client can use asynchronous PPP.
  - Yes indicates that a client can use asynchronous PPP.
  - No indicates that a client cannot use asynchronous PPP.

The default value is No.
- 5 Set the SLIP parameter to specify whether a user can initiate a Serial Line IP (SLIP) session.

SLIP is a protocol that enables your computer to send and receive IP packets over a serial link.

  - Yes indicates that a user can begin a SLIP session.
  - No indicates that a user cannot begin a SLIP session. The default value is No.
- 6 Save your changes.

## Dial-in calls with no login host specified in RADIUS

You can configure the MAX to accept dial-in calls when Login-Service is set to TCP-CLEAR or Telnet and no Login Host is specified in the RADIUS users file. Such a configuration does not apply to PPP encapsulated calls, because the MAX does not accept dial-in PPP calls with the Login-Service set either to Telnet or TCP-CLEAR.

To set up the MAX to accept dial-calls when no login server is specified, set Auth TS Secure to No in the Ethernet > Mod Config > Auth menu. The default is Auth TS Secure set to Yes, which means the MAX drops dial-in calls if there is no login server and Login-Server is Telnet or TCP-CLEAR.

## Configuring per-user access to terminal-server commands

The Framed Only parameter in the Answer profile and the Connection profile enables you to limit specific users to the PPP, SLIP, CSLIP, and Quit commands in the MAX terminal-server interface. You can configure per-user access to the terminal-server commands in the Answer profile or in the Connection profile:

- The Answer profile affects users who do not have a Connection profile, users with a Name/Password profile, or RADIUS-authenticated users whose connections are built partly with the Answer profile

- The Connection profiles only affect individuals, each of whom can be assigned a specific Connection profile

To configure per-user access to the terminal server:

- 1 Select Ethernet > Answer > Session Options *or*  
Ethernet > Connections > *a Connection profile* > Session Options
- 2 Specify one of the following values for Framed Only:
  - No (the default) specifies that terminal-server users connecting through this profile have unlimited access to the terminal-server commands.
  - Yes specifies that terminal-server users connecting through this profile only have access to the PPP, SLIP, CSLIP, and Quit terminal-server commands. An attempt to execute any other terminal-server command produces the following message:  
Unauthorized terminal-server Command.
- 3 Save and exit the profile.

## Dealing with unauthorized Telnet and terminal-server sessions

When a user activates a Security profile, the MAX generates a Syslog message notifying you that the event occurred (if Syslog is enabled). A user can activate a Security profile in a Telnet session or a serial-line COM port session by selecting the Security profile and specifying the proper password. When a user activates a Security profile, new Syslog messages show the name of the Security profile, the IP address of the Telnet client or the COM port number, and the local IP address.

The EventSyslog message is at the notice level and it has one of the following formats:

```
^DP(assword)ASCEND: "profile_name" ... for remote_IP on local_IP
ASCEND: "profile_name" ... from COM_port on local_IP
```

- The *profile\_name* argument specifies the name of the activated Security profile.
- The *remote\_IP* argument specifies the IP address of the Telnet client.
- The *local\_IP* argument specifies the local IP address of the MAX.
- The *COM\_port* argument specifies the COM port number for the session.

On system login, the MAX does not generate a Syslog message for the Default Security profile. But it does generate a Syslog message if the Default Security profile is accessed for anything other than system login.

The following two messages signal that a Telnet client has enabled a Security profile:

```
Jan 10 10:05:17 eng-lab-141 ASCEND: "Full Access" security profile
enabled for 206.65.212.9 on 192.168.6.141.
```

```
Jan 10 10:07:26 eng-lab-141 ASCEND: "Default" security profile enabled
for 206.65.212.23 on 192.168.6.141.
```

The following message signals that a COM port user has enabled the Full Access profile:

```
Jan 10 10:03:52 eng-lab-141 ASCEND: "Full Access" security profile
enabled from com port 0 on 192.168.6.141.
```

## Restricting access to the Immediate Modem feature

The Immediate Modem feature enables local terminal-server users (who have not dialed into the MAX and have not been authenticated) to Telnet to a MAX to access the unit's modems, so that they can place outgoing calls without going through MAX terminal-server interface. You can choose to restrict access to the Immediate Modem feature on a per-user basis, or you can specify a global password for all users. You can also disable call restriction for the Immediate Modem feature, so that all users can place outgoing calls.

To use Immediate Modem service, users specify the port number configured in the Imm. Modem Port parameter when opening a Telnet session to the MAX. For example, a user can access a digital modem on port 5000 in a MAX unit named max1 by typing the following command:

```
telnet> open max1 5000
```

When the modem responds, the user can begin entering AT commands to dial out.

### *Understanding per-user Immediate Modem access restriction*

When per-user Immediate Modem is enabled, the MAX does the following:

- 1 Requests a login name before enabling any user to access the Immediate Modem feature.
- 2 Attempts to find a profile with the name provided by the user, looking first for a local Connection profile, then for a simple Name/Password profile, and finally for a RADIUS profile.
  - If the MAX finds a matching profile, it prompts the user for the password (if any) associated with the profile and verifies that the user enters the correct password.
  - If no profile matching the name provided by the user can be found, the MAX rejects the user and closes the Telnet session.
- 3 If the user enters the correct password, the MAX checks the Dialout-OK parameter of the appropriate profile.
  - If Dialout OK is set to Yes, the user can access the Immediate Modem feature.
  - If the user gets the password wrong or the Dialout OK parameter is set to No, the MAX rejects the user (with an appropriate message) and closes the Telnet session.

### *Understanding password restriction for Immediate Modem*

The Immediate Modem password separately governs whether a user is allowed to use the Immediate Modem functionality. If Telnet is password protected, a user must know the Telnet password as well as the Immediate Modem password to dial out. To use Telnet but not the dialout functionality, a user only needs to know the Telnet password.

### *Configuring access to the Immediate Modem feature*

To restrict access to the Immediate Modem feature, proceed as follows:

- 1 Open the Ethernet > Mod Config > TServ Options menu.
- 2 Set TS Enabled to Yes.  
If TS Enabled is set to No, the Imm. Modem Pwd field is N/A and you cannot specify a password for the Immediate Modem feature.

- 3 Set the Modem Dialout parameter to specify whether the user can use this MAX unit's V.34 digital modems to dial out.
  - Modem Dialout set to Yes permits terminal-server users access to the digital modems.
  - Modem Dialout set to No denies terminal-server users access to the digital modems.The default value is No.
- 4 Set the Immediate Modem parameter to enable or disable the Immediate Modem feature.
  - Immediate Modem set to Yes enables the Immediate Modem feature.
  - Immediate Modem set to No disables the Immediate Modem feature.The default value is Yes.
- 5 Set the Imm. Modem Access parameter to specify whether the access is restricted on a global or per-user basis, or unrestricted.
  - None indicates that call restriction is disabled, and that all users can place outgoing calls.
  - Global indicates that a single password provides access to dialout (set in the Imm. Modem Pwd parameter). Any user who knows this password can place outgoing calls.
  - User (the default) indicates the MAX requires a login before any user can access the Immediate Modem dialout feature. The MAX attempts to match the user's name and password to a name and a receive password in a Connection profile, Name/Password profile, or RADIUS users profile. If the user is authenticated by matching a Name/Password profile, the Name/Password profile must point to a Connection profile for the setting of the Dialout OK parameter.
- 6 Specify a password in the Imm. Modem Pwd. parameter if you set Imm. Modem Access to Global,  
This parameter is N/A if Imm. Modem Access is set to None or User.

**Note:** To enable unlimited access to the Immediate Modem feature, set Imm. Modem Access to None. Do not set Imm. Modem Access to Global and leave the Imm. Modem Pwd parameter null.
- 7 Close the Ethernet > Mod Config > TServ Options menu.
- 8 Open the Telco options submenu of the appropriate Connection profile.
- 9 Set the Dialout OK parameter to specify whether modem dialout is enabled for this Connection profile.
  - Dialout OK set to Yes specifies that the Connection profile allows modem dialout.
  - Dialout OK set to No specifies that the Connection profile does not allow modem dialout. Dialout OK set to No is the default.

## Disconnecting a user's terminal-server session

You can disconnect, by session ID, a user who establishes a Telnet connection with the Ascend unit. The disconnect code that results is identical to the RADIUS disconnect code, enabling you to track all administrative disconnects.

### *Displaying a list of active terminal-server sessions*

To display a list of active user sessions on an Ascend MAX, enter:

```
show users
```

**Note:** At the terminal-server prompt, `show users` displays a list of user sessions active on a system. Each user session is identified by the `sessionID`, which is followed by additional information about the session. The Show Users command is included in the online help for the Show command.

You can detect multiple concurrent sessions for the same user with the `sessionActiveTable` in the Ascend MIB.

### *Killing an active terminal-server session*

To terminate a Telnet session, enter the following command line at the terminal-server prompt:

```
kill session ID
```

where *session ID* is the session ID as displayed by the terminal-server Show Users command. The disconnect reason for the session is reported as `DIS_LOCAL_ADMIN`.

The active Security Profile must have Edit All Calls set to Yes. If Edit All Calls is set to No, the following message appears when you issue the kill command:

```
Insufficient security level for that operation.
```

If you issue the kill command without the *session ID* argument, the following message appears:

```
kill command requires an argument
```

When the session is properly terminated, a message similar to the following appears:

```
Session 216747095 killed.
```

When the session is not terminated, a caution similar to the following appears:

```
Unable to kill session 216747095.
```

## ***Setting up SNMP security***

Simple Network Management Protocol (SNMP) provides a way for computers to share networking information. SNMP recognizes two types of communicating devices: agents and managers. An agent (such as the MAX) provides networking information to a manager application running on another computer. The agents and managers share a database of information, called the Management Information Base (MIB).

A trap is a mechanism in SNMP for reporting system change in real time. To report system change, the MAX sends a traps-PDU across the Ethernet interface to the SNMP manager. A complete list specifying the events that cause the MAX to send a traps-PDU appears in the Ascend Enterprise Traps MIB.

You can set up SNMP security in the following ways:

- Specify passwords for SNMP managers with access to the MAX.

- Set up SNMP traps.
- Restrict the hosts that can issue SNMP commands.

Table 6-3 shows the parameters for protecting access to SNMP on the MAX. The values shown are examples.

*Table 6-3. SNMP security parameters*

| Location                                              | Parameters with sample values                                                                                                                                                                                                                                                 |
|-------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Ethernet > Mod Config > SNMP Options                  | Read Comm=new-string<br>R/W Comm=unique-string<br>Security=Yes<br>RD Mgr1=10.21.4.5<br>RD Mgr2=10.21.4.7<br>RD Mgr3=10.21.4.55<br>RD Mgr4=10.21.4.103<br>RD Mgr5=10.21.4.64<br>WR Mgr1=10.21.4.11<br>WR Mgr2=0.0.0.0<br>WR Mgr3=0.0.0.0<br>WR Mgr4=0.0.0.0<br>WR Mgr5=0.0.0.0 |
| Ethernet > SNMP Traps > <i>any SNMP Traps profile</i> | Name=<br>Alarm=Yes<br>Port=No<br>Security=No<br>Comm=<br>Dest=0.0.0.0                                                                                                                                                                                                         |

## Password-protecting SNMP

An SNMP manager application residing on a workstation on the local or remote network can access management information, set alarm thresholds, and change some settings on the MAX. To password protect this type of network access, you must assign the Read and Read/Write SNMP community strings. To assign Read and Read/Write SNMP community strings, proceed as follows:

- 1 Open the Ethernet > Mod Config > SNMP Options menu.
- 2 Set the Read Comm parameter to specify the Read community string.  
This string authenticates an SNMP manager accessing the MAX to perform read commands, that is, the Get and Get Next commands. The Get command requests information. The Get Next command enables an SNMP manager to obtain a table of information, such as a routing table. After you enter a string for the Read Comm parameter, users must supply it to use the Get and Get Next commands.
- 3 Set the R/W Comm parameter to specify the Read/Write community string.  
This string authenticates an SNMP manager accessing the MAX to perform read and write commands, that is, the Get, Get Next, and Set commands. The Set command enables an SNMP manager to change information maintained by the MAX. After you enter a string

for the R/W Comm parameter, users must supply it to use the Get, Get Next, and Set commands. You can use the original SNMPv1 definition of the community string (a string of octets that is compared to a similar string in the receiving SNMP entity). If the string in the packet received exactly matches a community string in the receiving entity, the packet is considered “authentic.”

The defaults for SNMP v1 (without authentication) are:

Ethernet > Mod Config > SNMP Options > Read Comm=public

Ethernet > Mod Config > SNMP Options > R/W Comm=write

If you wish to use SNMP authentication, you use a new version of the Read/Write community string:

Ethernet > Mod\_config > SNMP Options > R/W Comm=**name**|**secretkey**

where:

- **name** is the name you want to assign to the read-write community name.
- **secretkey** is the alphanumeric key used for authentication.
- | (vertical bar/pipe) separates the **name** from the **secretkey**.

This setting MAX to require SNMP SET REQUEST packets to be authenticated, with **secretkey** as the shared (but not transmitted) secret.

The data, time, and hash values are transmitted with the packet. This enables the management station and MAX to verify that the packet has been produced by an authorized system and that the packet not been altered or significantly delayed in transmission.

The MD5 hash guarantees a high likelihood that only a system that knows the secret authentication key generated the packet, while the time variables guarantee a high likelihood that an attacker did not collect an authenticated packet and transmit it at a time of its own choosing, after a significant delay.

**Note:** You cannot turn SNMP write off, so you must set a secret R/W Comm string. The default R/W Comm string is **write**. Anyone who has used an Ascend product probably knows this default string, so it does not provide any real security.

- 4 If you are using authenticated SNMP, configure the SNMP management station to communicate with a MAX through authenticated SNMP (as described in “Configuring the SNMP manager to use SNMP authentication” ).
- 5 Save your changes.

## Configuring the SNMP manager to use SNMP authentication

To communicate with an Ascend unit that has been configured to use authenticated SNMP, an SNMP management station must construct an SNMP packet in the new format for the Read/Write community string, including the secret key:

*name/secretkey*

If you configure the Ascend unit to use authenticated SNMP, it does not accept packets from an SNMP management station that uses the string format without the vertical bar/pipe.

## Setting up SNMP traps

To configure parameters related to SNMP traps security, proceed as follows:

- 1** Open the Ethernet > SNMP Traps menu.
- 2** Open a blank SNMP Traps profile.
- 3** For the Name parameter, specify the SNMP manager to which the MAX sends traps-PDUs.  
You can specify up to 31 characters. The default value is null. The value you specify becomes the name of the profile.
- 4** Set the Alarm parameter to specify whether the MAX sends a traps-PDU to the SNMP manager when an alarm event occurs.  
Alarm events are defined in RFC 1215 and include the following:
  - coldStart—The MAX started up from a power-off condition.
  - warmStart—The MAX started up from a power-on condition, typically by a system reset.
  - linkDown—A WAN link or Ethernet interface has gone offline.
  - linkUp—A WAN link or Ethernet interface has come online.You can specify either Yes or No for the Alarm parameter. Yes specifies that the MAX traps alarm events. No specifies that the MAX does not trap alarm events. The default value is Yes.
- 5** Set the Port parameter to specify whether the MAX traps serial host port state changes and sends traps-PDUs to the SNMP manager.  
The MAX can record the following serial host port events:
  - portInactive
  - portDualDelay
  - portWaitSerial
  - portHaveSerial
  - portRinging
  - portCollectDigits
  - portWaiting
  - portConnected
  - portCarrier
  - portLoopback
  - portAcrPending
  - portDteNotReadyYou can specify either Yes or No for the Port parameter. Yes specifies that the MAX traps serial host port state changes. No specifies that the MAX ignores serial host port state changes. The default value is No.
- 6** Set the Security parameter to specify whether the MAX traps these events:

- authenticationFailure—Occurs when authentication has failed. See RFC-1215 for a full explanation of this event.
- consoleStateChange—Occurs when a VT100, Palmtop, or Telnet port changes its state.
- portUseExceeded—Occurs when the port exceeds the maximum number of DS0 minutes set by the MAX DS0 Mins parameter in the Port profile.
- systemUseExceeded—Occurs when the MAX exceeds the maximum number of DS0 minutes set by the MAX DS0 Mins parameter in the System profile.

You can specify either Yes or No for the Security parameter. Yes specifies that the MAX traps the events. No specifies that the MAX does not trap the events. The default value is No.

- 7 Set the Comm parameter to specify a community name.

The string you specify becomes a password that the MAX sends to the SNMP manager when an SNMP trap event occurs. The password authenticates the sender identified by the IP address in the IP Adrs parameter.

For the community name, you can enter an alphanumeric string of up to 31 characters. The default value is null. To turn off SNMP traps, leave the Comm parameter blank and set Dest to 0.0.0.0.

- 8 Set the Dest parameter to specify the IP address of the SNMP manager to which the MAX sends traps-PDUs.

Specify an IP address in dotted decimal notation. An IP address consists of four numbers from 0 to 255, separated by periods. If a subnet mask is in use, you must specify it. Separate a subnet mask from the IP address with a slash. The default value is 0.0.0.0/0.

The MAX ignores any digits in the IP address hidden by a subnet mask. For example, the address 200.207.23.1/24 becomes 200.207.23.0. To specify a route to a specific host, use a mask of 32.

The Dest parameter does not apply if the MAX does not support IP (Route IP=No) or if Combinet encapsulation is in use (Encaps=COMB).

- 9 Save your changes.

## Restricting the hosts that can issue SNMP commands

The MAX is an SNMP-enabled device that supports a variety of MIBs. For large networks, you should specify which stations can use SNMP manager applications to initiate read or read/write access to those MIBs.

You can specify up to five IP hosts that can read traps and other information from the Ascend unit, and five hosts that can access MIB read-write access. The MAX checks the version and community strings before making source IP address comparisons.

To restrict the hosts that can issue SNMP commands, proceed as follows:

- 1 Open the Ethernet > Mod Config > SNMP Options menu.

- 2 Make sure that the Security parameter is set to Yes.

This parameter specifies that the MAX must compare the source IP address of packets containing SNMP commands against a list of qualified IP addresses.

- 3 Specify the IP addresses of hosts that have SNMP read permission.

For example, you might enter the following settings:

RD Mgr1=10.1.2.3

RD Mgr2=10.1.2.4

RD Mgr3=10.1.2.5

RD Mgr4=10.1.2.6

RD Mgr5=10.1.2.7

If the Security parameter is set to Yes, only SNMP managers at the specified IP addresses can execute the SNMP Get and Get Next commands.

- 4 Specify the IP addresses of hosts that have SNMP write permission.

For example, you might enter the following settings:

WR Mgr1=10.9.8.1

WR Mgr2=10.9.8.2

WR Mgr3=10.9.8.3

WR Mgr4=10.9.8.4

WR Mgr5=10.9.8.5

If the Security parameter is set to Yes, only SNMP managers at the specified IP addresses can execute the SNMP Get, Get Next, and Set commands.

- 5 Save your changes.

## ***Setting up a Domain Name System (DNS)***

DNS is a TCP/IP service that enables you to specify a symbolic name instead of an IP address. A symbolic name consists of a username and a domain name using the format *username@domain name*. The username corresponds to the host number in the IP address; the domain name corresponds to the network number in the IP address. A symbolic name might be *steve@abc.com* or *joanne@xyz.edu*.

DNS maintains a database of network numbers and corresponding domain names on a domain name server. When you use a symbolic name, DNS translates the domain name into an IP address, and sends it over the network. When the Internet service provider receives the message, it uses its own database to look up the username corresponding to the host number.

You can set up two types of DNS configurations:

- Global I DNS, in which you specify the DNS server(s) known to all MAX users on connected local interfaces.
- Client DNS, in which you specify the DNS server(s) known to MAX users for which a specify Connection profile has been applied.

Table 6-4 lists the parameters you can set.

Table 6-4. DNS parameters

| Location                                                                  | Parameters with sample values                                                                                                                                                                                                                       |
|---------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Ethernet > Mod Config > DNS                                               | Domain Name=abc.com<br>Sec Domain Name=xyz.com<br>Pri DNS=10.2.3.56/24<br>Sec DNS=10.2.3.107/24<br>List Attempt=No<br>List Size=6<br>Client Pri DNS=101.10.10.1<br>Client Sec DNS=101.10.10.2<br>Allow as Client DNS=Yes<br>Sec Domain Name=xyz.com |
| Ethernet > Connections > any<br><i>Connection profile</i> > IP<br>Options | Client Pri DNS<br>Client Sec DNS                                                                                                                                                                                                                    |

## Setting global DNS parameters

To set global DNS parameters, proceed as follows:

- 1 Open the Ethernet > Mod Config > DNS menu.
- 2 Set the Domain Name parameter to specify a primary domain name to use for lookups.  
The MAX searches for the DNS Server(s) in the Domain Name parameter first, and then in the domain specified in the Sec Domain Name parameter.
- 3 Set the Sec Domain Name parameter to specify a secondary domain name to use for lookups.
- 4 Set the Pri DNS parameter to specify the IP address of the primary domain name server for use on connected local interfaces.  
The address consists of four numbers from 0 to 255, separated by periods. The default value is 0.0.0.0. Accept this default if you do not have a domain name server.
- 5 Set the Sec DNS parameter to specify the IP address of the secondary domain name server for use on connected local interfaces.  
The address consists of four numbers from 0 to 255, separated by periods. The default value is 0.0.0.0. Accept this default if you do not have a secondary domain name server.  
The MAX uses the secondary server only if the primary one is inaccessible. The Sec DNS parameter applies only to Telnet and raw TCP connections running under the MAX unit's terminal-server interface.
- 6 Set List Attempt to Yes.  
DNS can return multiple addresses for a hostname in response to a DNS query, but it does not include information about availability of those hosts. Users typically attempt to access the first address in the list. If that host is unavailable, the user must try the next host, and so forth. However, if the access attempt occurs automatically as part of immediate services, the physical connection is torn down when the initial connection fails.  
The DNS List Attempt feature helps the MAX avoid tearing down physical links. The user can try one entry in the DNS list of hosts when logging in through Telnet from the

terminal server or immediate Telnet, and, if that connection fails, the user can try each succeeding entry.

You can specify one of the following settings:

- Yes specifies that the MAX enables a user to try the next host in the DNS list if the first Telnet login attempt fails.
- No turns off the List Attempt feature.

The default value is No.

- 7 If you set List Attempt to Yes, set the List Size parameter.
- 8 The List Size parameter specifies the maximum number of hosts the MAX can list in response to a DNS query. You can specify a number from 0 to 35. The default value is 6.

## Setting client DNS parameters

To set up client DNS, in which connection-specific DNS parameters are applied, proceed as follows:

- 1 Open the Ethernet > Connections menu.
- 2 Open a Connection profile
- 3 Open the IP Options menu.
- 4 Set the Client Pri DNS parameter.
- 5 Set the Client Sec DNS parameter.  
The default value is 0.0.0.0. Accept this default if you do not have a secondary client DNS server.
- 6 Set the Allow As Client DNS parameter to Yes or No.
  - Yes enables WAN clients to use local DNS servers.
  - No disables WAN clients from using local DNS servers.No is the default.

## Example of DNS configuration

This sample shows how to specify two local DNS servers and enable the DNS list feature.

- 1 Open the Ethernet > Mod Config > DNS menu.
- 2 Specify your domain name.
- 3 Specify the IP addresses of a primary and secondary DNS server, and turn on the DNS list attempt feature. For example:

```
Mod Config
DNS...
Domain Name=abc.com
Pri DNS=10.2.3.56/24
Sec DNS=10.2.3.107/24
List Attempt=Yes
```

- 4 Save your changes.

## Disabling remote management access

To prevent an operator from accessing the MAX from a remote Ascend unit by means of AIM or MP+ remote management, set System > Sys Config > Remote Mgmt to No. Proceed as follows:

- 1 Open the System > Sys Config menu.
- 2 Set Remote Mgmt to No.
- 3 Exit and save your changes.

For related information about remote management, see the chapter about system administration in the *MAX Network Configuration Guide*.

## Password-protecting Telnet access

You can assign a Telnet password to restrict operators from accessing the MAX across the network from a remote PC running Telnet. Proceed as follows:

- 1 Open the Ethernet > Mod Config menu.
- 2 Set the Telnet PW parameter.  
Specify up to 20 characters. Any user who initiates an incoming Telnet session to the MAX must supply this password before the Telnet session is established.  
If a user initiates the Telnet session from the WAN, the connection must first be authenticated as specified in a Connection profile.  
For additional information about restricting Telnet in the terminal-server interface, see “Restricting Telnet, raw TCP, and Rlogin access to the terminal server” on page 3-27.
- 3 Set the Telnet Security parameter to specify whether or not you allow a single authentication process when users initiate a telnet session.
- 4 Save your changes.

**Note:** The Telnet password does not automatically grant access to the Immediate Modem feature, which allows a user to dial out through the MAX modems without going through the terminal-server interface. For more information, see “Restricting access to the Immediate Modem feature” on page 6-7.

## Understanding secure Dynamic Bandwidth Allocation

Dynamic Bandwidth Allocation (DBA) enables the MAX to increase bandwidth as needed and drop bandwidth when it is no longer required. MP+ is the only PPP-based encapsulation method that supports DBA.

When the system adds additional channels, the MAX must authenticate each one. You can secure each circuit by one of the following methods:

| Authentication method                      | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|--------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Static passwords                           | <p>Before the MAX dials a new circuit, it prompts the user to enter a static, reusable password as specified in the Connection profile, Password profile, RADIUS user profile, or TACACS/TACACS+ profile. To prevent intruders from capturing the password as it travels across the WAN, you can specify that the MAX use the Challenge Handshake Authentication Protocol (CHAP). This protocol uses encryption to protect the password and verify the identity of the caller.</p> <p>For information about specifying a static password and requiring CHAP authentication in the MAX configuration interface, see “Configuring PAP, CHAP, or MS-CHAP for PPP, MP, and MP+ calls” on page 3-17. For information about configuring static passwords and CHAP in RADIUS, see the <i>RADIUS Configuration Guide</i>.</p>                                                                                                                             |
| Dynamic passwords                          | <p>Using PAP-TOKEN authentication, the MAX can require a user to specify a one-time-only password, generated by a security-card server, for each additional channel.</p> <p>For information about setting up PAP-TOKEN authentication in the MAX configuration interface, see “Requesting PAP-TOKEN authentication” on page 5-6. For information about setting up PAP-TOKEN authentication in RADIUS, see the <i>RADIUS Configuration Guide</i>.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Combination of static and dynamic password | <p>In the MAX configuration interface, you can indicate that the user need only specify a dynamic password for the initial channel, and that all other channels are authenticated by CHAP. Whenever the MAX adds channels to a PPP or MP+ call using PAP-TOKEN-CHAP authentication, the calling unit sends the encrypted value of Aux Send PW (found in the Connection profile used to dial the call), and the answering unit checks this password against the value of Recv Auth (in a Connection profile) or Ascend-Receive-Secret (in a RADIUS user profile). The answering unit receives the password when the first channel of the call connects.</p> <p>For details about setting up PAP-TOKEN-CHAP authentication in the MAX configuration interface, see “Requesting PAP-TOKEN-CHAP authentication” on page 5-7. For information about setting up PAP-TOKEN-CHAP authentication in RADIUS, see the <i>RADIUS Configuration Guide</i>.</p> |

| <b>Authentication method</b> | <b>Description</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Cached passwords             | <p>You can configure the MAX to reuse a password dynamically generated during session initiation. In this case, both the user and the MAX cache the password. Then, when the MAX needs to add bandwidth, the user provides the CHAP-encrypted password automatically and the MAX uses an internal key to authenticate the additional channels. You can specify a timeout value for the cached password, or configure the MAX to maintain the password throughout the session.</p> <p>For details about setting up cached passwords in the MAX configuration interface, see “Requesting CACHE-TOKEN authentication” on page 5-7. For information about setting up cached passwords in RADIUS, see the <i>RADIUS Configuration Guide</i>.</p> |



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